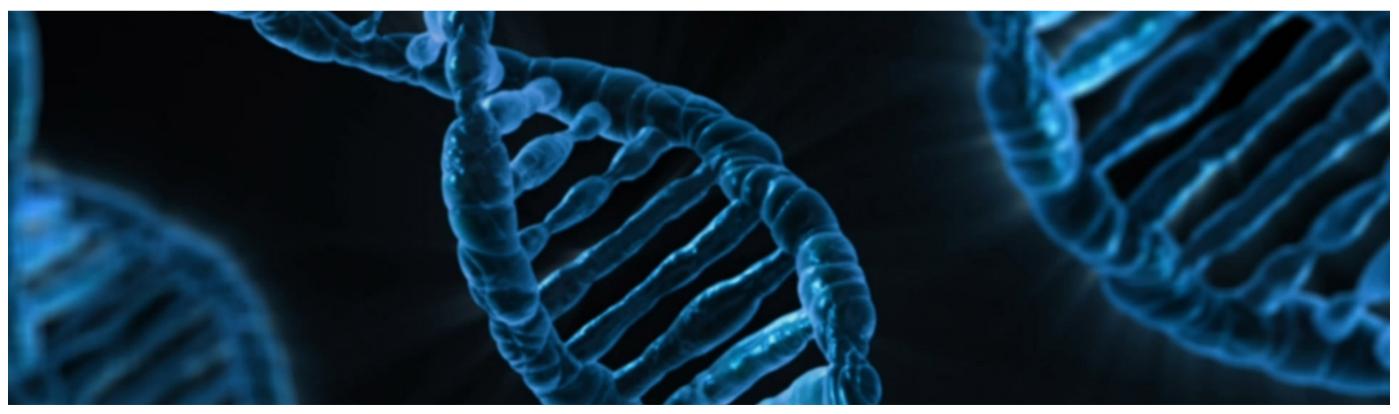


Memoization on Shared Subtrees Accelerates Computations on Genealogical Forests

2024-09-03 *Lukas Hübner* and Alexandros Stamatakis



KIT – The Research University in the Helmholtz Association Heidelberg Institute of Theoretical Studies

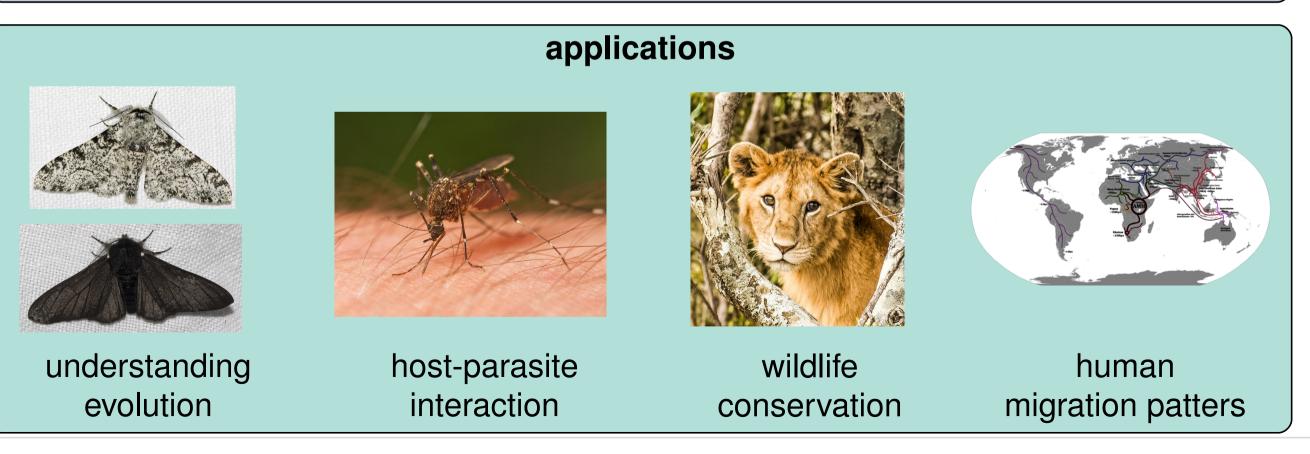
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Population Genetics



Evolutionary Bioinformatics

- Living beings organize in a tree modelled based on their genetic code
- Phylogenetics: Evolutionary history among different species
- Genealogy: Evolutionary history among individuals of the same species

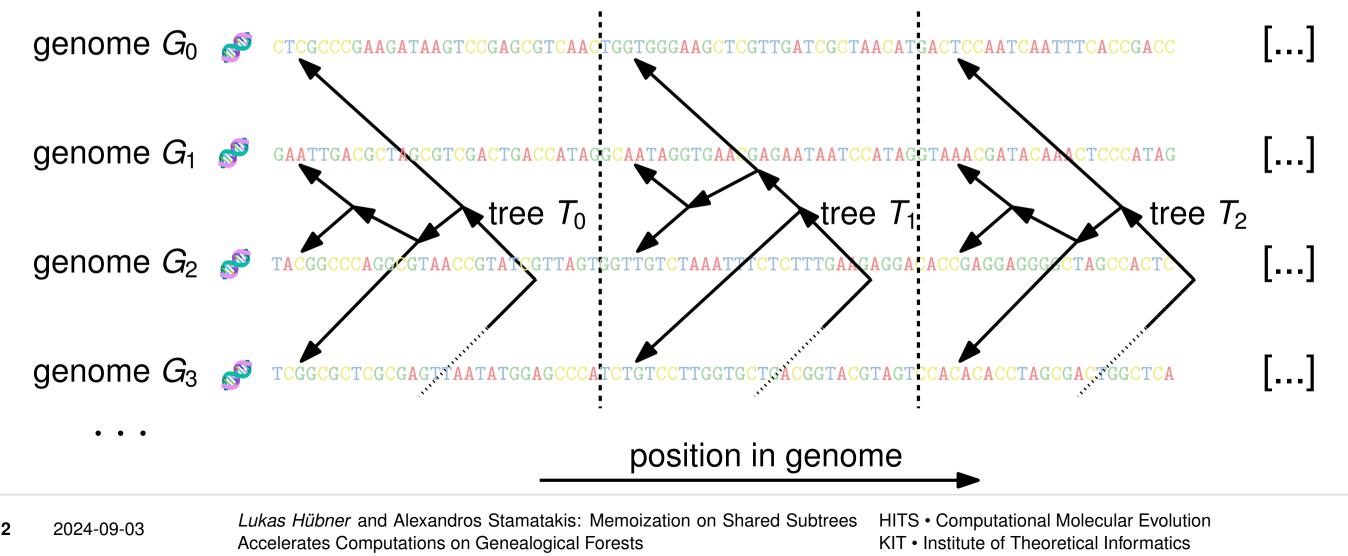


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Recombination



- Recombination breaks and recombines genomic code
- Degree of shared evolutionary history between two sites correlates with their distance
- Multiple trees provides a more comprehensive picture

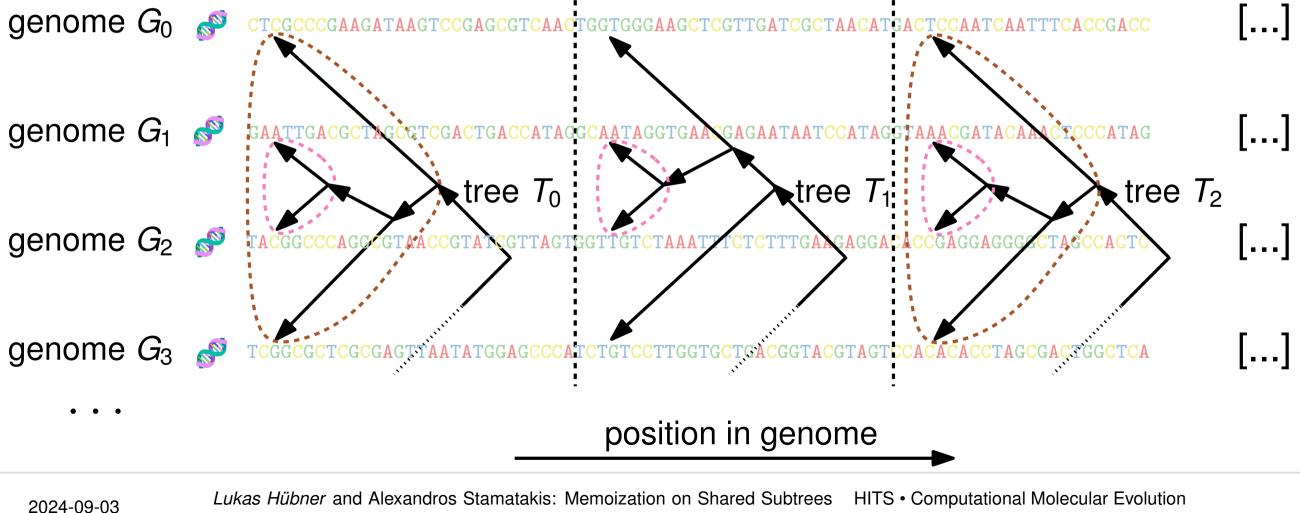


Recombination

2

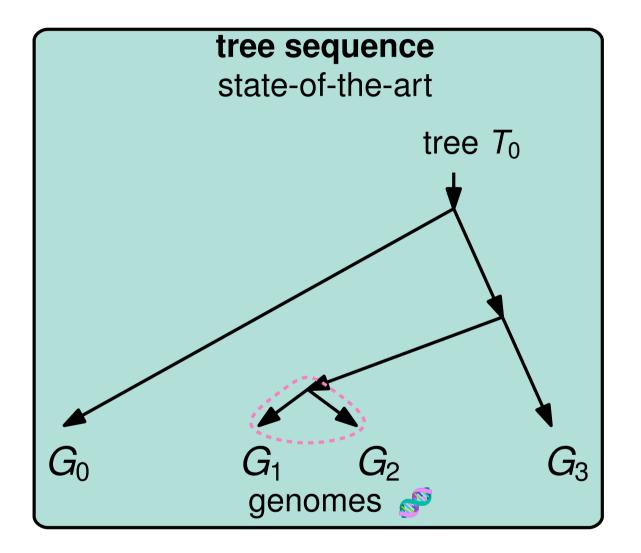


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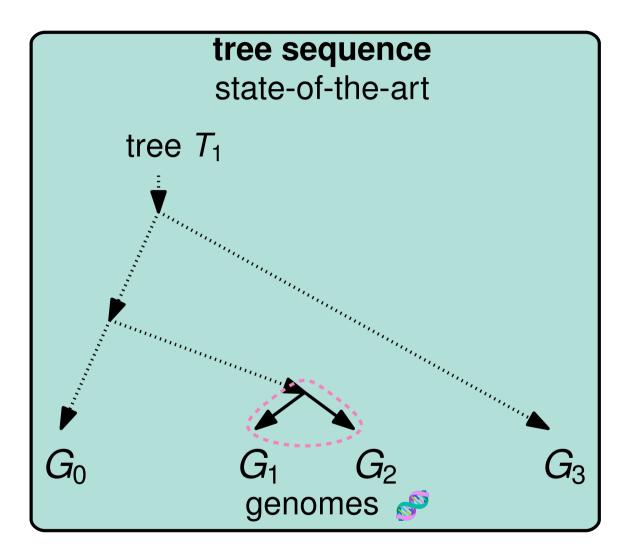




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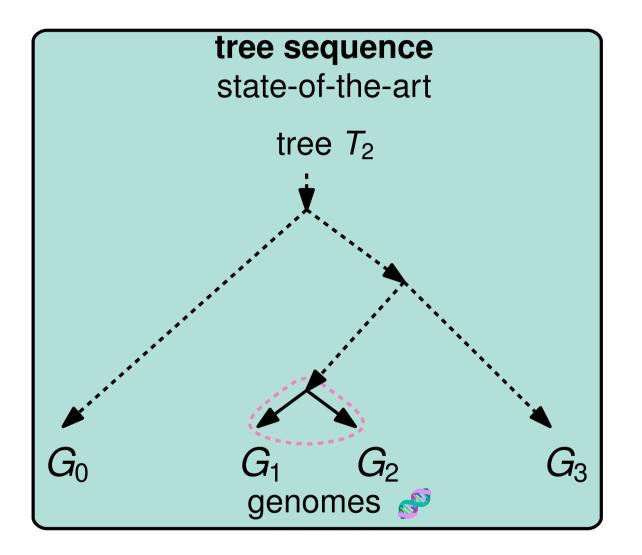




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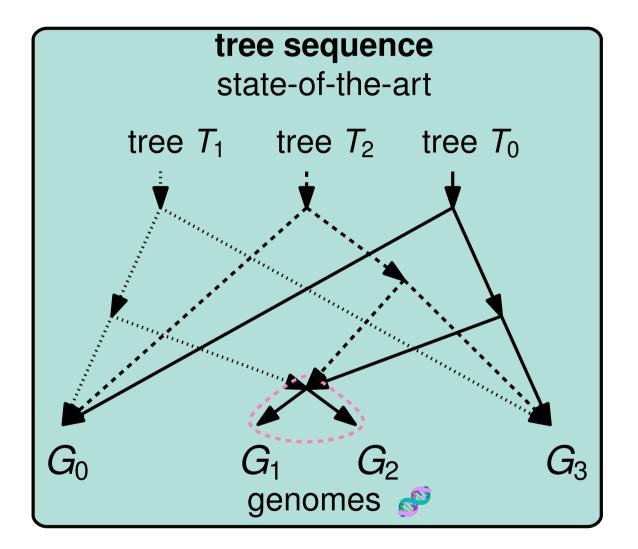
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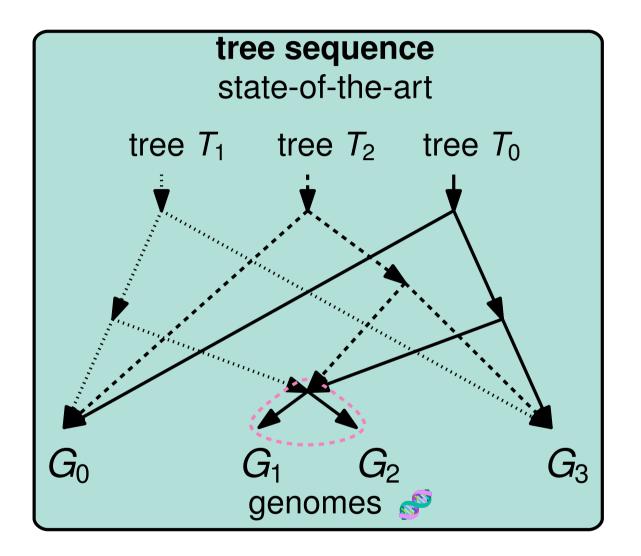


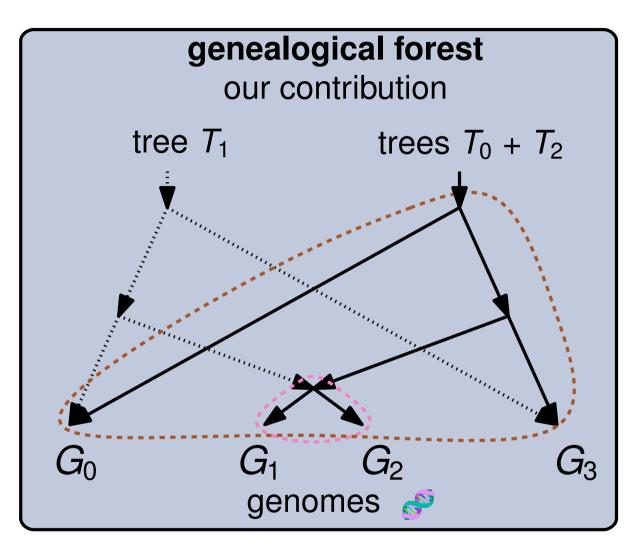


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Tree Sequences & Genealogical Forests





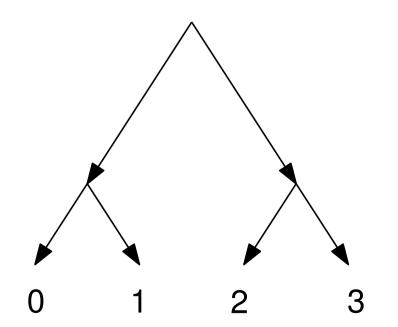


- Advantage: Straigt-forward memoization of intermediate results
- We don't loose the order of trees

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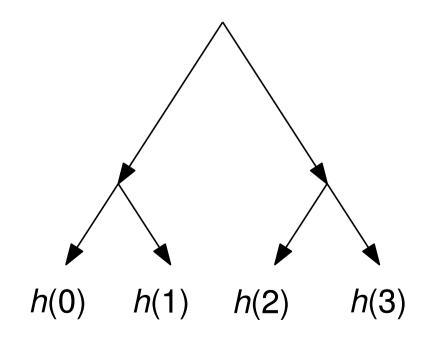
- For each subtree in each tree in the input tree sequence
- Assign unique IDs to subtrees and represent them as nodes in a DAG



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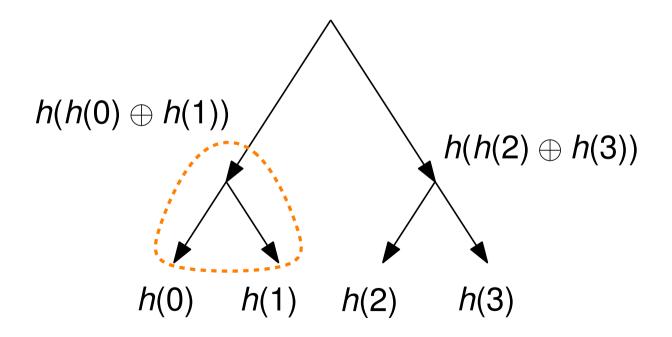
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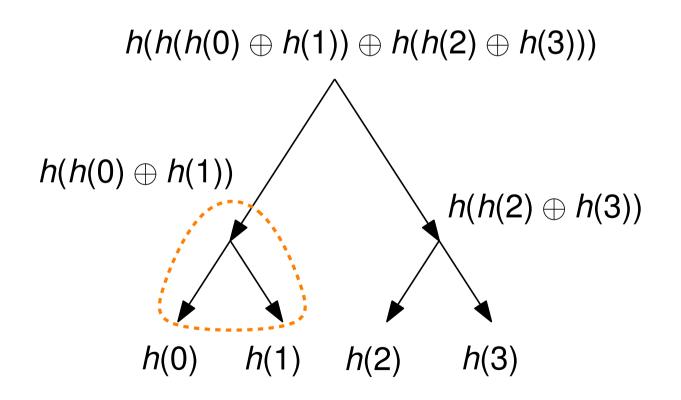


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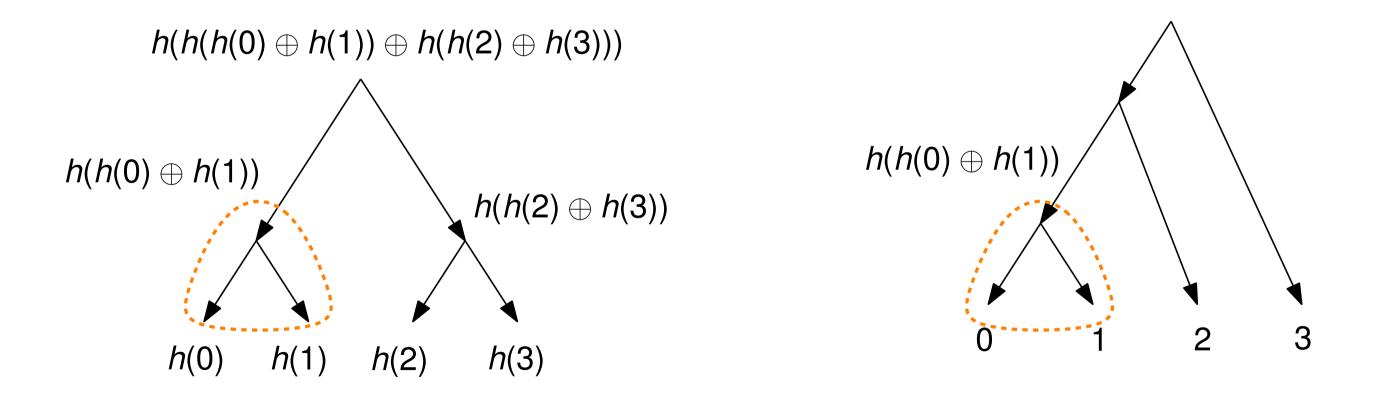


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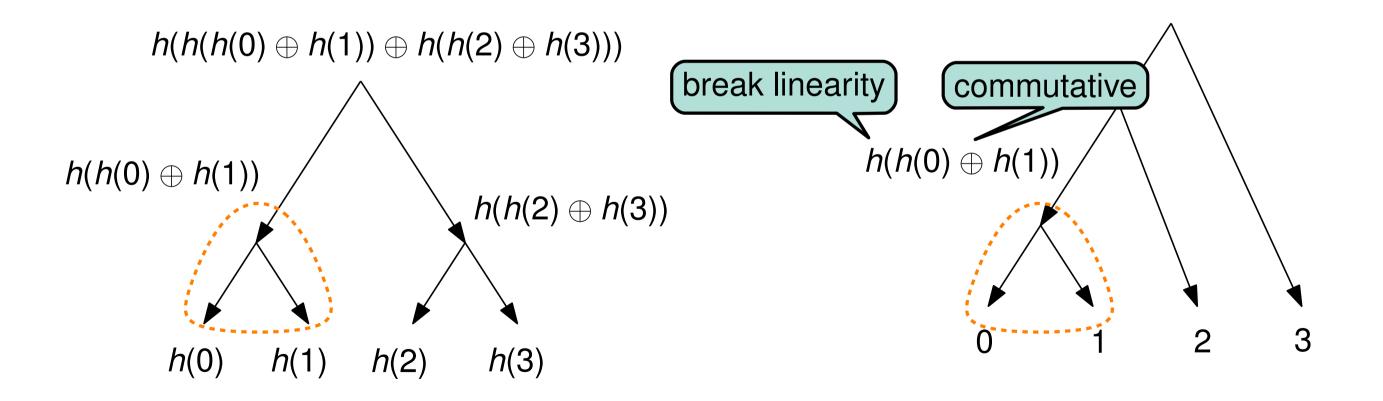
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Statistics in Population Genetics



- We consider the genetic states of each genome at each site
- Many common statistics based on these Allele Frequencies
 - Diversity and Divergence
 - Patterson's f_2 , f_3 , and f_4
 - Fixation Index F_{ST}
 - Tajima's D

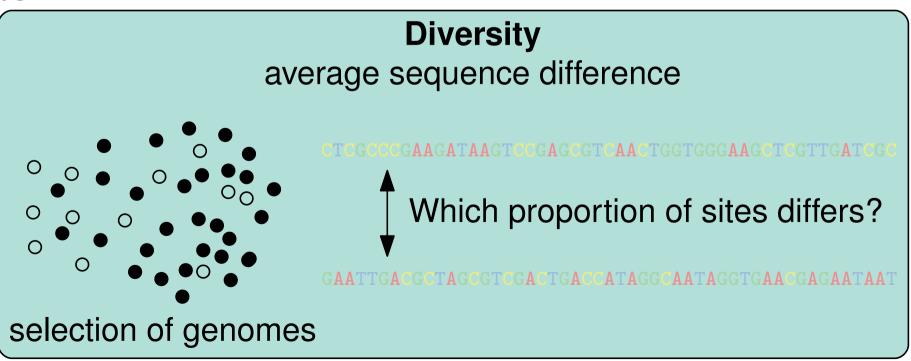
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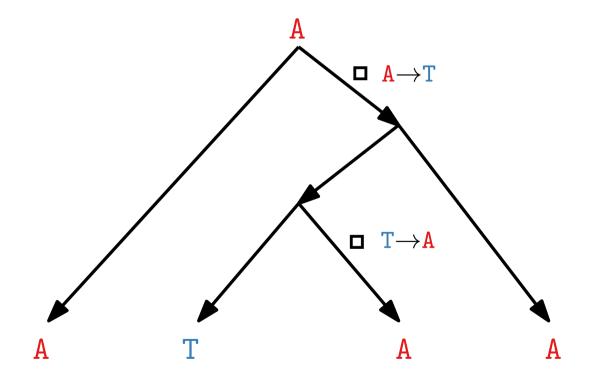
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Storing the Sequence



- These statistics are based on the sequences
- However, storing all sequences base-by-base is not feasible
- Instead, for each site, store the ancestral state and the mutations

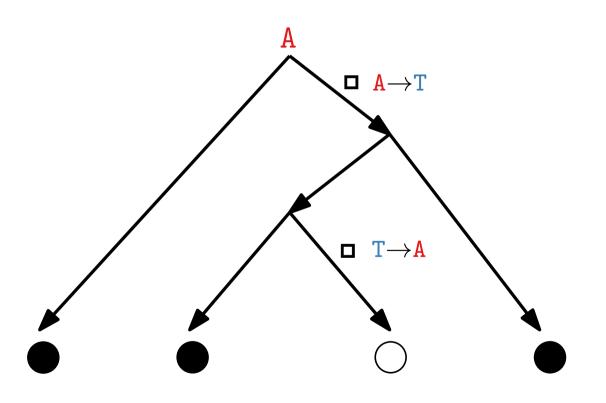
TTCGCGCGAAGATAAGTCCGACCGTTAACTGGTGGGAAGCTTGT CTCGCCCGAAGATAAGTCCGATCGTCAACTGGTAGGGAAGCTCGT CTCGCCCGAAGATAAGTCCGAGCGTACACTGGTGGGAAGCACGT CTCGCGCGATGTTAAGTCCCACCGTCAACTGGTGGGAAGCTCGT



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Diversity: Average sequence difference between two samples Input: Selection of genomes $\circ_{0}^{0} \circ_{0}^{0} \circ_{0}^{0}$ & Tree sequence $\land \land \land \land$ with mutations $\Box T \rightarrow A$



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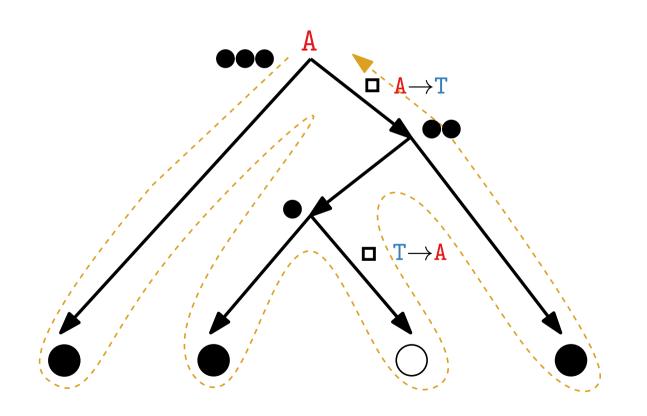
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(1) Compute number of samples in subtree

🗖 post-order traversal 🙇

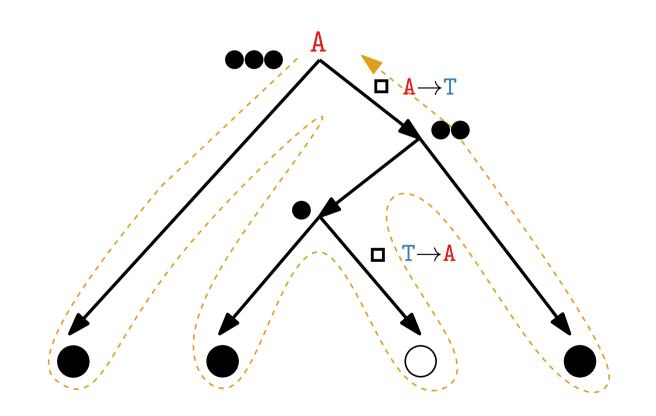


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Diversity: Average sequence difference between two samples Input: Selection of genomes $\circ_{0}^{0} \circ_{0}^{0} \circ_{0}^{$

- (1) Compute number of samples in subtree
 - 🗖 post-order traversal 🙇
- (2) Compute allele frequencies
 - A: 3 C: 0 T: 0 G: 0
 - Ancestral State: A
 - **Mutation** $A \rightarrow T$ at
 - Mutation $T \rightarrow A$ at

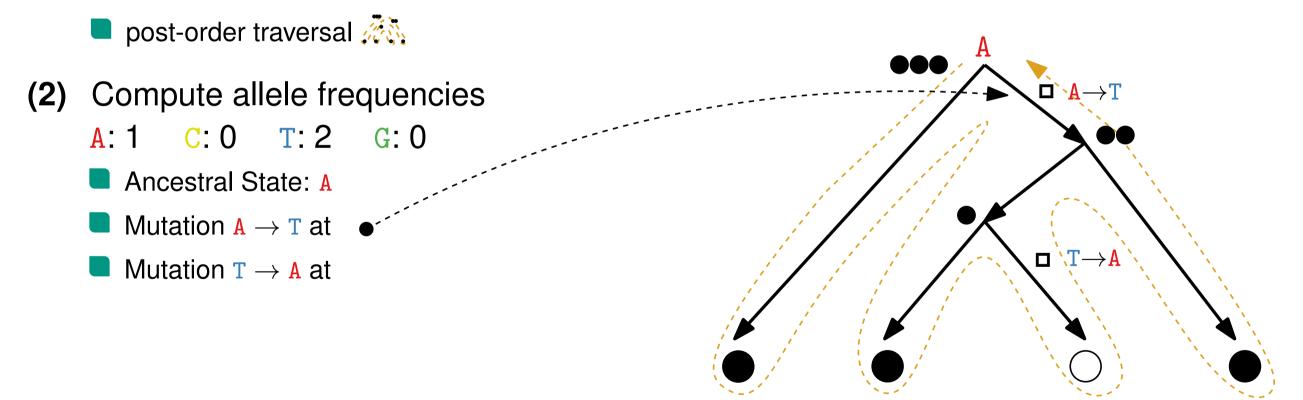


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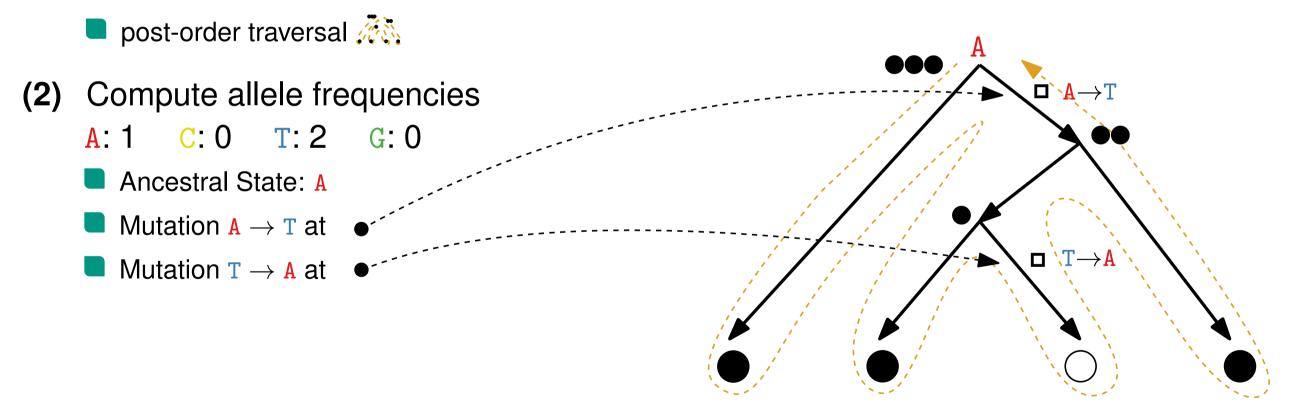
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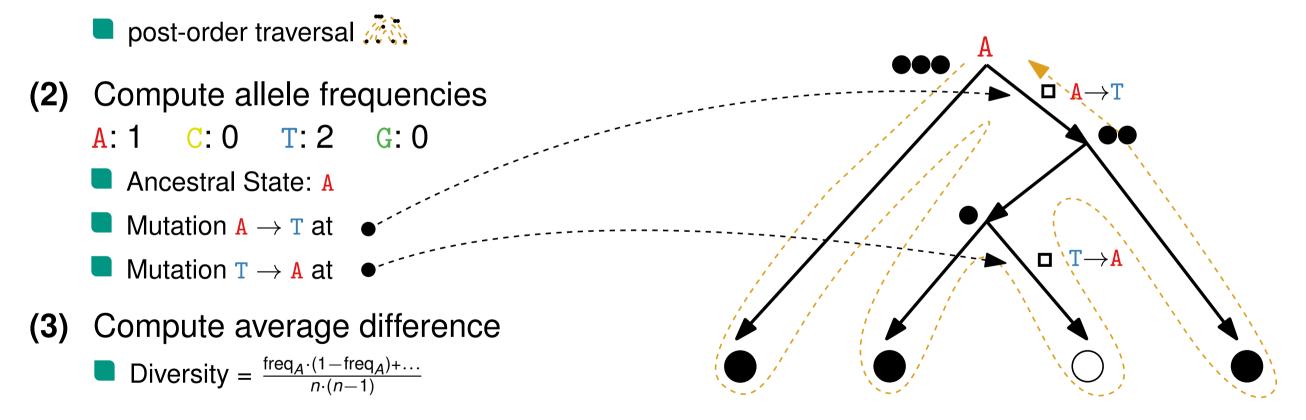
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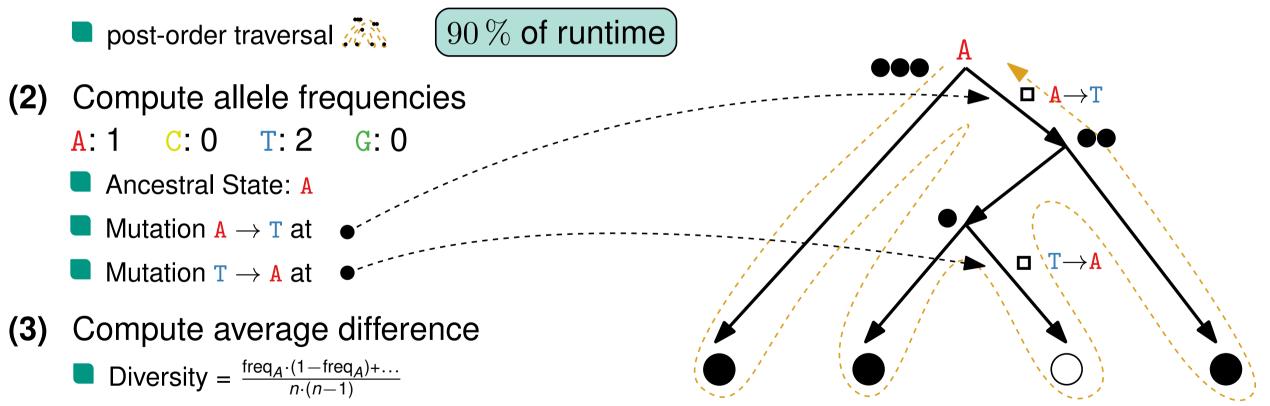


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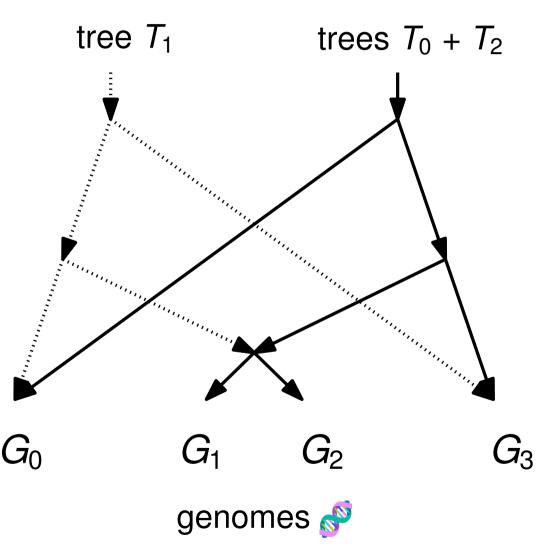
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- Children of a node processed before the node
- Associate a node's result with its DAG node ID \Rightarrow Straight-forward memoization

Post-Order Traversal



genealogical forest



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Post-Order Traversal

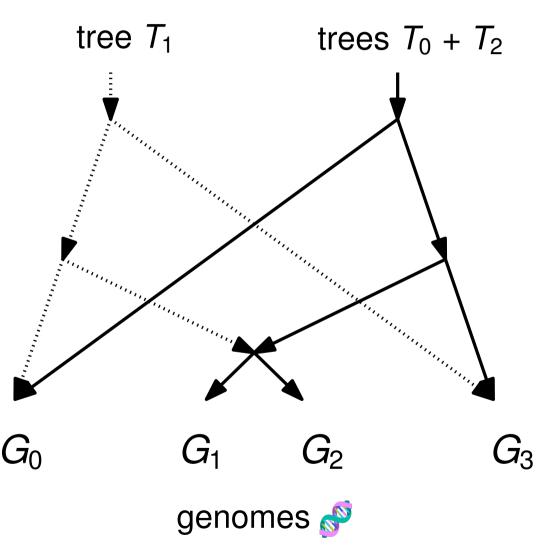
Children of a node processed before the node

Associate a node's result with its DAG node ID \Rightarrow Straight-forward memoization

Intermediate results reused 5.1 times on average



genealogical forest



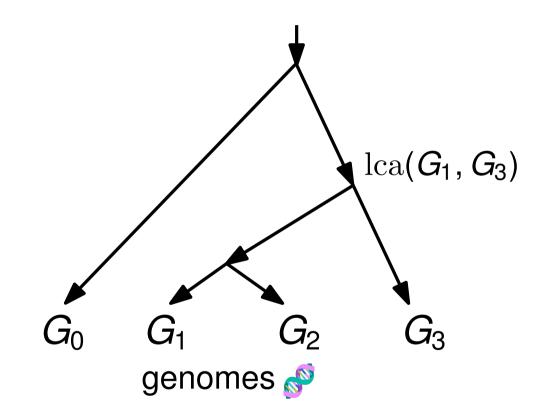
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Lowest Common Ancestors

9



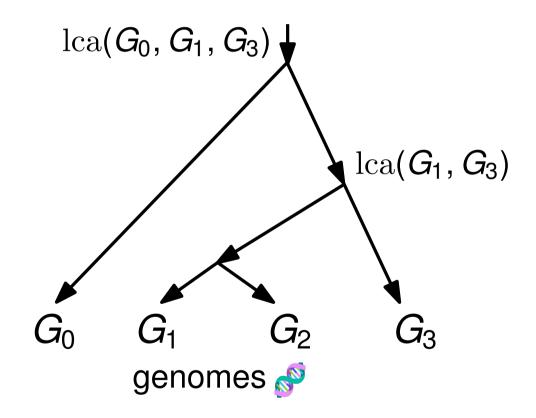


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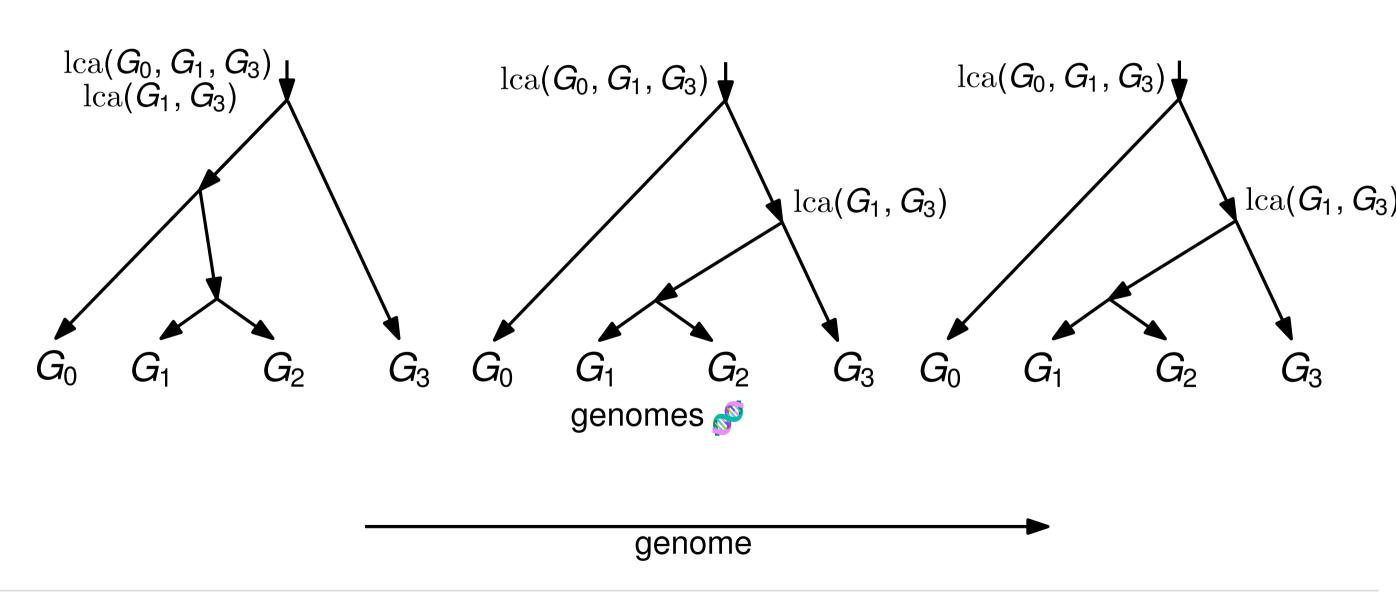




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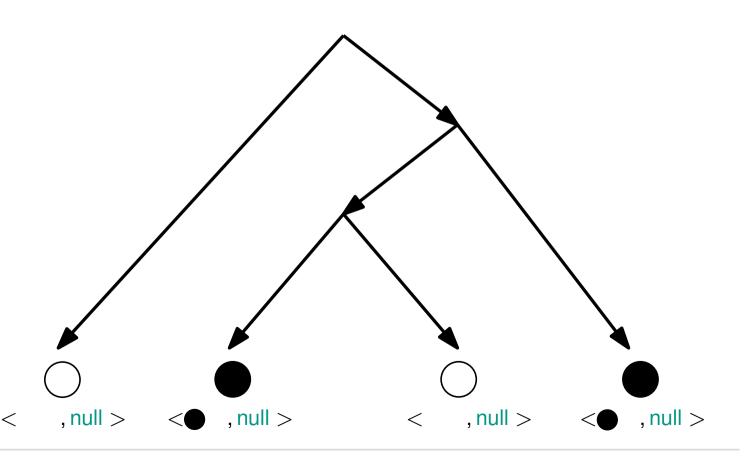
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Lowest Common Ancestor Node farthest from the root where paths to root converge Input: Selection of samples \bullet_{00}^{00} & Tree sequence $\wedge \wedge \wedge$

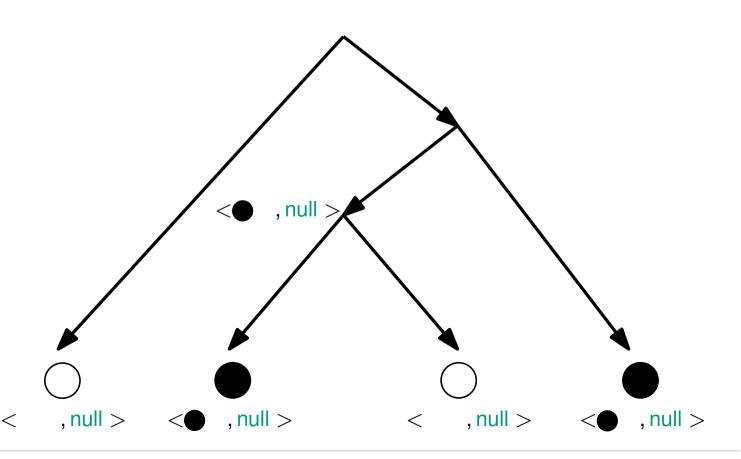
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 - \blacksquare < sample count, LCA >





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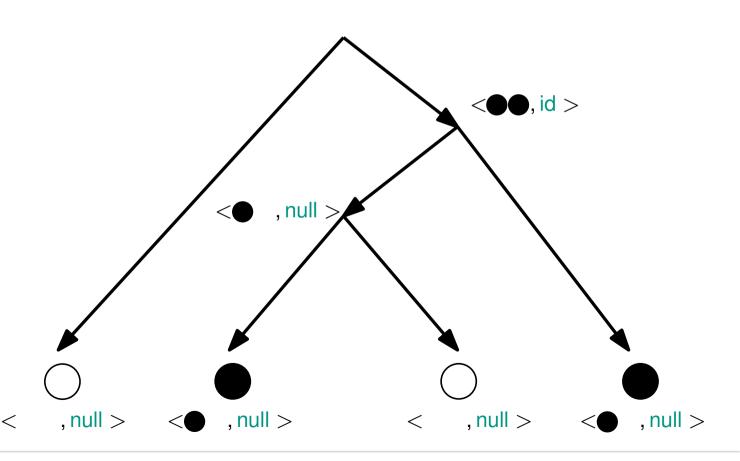


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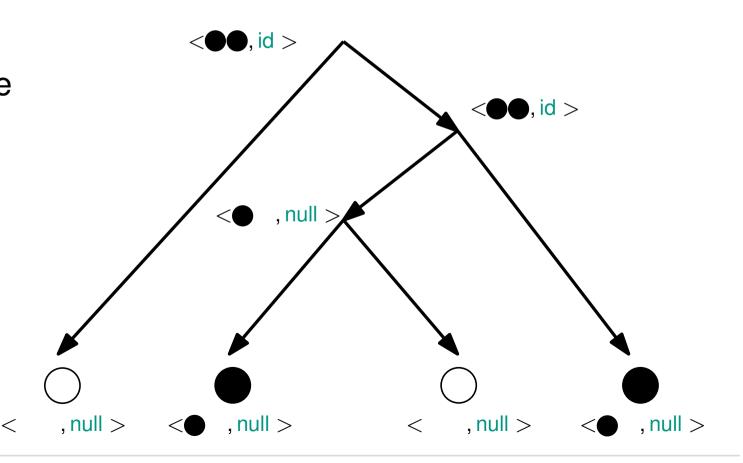


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- (2) Return LCA per tree in tree sequence



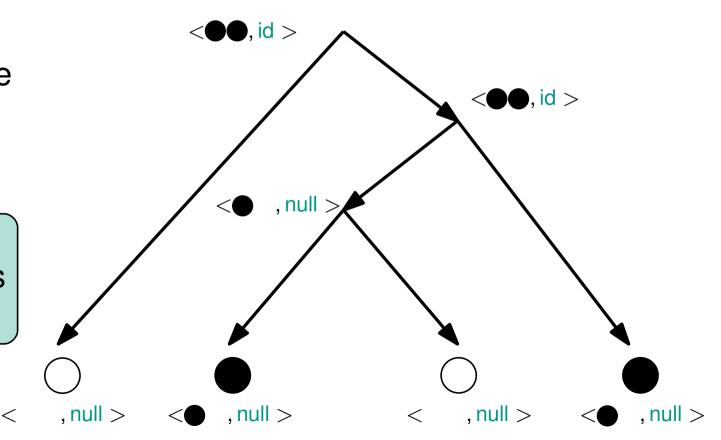


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- (1) Compute number of samples in subtree
 - post-order traversal

< sample count, LCA >

(2) Return LCA per tree in tree sequence



Runtime

gfkit: independent of selected samples
tskit: chains pair-wise queries

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Evaluation



Software and Hardware

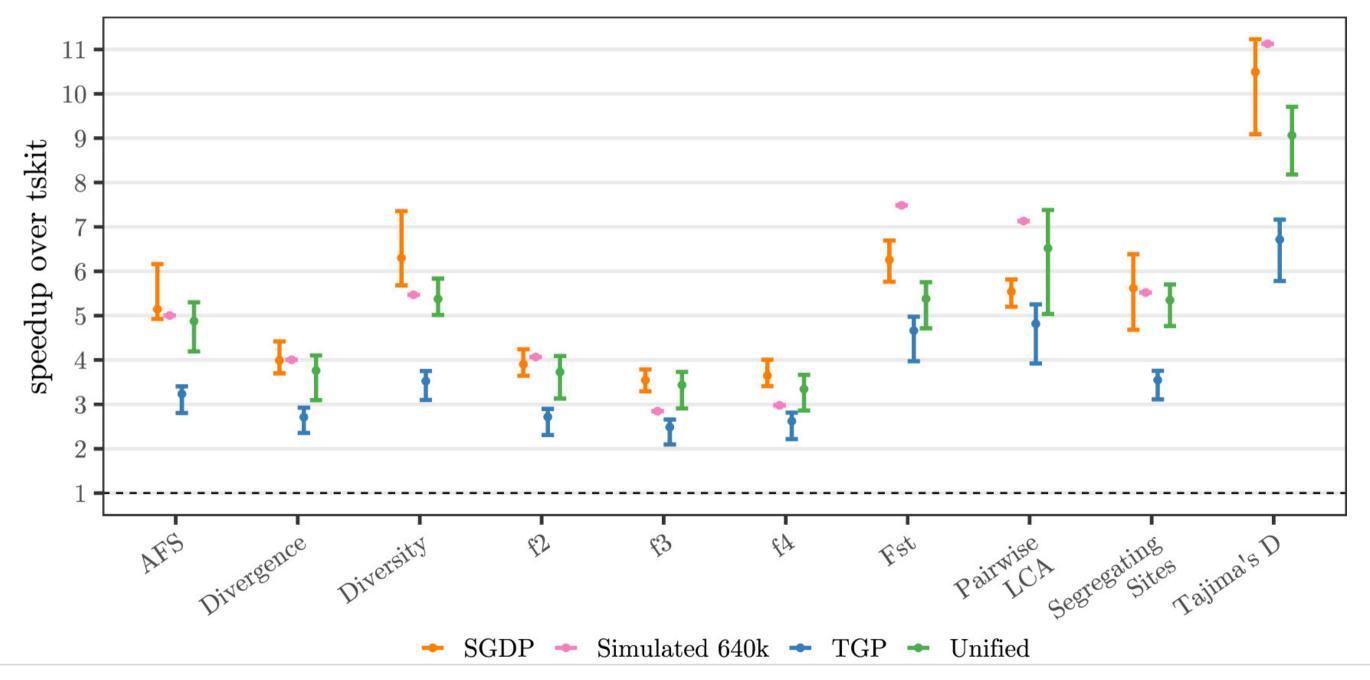
- **C++20**, CMake **3.25.1**, gcc **12.1**, ld **2.38**
- AMD EPYC 7551P CPU
- 8 banks of 32 GiB DDR4 RAM
- All experiments are single-threaded

Datasets

- Human (GRCh38)
- Empirical
 - Thousand Genomes Project (Auton et al., 2015)
 - Simons Genome Diversity Project (Mallik et al., 2016)
 - Unified (TGP+SGDP+Ancestral; Wohns et al., 2022)
- Simulated: Chromosome 20, 640 000 samples



Evaluation: Computing Statistics

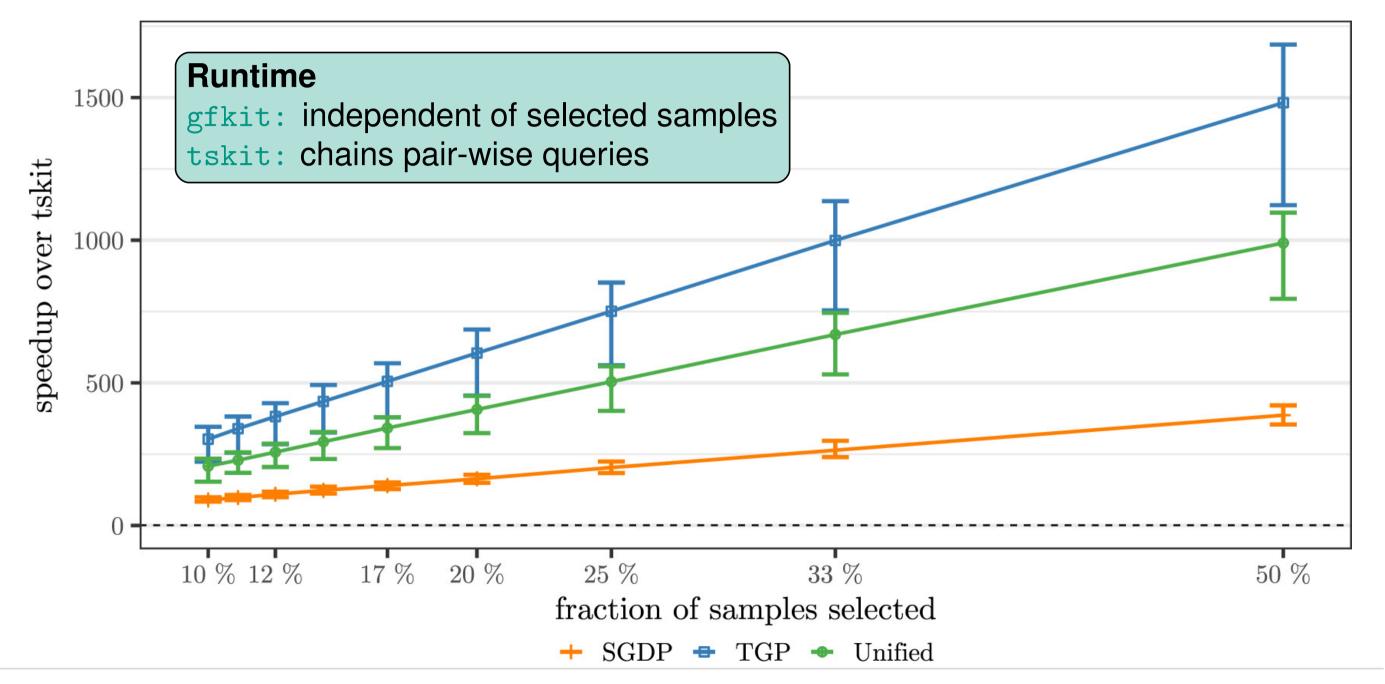


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Evaluation: Computing the LCA

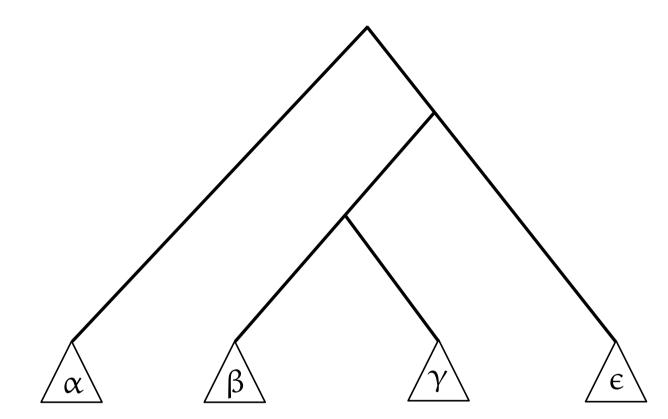




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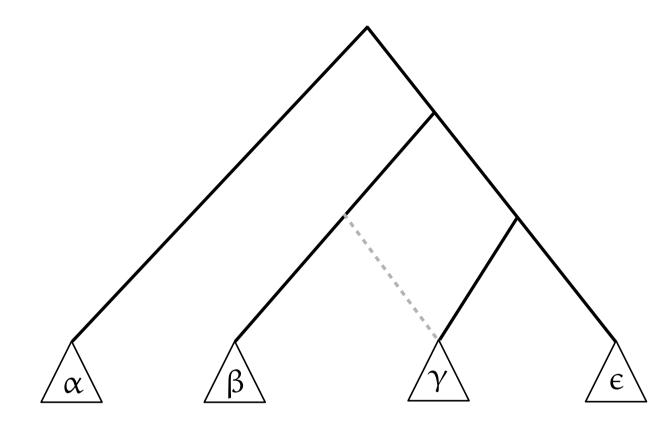




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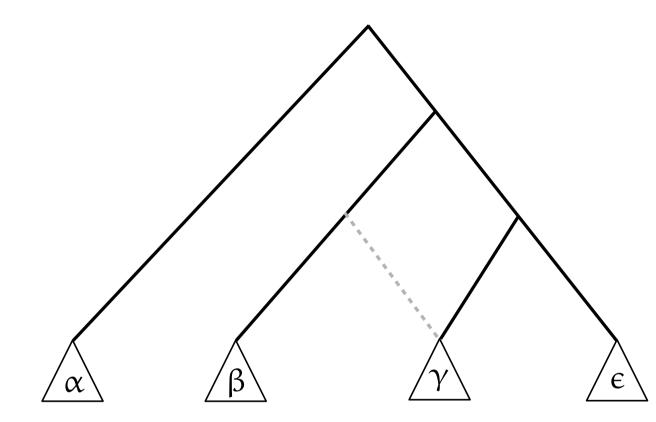
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Factoring out all unique subtrees

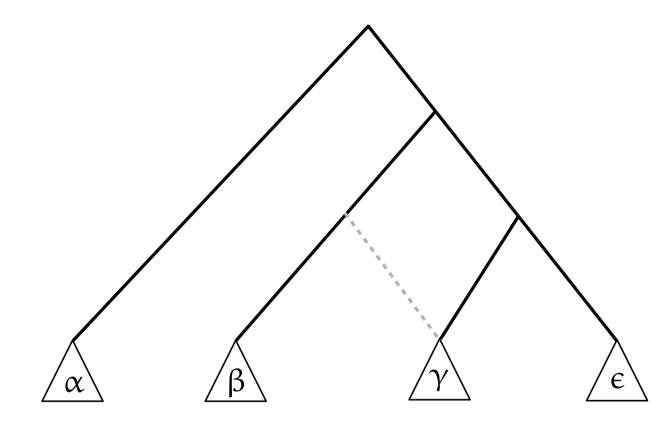
- A single edit (edge out/edge in)
- Possibly many new subtrees vs.

Reusing subtrees across all trees

- Unique subtrees encoded once, even if far apart along the genome
- Each subtree reused 5.1 times

14





Factoring out all unique subtrees

- A single edit (edge out/edge in)
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 vs.

Reusing subtrees across all trees

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gfkit needs 2.7 to 7.90 more space to store the trees

Limitations & Future Work



Current Limitations

- Higher memory usage on current empirical data
- Not all of tskit's features supported yet, e.g.
 - branch and node-based statistics
 - partial trees
 - augmentation to full ARGs

Future Work

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- Parallelization
- Top-tree based compression possible?
- Balanced-Parenthesis based encoding + string compression
- "Almost all" LCA-queries & All-Pairs LCA
- Automatic subpopulation detection

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Conclusion



- Evolutionary history of recombining organisms better modelled with multiple trees
- State-of-the-art: Store edit operations between trees along the genome
- Novel approach: Encode trees as DAG, storing unique subtrees only once
- Advantage: Straight-forward memoization of intermediate results
- Speedup: 2.1 to 11.2 (median 4.0; AFS-based statistics), 100 to 1000 (LCA)
- Main drawback: Higher memory usage