On the Efficient Implementation of Massively Parallel Quicksort

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Abstract

Parallel Quicksort is one of the most promising parallel sorting algorithms from the point of view of scalability. However, actual implementations have been limited to very basic versions of the algorithm so far and suffer from a number of deficiencies. We have implemented a high performance variant of parallel Quicksort which incorporates the following optimizations: Stop the recursion at the right time, sort locally first, use accurate yet efficient pivot selection strategies, streamline communication patterns, use locality preserving processor indexing schemes and work with multiple pivots at once. In particular, on meshconnected computers, the resulting algorithm turns out to be among the best practical sorting methods. It is about three times faster than the basic algorithm and achieves a speedup of 810 on a 1024 processor Parsytec GCel for the NAS parallel sorting benchmark of size 2 ± 1 . The optimized algorithm can also be shown to be asymptotically optimal on meshes.

$\mathbf{1}$ **Introduction**

Sorting has always been an important area of research both in theoretical and practical computer science. A disappointing observation is that some of the best practical algorithms like sample-sort are not very good from a theoretical point of view. In particular, these algorithms only work well for large amounts of data, i.e., they do not have a good scalability. On the other hand, there are algorithms which are theoretically very scalable. For example, parallel quicksort $[18]$. However, implementations of parallel quicksort (e.g. $[8, 1]$) are so far more a proof of concept than a competitive practical sorting algorithm. For large input sizes, simple implementations suffer from problems associated with load balancing and they require a higher communication bandwidth than other algorithms.

In this paper we describe our experiences with implementing a high performance parallel quicksort. Our work has been in
uenced by a number of interesting comparisons between parallel sorting algorithms regarding their practical usefulness ([3, 9, 6]). However, rather than screening a number of quite different algorithms, we intensively study on one basic strategy ${-}$ namely parallel quicksort.

In Section 2 we introduce some notation and describe our experimental setup. The basic algorithm for parallel quicksort is described in Section 3. Section 4 discusses the simple yet important measure to switch from quicksort to some specialized algorithm when few PEs are involved. Section 5 explains why it is advantageous to sort the locally present data before starting the main parallel algorithm. Particularly on mesh-connected machines, the locality preserving PE indexing schemes discussed in Section 6 signicantly reduce communication expense. Strategies for selecting good pivots as described in Section 7 turn out to be a prerequisite for simplications in the data exchange patterns introduced in Section 8. Finally, using multiple pivots at once, opens up a new world of algorithmic variants in Section 9. The bottom line performance of the implementation is compared to previous work in Section 10. After a short discussions of algorithmic variants for more general networks in Section 11, Section 12 discusses the results and outlines additional optimization opportunities. The Appendix contains a selection of the data sheets which give more details of our measurements.

$\overline{2}$ Basic terminology

We consider a distributed memory MIMD-machine with P PEs numbered 0 through $P-1$. Often, we additionally assume the interconnection network to be an r-dimensional mesh and that $P^{1/r}$ is a power of 2. (Generalizations are outlined in Section 11.) Initially, each PE holds n data items. After sorting, the PEs should collectively hold a permutation of the data such that the items are sorted locally and items on $PE\ i$ must not be larger than items on $PE\ j$ for $i < j$.

all measurements have been done on a part of the computer on a Parsytec GCel with 16 - 16 - 16 - 16 - 16 - 16 tively 52×52 PEs.1 The programs are written in \cup and use a thin portable communication layer [17] so that they run on many abstract machines (e.g. MPI, PVM, Parix). On the GCel the parallel operating system Cosy [4] ist used. The data items are generated according to the rules of the NAS Parallel Benchmark [2]. We use the ANSI-C library function qsort for sequential sorting.

¹We would like to thank the Paderborn Center for Parallel Computing for making this machine available.

Parallel quicksort 3

We now describe a portable algorithm for parallel quicksort which is similar to the algorithm described in [11] for 2D-Meshes. The sorting is performed by a recursive procedure which is called on a segment of P' PEs numbered P_0 PEs numbered P0 through $F_0 + F_1 = 1$. Each PE holds local items a_i for $0 \leq i \leq n$. Initially, $P_0 = 0$, $P' = P$ and $n' = n$. However, in general n' may take different values on each PE:

- If $P' = 1$ then sort locally and return.
- Else,
	- $-$ Collectively select one pivot p for all P $\,$ P $_{\rm ES}$ in the segment.
	- ${\cal T}$ Partition the a_i into n_s small liems s_j ($0 \leq j \leq n_s$) and n_l large items ι_k ($0 \leq \kappa < n_l$) such that $s_j \leq p$ and $\iota_k \geq p$.
	- $-$ Let N_s denote the total number of small items and N_l denote the total number of large items. Split the PEs in the segment into

$$
P_{\text{split}} := \text{round}\left(P'\frac{N'_s}{N'_s+N'_l}\right)
$$

PEs for the small items and $P' - P_{split}$ PEs for the large items.

- Redistribute the items such that "small" PEs receive only small items and "large" PEs receive only large items. Furthermore, each "small" ("large") PE ends up with $\frac{N_s}{R}$ $\left. \frac{N_s}{P_{\rm split}} \right| \;\,{\rm or}\;\,\left\lceil \frac{N_s}{P_{\rm split}} \right\rceil$ $\frac{N_s}{P_{\text{split}}}$ (je predstavlja $\frac{N_l}{P' - P_{\text{split}}}$ or ^l $\frac{N_l}{P'-P_\mathrm{split}}$) items.
- Call quicksort recursively (in parallel) for the segments of "small" and "large" PEs.

The necessary coordination between PEs can be performed using the collective operations broadcast, reduce and prefix sum on segments of PEs. It can be shown that even for a very simple pivot selection strategies (i.e. random pivots), the expected parallel execution time is bounded by

$$
\mathbf{E}T_{\text{par}} \in \mathcal{O}(n \log n + \log P(T_{\text{routing}}(n) + T_{\text{coll}}))
$$

where $T_{\text{routing}}(n)$ is the time required for exchanging n elments on each PE and T_{coll} is the time required for collective operations. The n log n term is due to sequential sorting and the $log P$ factor comes from the expeced recursion depth. For example, on a Butterfly-network, this reduces to $ET_{par} \in$ $O(n(\log n + (\log F))$.

Breaking the recursion $\overline{\mathbf{4}}$

When the PEs segments are split, there will in general be a roundoff error with the effect that one segment will get around $n/2$ more data items than the other segment. This error happens for every split and finally the PE which ends up with the largest number of items, dominates the time required for local sorting. We have observed a maximum final load between $1.77n$ and $3.16n$ depending on ⁿ and the quality the pivot selection. (For example, refer to data sheets 1 and 12.) This problem can be alleviated by stopping the recursion for segments of small size and switching to a different sorting algorithm. We use mergesplitting sort (e.g. [19]). Depending on other optimizations it turns out to be best to stop the recursion for segment sizes between two and four. The final algorithm has almost no data imbalance for large n.

$\overline{5}$ Sort locally first

Imbalance of the final data distribution has several disadvantages, but for large n its main impact on execution time is the increased time for local sorting which grows superlinearly with the imbalance. This problem can be solved by doing the local sorting initially before any communication takes place. To maintain the invariant that all items are sorted locally, the sorted sequences of items received during data redistributions are merged. The additional expense for merging is offset by the time saved for partitioning data. Partitioning is now possible in logarithmic time using binary search. Furthermore, more accurate pivot selection strategies are now feasible because the median of the locally present items can be computed in constant time. For large n (2^{15}), the overall improvement due to this measure is about 20 %. (For example refer to data sheets 37 and 55).

6 Locality preserving indexing schemes

In this section we assume that the interconnection network is a square mesh and $\log{\sqrt{P}} \in {\mathbb{N}}$. The usual way to number the PEs of a mesh is the row-major ordering shown in Figure 1-(a), i.e., the PE at row i and column j is numbered <u>provide a se</u> ^P + j. This has the disadvantage that the diameter of the subnetworks involved in data exchange decreases only very slowly, although the number of PEs involved decreases exponentially with the recursion depth. Even when <u>provide a contract de la provide de la p</u> there are only a constant number of PEs left, they may be at a distance of

A slight improvement is the snake-like ordering depicted in Figure 1-(b) where a segment of k consecutive PEs never has a diameter of more than $k-1$. But nevertheless there is only a constant factor decrease in the diameter of segments until the segment sizes fall below \sqrt{P} .

Figure 1: PE numbering schemes for $P = 16$ and $P = 64$.

What we would like to have is a scheme where any segment of PEs numbered i,. . . , ^j has a diameter in O p ^j ⁱ . . . In **i**s shown that the theories that the th Hilbert-indexing [10] depicted in Figure 1-(c) has a worst case segment diameter of exactly $3\sqrt{j-i} - 2$. (Recently, even better indexing schemes have been found. In [13] it is shown that a scheme based on a Sierpinski-curve has a worst case segment diameter of $\sqrt{8}$ <u>provide a se</u> ^j ⁱ 2.) In [13] this result is also generalized for a three-dimensional Hilbert-indexing with a diameter of at most $4.73485\sqrt[3]{i-i} - 3.$

Figure 2: Communication expense for each level of recursion for row-major, snakelike and Hilbert indexing schemes (refer to data sheet 1-3 for details).

The consequence of using these three different indexing schemes is shown in Figure 2. For row-major and snakelike ordering, the communication expense for redistribution decreases only slowly with decreasing segments sizes. For the Hilbert-indexing we have an exponential decrease of communication expense with the recursion depth. This property of the Hilbert indexing also has theoretical appeal. For example, it can be shown that using random pivot $\text{selection the execution time is in } \mathrm{O}\big(n\log n + n\big)$ provided a series of the contract of \cdots is the form probability for \cdots every legal input. This is asymptotically optimal. Analogous results can be obtained for higher dimensions. However, we observe the practical problem that in the first partitioning step, the Hilbert indexing is slowest. This is due to a more irregular communication pattern and offsets the advantage of the Hilbert indexing for small P.

In conjunction with the optimizations described in the next two sections, the shuffle indexing scheme depicted in Figure 1-(d) (also refer to $[11]$) is also a good choice. Although it is not locality preserving in the strict sense used above, its self similar and regular structure implies some advantages for algorithms exploiting this structure.

7 Pivot selection

We have implemented a number of increasingly accurate pivot selection strate- \mathbb{R}^{2} and the simplest case we take the 3-median of a0, and \mathbb{Z}_n and \mathbb{Z}_n on PE PO. On the other end of the spectrum, we use the median of the local medians of all PEs. For small n (e.g. $n = 128$) local strategies are faster. Nevertheless, it always pays off to invest more effort in median selection than using the 3-median. For large n , the more accurate global strategies are always preferable. Very accurate pivot selection strategies are also a prerequisite for the algorithmic simplifications described next.

8 Simplifying communication patterns

In [11] a very simple form of parallel quicksort for hypercubes is described. But it can also be used on other machines. In particular when P is a power of two: Simply set $P_{\text{split}} := P_{\perp}/2$. A \parallel small \parallel PE with number i sends its large items to PE $i + P_{split}$. A "large" PE with number i sends its small items to PE $i - P_{split}$. This has the following advantages over the more complicated algorithm:

- Ideally, only half the data is moved for each level of recursion.
- The prefix sums and other collective communications are saved.
- Every PE sends and receives exactly one packet.
- The communication pattern is very regular. This effect significantly increases the effective network bandwidth.
- On a 2-D mesh, the overall distance traveled by a data item through all $\log P$ levels of recursion is in $\mathcal{O}(\sqrt{P})$ even for row-major indexing. For \sim row-major and shuffle indexing it is at most $2\sqrt{P} - 2$, i.e., the network diameter thus matching a trivial lower bound. Since the latter two indexings additionally imply more regular communication patterns than the Hilbert indexing they are the best choice now.

The drawback of this simple approach is that the load imbalance can grow exponentially with the recursion depth. But for randomly distributed data, the median-of-medians pivot selection strategy described above is so accurate that the resulting imbalance is quite tolerable. For randomly distributed data, the imbalance even decreases. Apparently, the property of random data distribution is destroyed by the prex sum based algorithm while it is maintained by the simplified algorithm. Figure 3 compares the final load imbalance and the communication expense per recursion level with and without simplified communication. (256 PEs, row-major indexing, median of medians pivot selection. For details refer to data sheets 41 and 50.)

Figure 3: Final load imbalance and communication expense per recursion level with and without simplified communication for 256 PEs, row-major indexing and median of medians pivot selection. For details refer to data sheets 41 and 50.)

When the input is not randomly distributed, the simplified algorithm can still be applied by performing a random permutation of the data before starting the sorting algorithm. For large n , the additional cost for this permutation is offset by saving more than 50 $\%$ of the communication costs in the main algorithm.

Multiple pivots 9

Suppose we not only have an estimate for the median of the data items, but estimates for the \div -quantiles⁻ of the data for $0 \lt l \lt k$. Then we can split the data into k partitions using a single redistributions and the single redistribution. For the production of the is known as selection sort. Some of the best sorting algorithms from the theoretical point of view also use this approach with $k \ll P$ [15]. By increasing k , the recursion depth decreases but the data has to travel on longer paths and in smaller packets. Furthermore, it becomes more and more difficult to find accurate pivots.

We have implemented a multi-pivot quicksort using the medians of the local $\frac{1}{k}$ -quantiles as pivots. Unoosing $k = 4$ turned out to be the best choice in all cases. This value is particularly "magical" for the shuffle indexing scheme. Together with the simplied communication scheme of the previous section it turns out that the communication patterns of all iterations are identical except that the distances are halved in each iteration. While the shuffle indexing did not yield an improvement for the previously considered algorithmic variants, it now turns out to be the overall \winner". (Although the improvement is not very large in our measurements.) It implies a more regular communication pattern than the Hilbert indexing and it is superior to row-major indexing because it can exploit both horizontal and vertical network interconnections in every iteration. Figure 4 shows the execution times per item per PE on 16-16 PEs for the simplified communication pattern using $k = 2$ respectively $k = 4$. Multiple pivots yield improvements for small and medium input sizes. In the multi-pivot case, the shuffle indexing slightly outperforms row-major indexing. (For a single pivot the timings are identical for both indexing schemes. Refer to data sheets 50, 55, 66 and 69 for details.)

Figure 4: Execution time for different input sizes for $k = 2$ and $k = 4$. (For details refer to data sheets 50, 55, 66 and 69.)

²An α -quantile p_{α} of m items has the property that αm items are not larger than p_{α} and $(1 - \alpha)m$ items are not smaller than p_{α} .

Overall performance 10

Figure 5-(a) compares the performance of the basic parallel quicksort (no specialized routine for small numbers of PEs, median-of-3 pivot of a single PE, local sorting at the end) with the best variant we have implemented (mergesplitting sort for two PEs, initial local sorting, 3 pivots using medians of quantiles, simplied communication, shue indexing) on 16 - 16 PEs. The nal algorithm is about three times faster than our basic algorithm. (Also compare data sheets 1 and 69). For large *n* it also achieves a high efficiency. Figure 5-(b) and (c) show the speedup for 256 respectively 1024 PEs.³

Figure 5-(d) compares our nal algorithm on 32 - 32 PEs (data sheet 72) with the timings measured in $[6]$ for five other sorting algorithms on the same machine⁴ and the same measurement approach. The basic algorithm would just barely be able to compete for medium n. The optimized algorithm is the best algorithm for the entire range of n measured. For medium sized inputs it is three times faster than the best of the other algorithms.

This demonstrates that quicksort has to be counted among the best practical sorting algorithms { in particular for meshes. However, it is too early to claim its superiority over the other algorithms because we do not know how careful they have been implemented. For example, for large n , sample-sort has the advantage that items have to be moved only once. Although this is not a big advantage on meshes, an implementation of sample-sort which employs a carefully tuned routine for all-to-all message exchange, should be at least as efficient as quicksort for large n .

11 Coping with more general Networks

Adapting the algorithmic measures described above for meshes with higher dimensions is straightforward. We only have to adapt the indexing schemes accordingly. The same holds for non-square meshes as long as P is a power of two. For other cases and small n, straightforward measures like concentrating (locally sorted) data in a square submesh might be adequate. But at least for large ⁿ the available computation and communication capacity should be fully exploited. Fortunately, for large numbers of randomly distributed locally sorted items, we can adapt the pivot selection strategy. Consider an ^a - ^b mesh. Split the data between four submeshes of size , bbc = 2 c, dec respectively. Choose respectively. Choose respectively. Choose respectively. Choose respectiv the medians of the local $\frac{127-167-167-167-167-167-167-167}{ab}$ quantiles and

the dotted parts of the line could not be directly measured because there was not enough t memory to hold the data. Therefore, the sequential execution time has been estimated by fitting a curve of the form $an+bn \log n$ to the execution time of the sequential qsort routine.

⁴ However, the Paderborn group uses Parix rather than Cosy as a communication system. Parix has lower latencies so that our results look worse for small n. Cosy allows for a higher communication bandwidth. But even articially halving our communication bandwidth did not change the relative performance of the algorithms.

Figure 5: Overall performance of the optimized algorithms.

 $\frac{2e}{ab}$ -127 -127 -127 -127 -127 -127 -124 -quantiles. Changes for higher-dimensional networks, single pivots, etc. are straightforward. The single-pivot variant of this approach somewhat resembles the QSP-2-algorithm described in [18]. However, the new algorithm avoids the large load imbalance observed for QSP-2 because of better pivots and because the recursion is stopped for small meshes. Furthermore, the QSP-2 is not a sorting algorithm in the strict sense since it does not us a fixed PE-indexing.

Even networks which are not a mesh can prot from specialized subdivision strategies. For example, a cluster computer often consists of multiple small tightly coupled parallel machines interconnected by a relatively low bandwidth network with high startup overheads. In this case, it makes sense to partition the data in such a way that all subsequent communication is within the tightly coupled subnetworks.

12 Conclusions and Future Work

Parallel quicksort is among the best parallel sorting algorithms known. From a theoretical point of view, quicksort with locality preserving indexing schemes is perhaps the simplest known algorithm for meshes which is asymptotically optimal. Unlike other asymptotically optimal algorithms, the data items have to be moved only $O(\log P)$ times. This makes the algorithm appealing from a practical point of view because message startup overheads are high on todays machines. However, certain optimizations like breaking the recursion and choosing good pivots are required for achieving high performances in terms of constant factors. Sorting locally first reduces the impact of load imbalance and simplifies pivot selection. For randomly distributed inputs, a large improvement can be achieved by *simplifying* the algorithm at the right place. These improvements are so large, that it seems worthwhile to randomly permute the data initially.

There is a large number of additional optimizations which might be interesting for future research:

- If we are willing to accept that some PEs are responsible for two data partitions, quicksort can be implemented without any imbalance at all. This possibility has been considered in [18] but the required additional communication is overestimated there for large ⁿ because a PE with a partition boundary exchanges smaller messages.
- \bullet We could use better algorithms for small P. Sample sort might be a good choice.
- Currently, the median selection strategies are implemented by gathering the required data on a single PE. For large P , parallelizing pivot selection could be an improvement. This is also a prerequisite for reconciling the theoretical analysis with the practically useful optimizations. For

example, the parallel median selection algorithm described in [16] might be useful.

- Try pivot selection strategies based on random samples which do not require a random data distribution.
- Tune message exchange and merging steps such that copying is minimized.
- Currently, we use a relatively simple, high level implementation for collective communication. Exploiting tuned implementations (which are available in high quality MPI-Implementations for example) should yield significant improvements for small n .

Ultimately, the goal could be a toolbox of reusable components which can be configured to yield a very efficient sorting algorithm on many different architectures and for different input specifications. We expect that for very large *n* or small *P*, sample sort or one of its deterministic relatives (e.g. [12]) will be the method of choice. For smaller n or for sorting samples, quicksort will be better $-$ in particular on meshes. For very small amounts of data, specialized methods like the ones used in [16] can be used. Models for the algorithmic components and the machines could be calibrated using profiling data in order to make it possible to automatically plan an optimal combination of methods for every situation.

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\mathbf{A} Data sheets

We have done extensive measurements for a wide range of algorithmic variants using the same measurement regime. This appendix shows a selection of 11 out of 72 data sheets which are particularly relevant for the points discussed here. The remaining data sheets are available on the WWW under http://liinwww.ira.uka.de/~sanders/sorting/. We now give a short account on the meaning of the fields in the tables. A more detailed discussion can be found in [7] (also available under the above URL). All the values shown below are averages over ten measurements. The topmost table defines the algorithmic variant used: Initial or final local sorting? *Machine* used. Num ber of pivots used. Indexing scheme used. (Besides the row-major, snakelike, Hilbert and shuffle indexing schemes described above, we have also experimented with variants of the latter two called H-indexing and Z-indexing.) Pivot selection strategy. Reduced communication, exact partitioning and exact partners together constitute what we have called "simplified communication" pattern" here. Data exchange inversion stands for a variant of the data redistribution phase which yields a more regular communication pattern for the Hilbert indexing scheme.

In the middle of the sheet there are four graphs showing the parallel execution time divided by n, the maximal communication expense for the i -th level of recursion, the final load balance and the relative amount of work invested in local sorting. All graphs except the second show these values for $n = 2^7$ t hrough $n = 2$ 1. The communication expense per recursion level is only shown for $n = 2^{-5}$.

The table at the bottom of the sheet shows numeric measurements for the different input sizes. Namely, parallel execution time divided by n , parallel execution time, time for the Quicksort recursion, time for local sorting, time for merge splitting sort, minimum and maximum number of items ending up on any PE, number of network packets sent, total path-length of all network packets and average recursion depth reached.

Data Sheet 1

Quicksort	with final local sorting		
machine	GCel with 256 processors		
number of pivots		processor numbering	row major
pivot selection	3-median of root processor		
reduced communication		no exact bisection	no
exact partner processors		no data exchange inversion	no

time division

Data Sheet 2

Quicksort		with final local sorting	
machine	GCel with 256 processors		
number of pivots		processor numbering	snake-like
pivot selection	3-median of root processor		
reduced communication		no exact bisection	no
exact partner processors		no data exchange inversion	n _O

 1024

256 1024 4096 16384 per processor

16384

4096

time division

average recursion depth 9.68 10.03 9.43 9.68 9.64 9.42 9.41 9.34 9.41

average recursion depth

 8.20

 8.10

 8.11

 8.06

 8.06

 8.05

8.03

8.02

8.01

 256 1024 4096 16384 per processor

 -6384

512 1024 2048 4096 128 32768 8192

Data Sheet 37

Data Sheet 41

.
ge recursion depth

avers

number of data packets 7680 7680 7680 7680 13300 21521 37530 68717 130452

average recursion depth

average recursion depth 8.00 8.00 8.00 8.00 8.00 8.00 8.00 8.00 8.00

