

# Algorithmen II

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Web:

[http://algo2.iti.kit.edu/AlgorithmenII\\_WS18.php](http://algo2.iti.kit.edu/AlgorithmenII_WS18.php)

# 5 Maximum Flows and Matchings

[mit Kurt Mehlhorn, Rob van Stee]

Folien auf Englisch

Literatur:

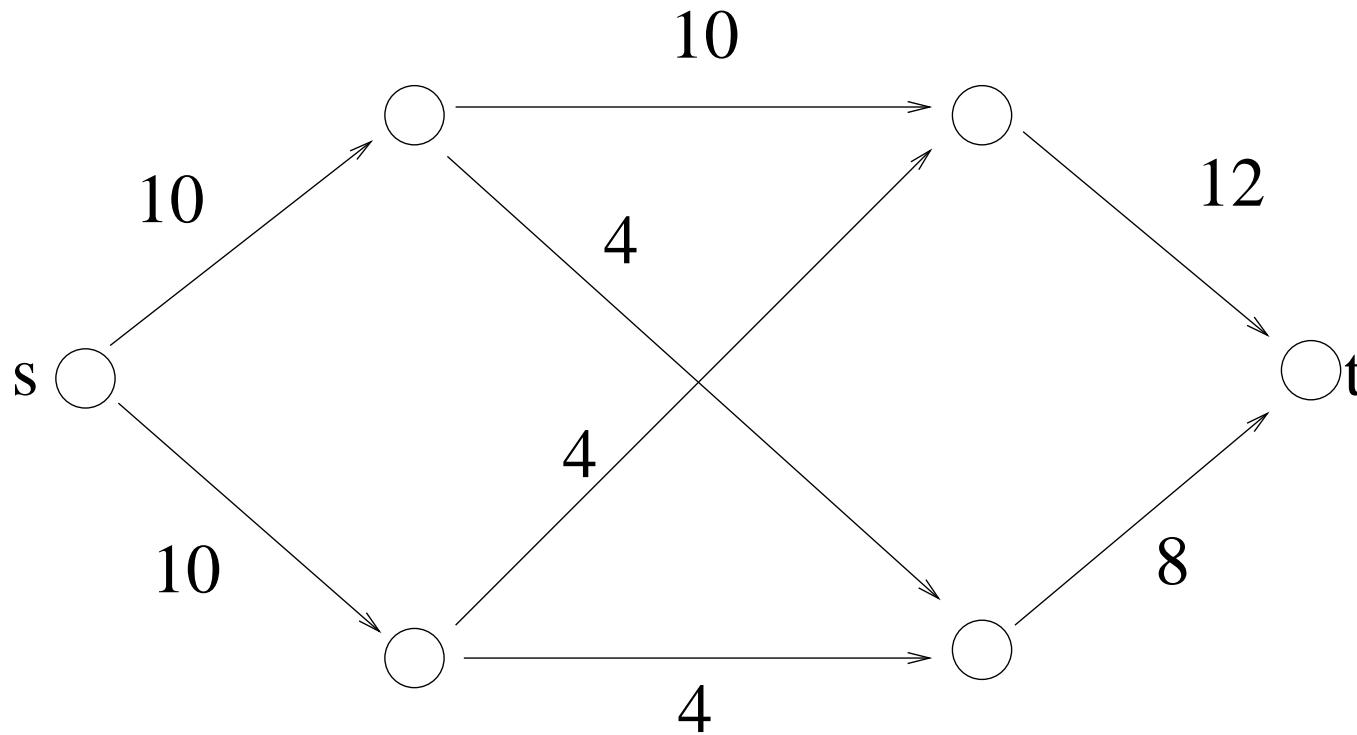
[Mehlhorn / Näher, The LEDA Platform of Combinatorial and Geometric Computing, Cambridge University Press, 1999]

[http://www.mpi-inf.mpg.de/~mehlhorn/ftp/LEDAbook/Graph\\_alg.ps](http://www.mpi-inf.mpg.de/~mehlhorn/ftp/LEDAbook/Graph_alg.ps)

[Ahuja, Magnanti, Orlin, Network Flows, Prentice Hall, 1993]

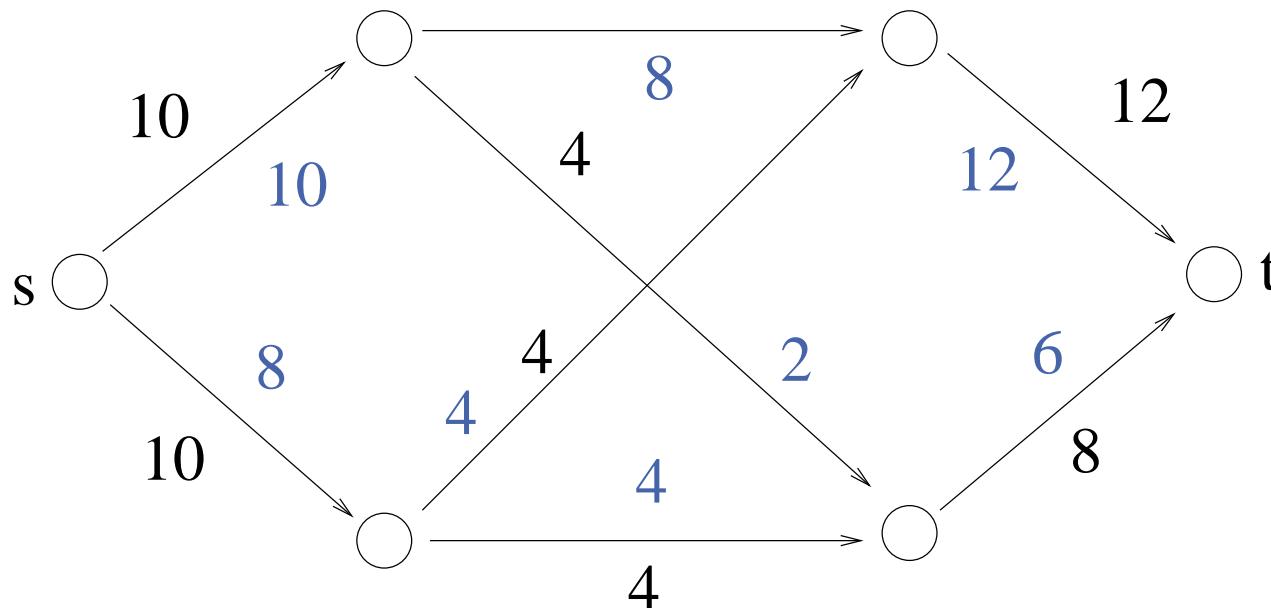
# Definitions: Network

- Network = directed weighted graph with  
**source node  $s$**  and **sink node  $t$**
- $s$  has no incoming edges,  $t$  has no outgoing edges
- Weight  $c_e$  of an edge  $e$  = **capacity** of  $e$  (nonnegative!)



## Definitions: Flows

- Flow = function  $f_e$  on the edges,  $0 \leq f_e \leq c_e \forall e$   
 $\forall v \in V \setminus \{s, t\}$ : total incoming flow = total outgoing flow
- Value of a flow  $\text{val}(f) = \text{total outgoing flow from } s =$   
**total flow going into  $t$**
- Goal: find a flow with maximum value

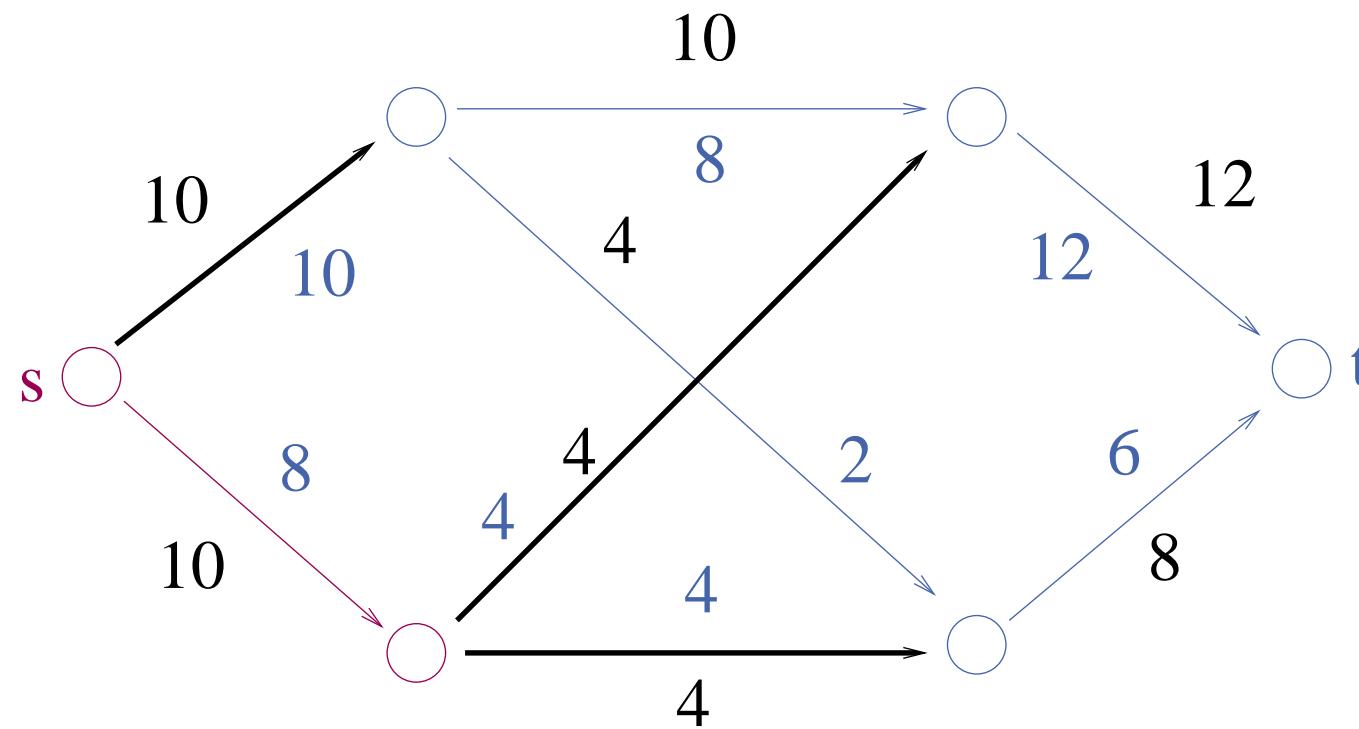


## Definitions: (Minimum) $s$ - $t$ Cuts

An  $s$ - $t$  cut is partition of  $V$  into  $S$  and  $T$  with  $s \in S$  and  $t \in T$ .

The **capacity** of this cut is:

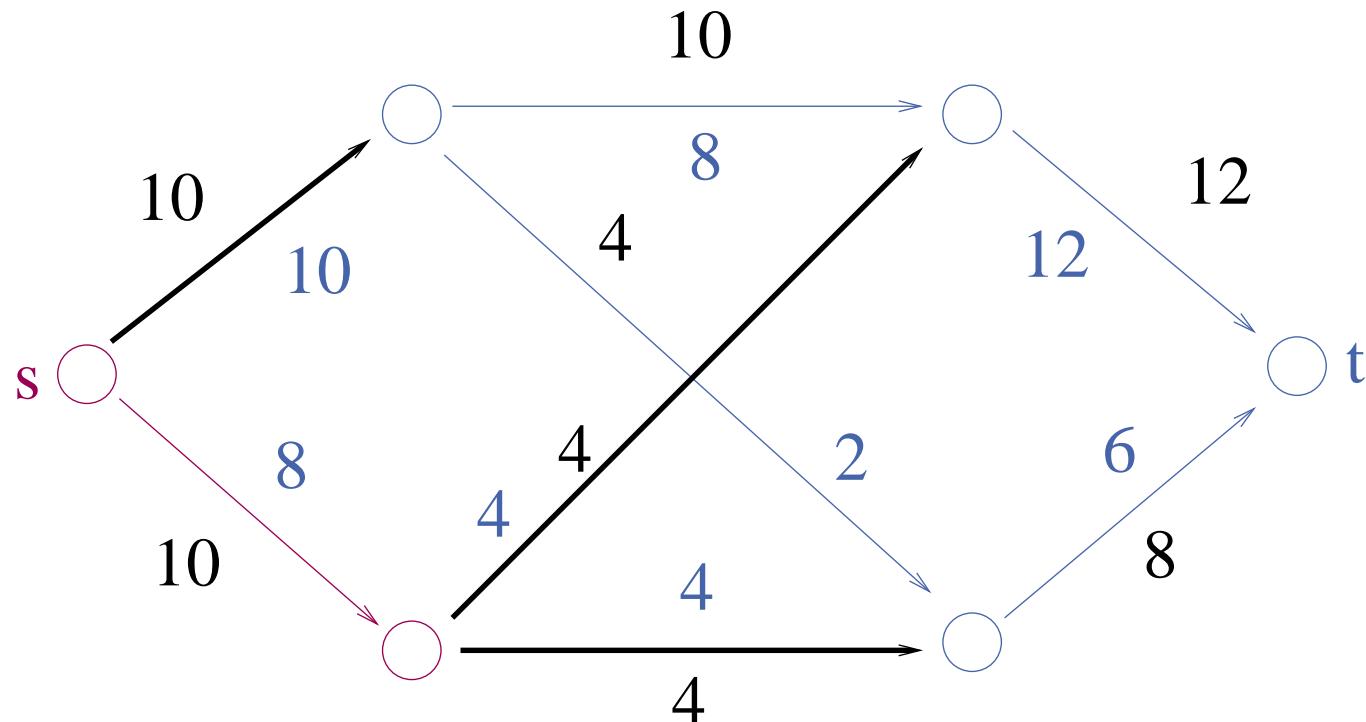
$$\sum \{c_{(u,v)} : u \in S, v \in T\}$$



# Duality Between Flows and Cuts

**Theorem:** [Elias/Feinstein/Shannon, Ford/Fulkerson 1956]

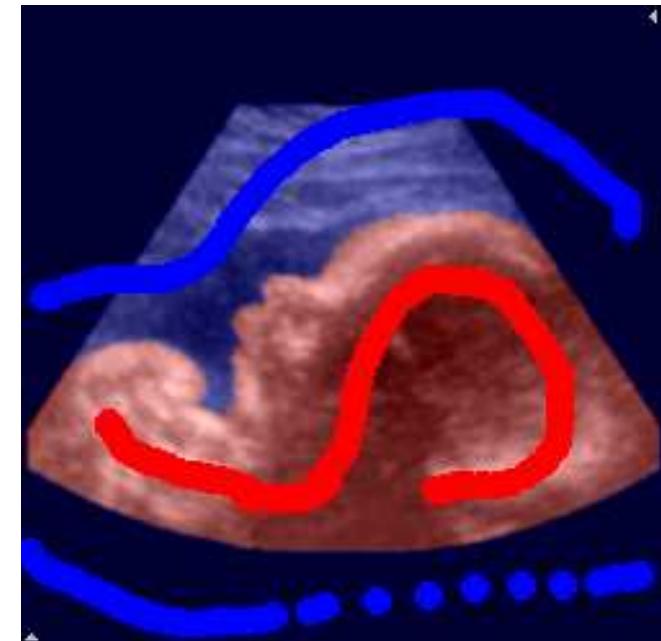
Value of an  $s$ - $t$  max-flow = minimum capacity of an  $s$ - $t$  cut.





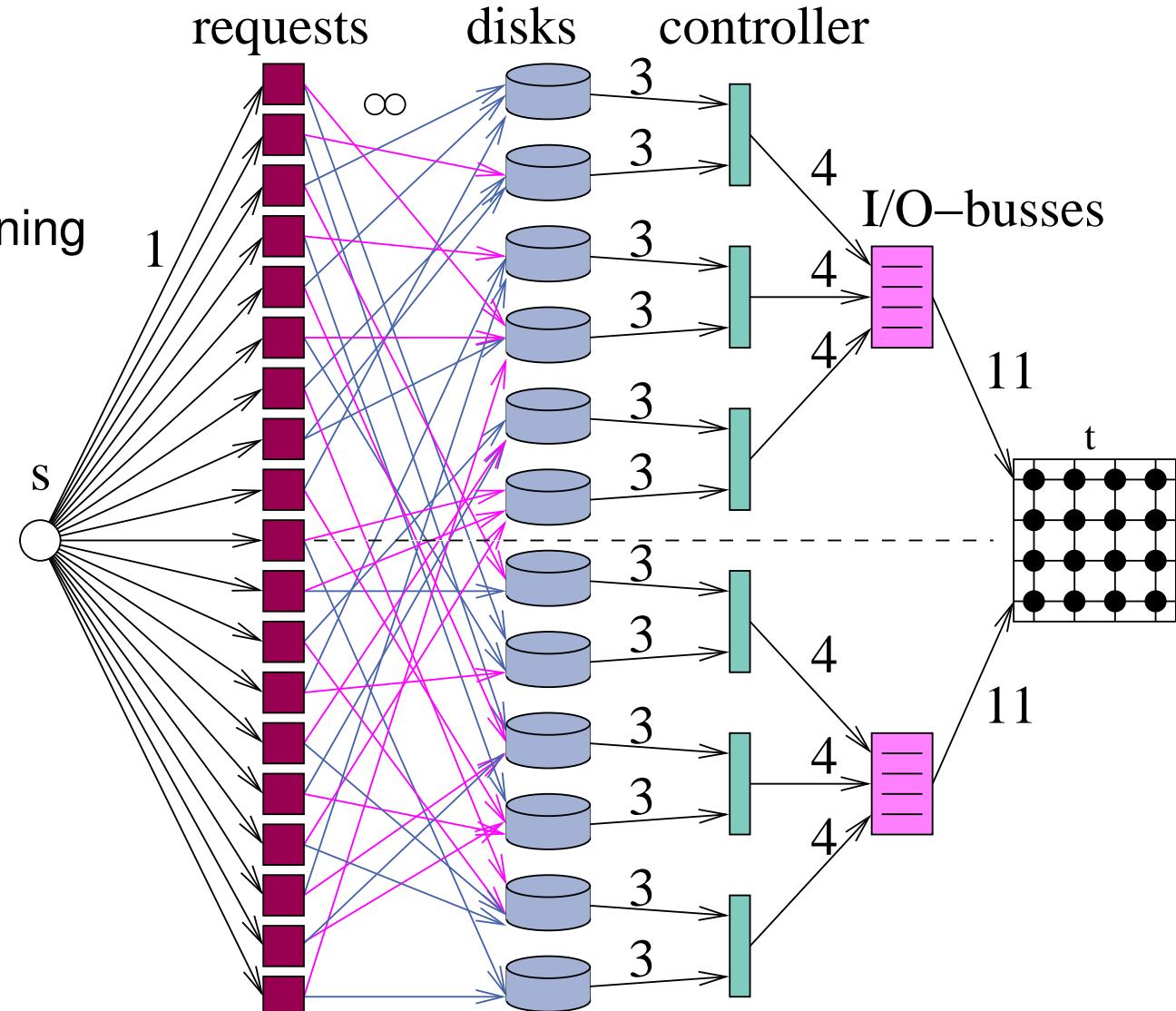
# Applications

- Oil pipes
- Traffic flows on highways
- Image Processing <http://vision.csd.uwo.ca/maxflow-data>
  - segmentation
  - stereo processing
  - multiview reconstruction
  - surface fitting
- disk/machine/tanker scheduling
- matrix rounding
- ...



# Applications in our Group

- multicasting using  
network coding
- balanced  $k$  partitioning
- disk scheduling



## Option 1: linear programming

- Flow variables  $x_e$  for each edge  $e$
- Flow on each edge is at most its capacity
- Incoming flow at each vertex = outgoing flow from this vertex
- Maximize outgoing flow from starting vertex

We can do better!



# Algorithms 1956–now

Year	Author	Running time	
1956	Ford-Fulkerson	$O(mnU)$	
1969	Edmonds-Karp	$O(m^2n)$	
1970	Dinic	$O(mn^2)$	
1973	Dinic-Gabow	$O(mn \log U)$	$n$ = number of nodes
1974	Karzanov	$O(n^3)$	$m$ = number of arcs
1977	Cherkassky	$O(n^2\sqrt{m})$	$U$ = largest capacity
1980	Galil-Naamad	$O(mn \log^2 n)$	
1983	Sleator-Tarjan	$O(mn \log n)$	

Year	Author	Running time
1986	Goldberg-Tarjan	$O(mn \log(n^2/m))$
1987	Ahuja-Orlin	$O(mn + n^2 \log U)$
1987	Ahuja-Orlin-Tarjan	$O(mn \log(2 + n\sqrt{\log U}/m))$
1990	Cheriyan-Hagerup-Mehlhorn	$O(n^3 / \log n)$
1990	Alon	$O(mn + n^{8/3} \log n)$
1992	King-Rao-Tarjan	$O(mn + n^{2+\varepsilon})$
1993	Philipps-Westbrook	$O(mn \log n / \log \frac{m}{n} + n^2 \log^{2+\varepsilon} n)$
1994	King-Rao-Tarjan	$O(mn \log n / \log \frac{m}{n \log n})$ if $m \geq 2n \log n$
1997	Goldberg-Rao	$O(\min\{m^{1/2}, n^{2/3}\} m \log(n^2/m) \log U)$
2014	Lee-Sidford	$O(m\sqrt{n} \log^2 U)$

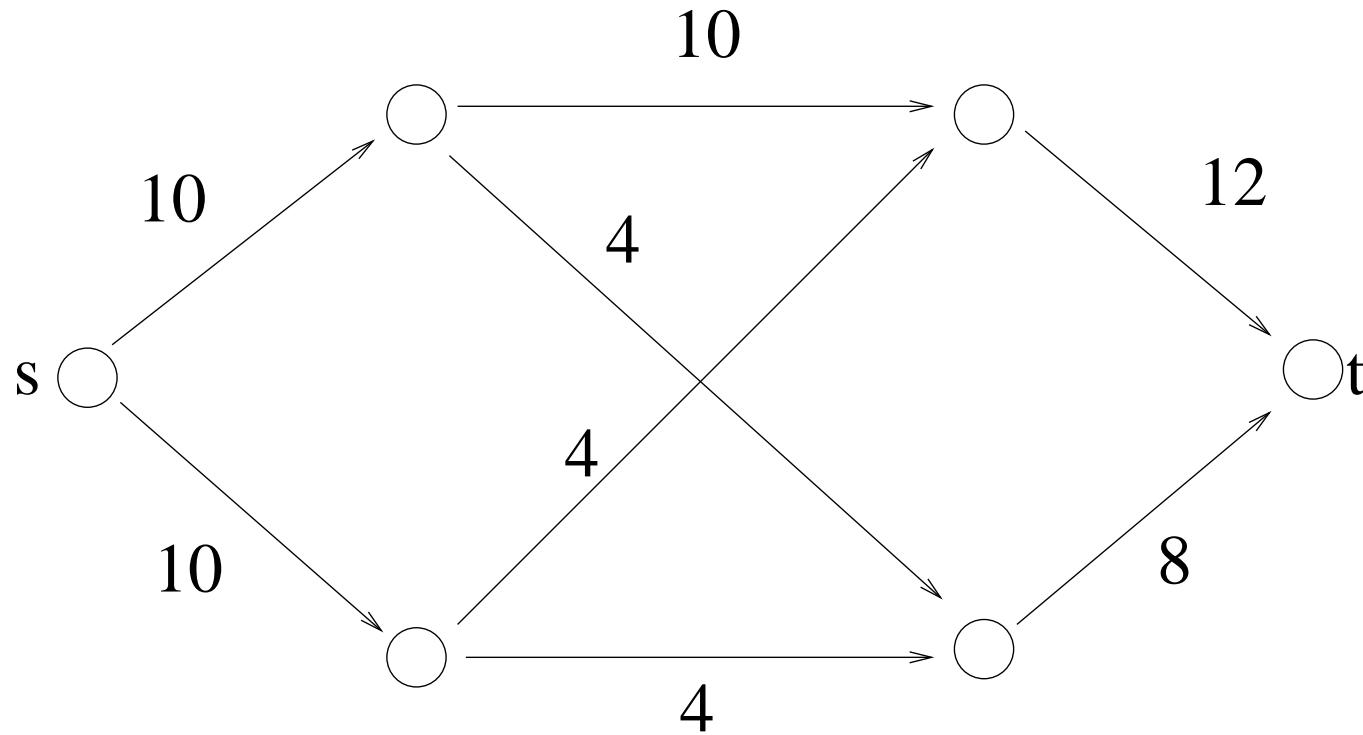
## Augmenting Paths (Rough Idea)

Find a path from  $s$  to  $t$  such that each edge has some **spare capacity**

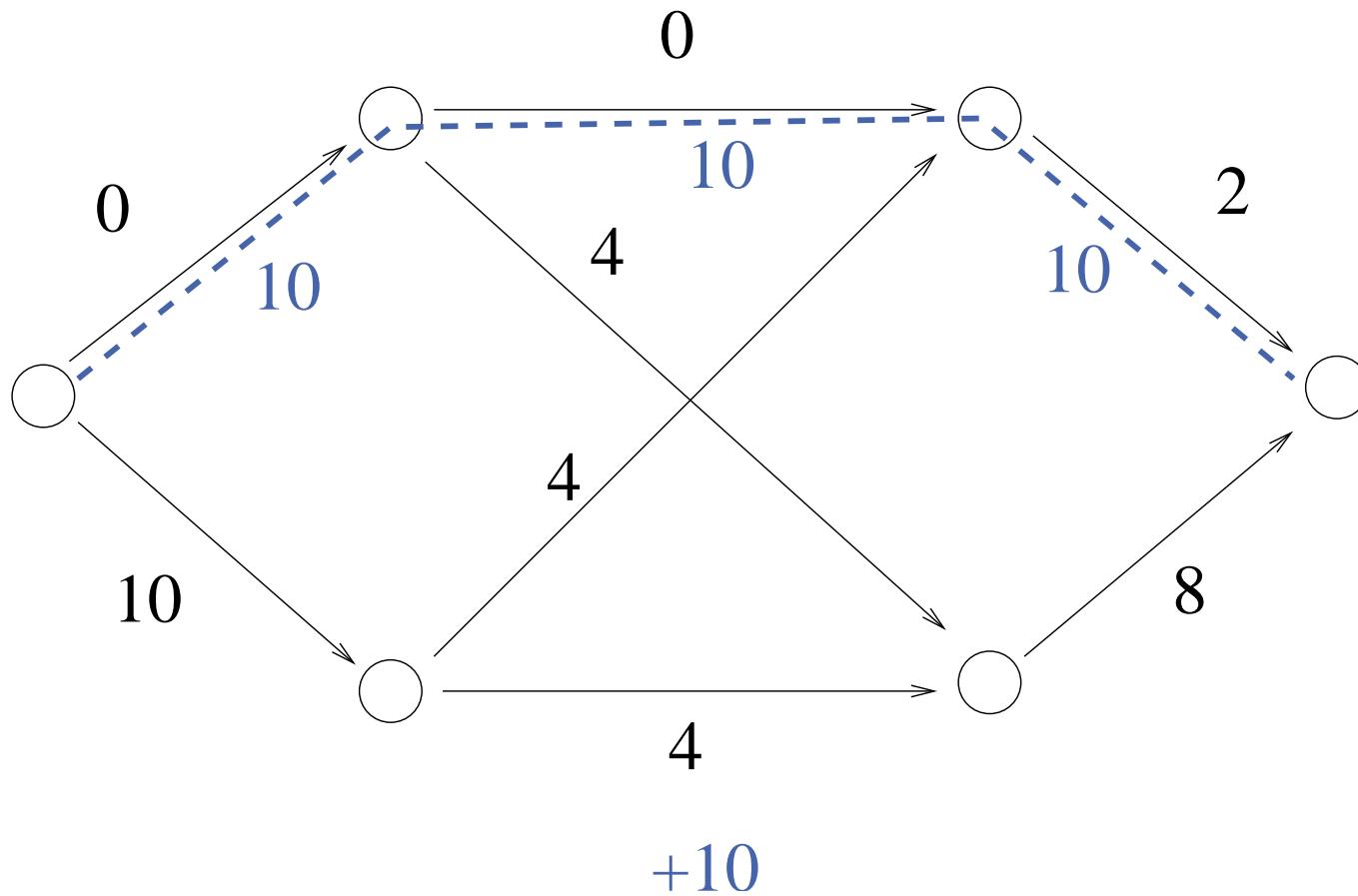
On this path, **saturate** the edge with the smallest spare capacity

**Adjust capacities** for all edges (create residual graph) and repeat

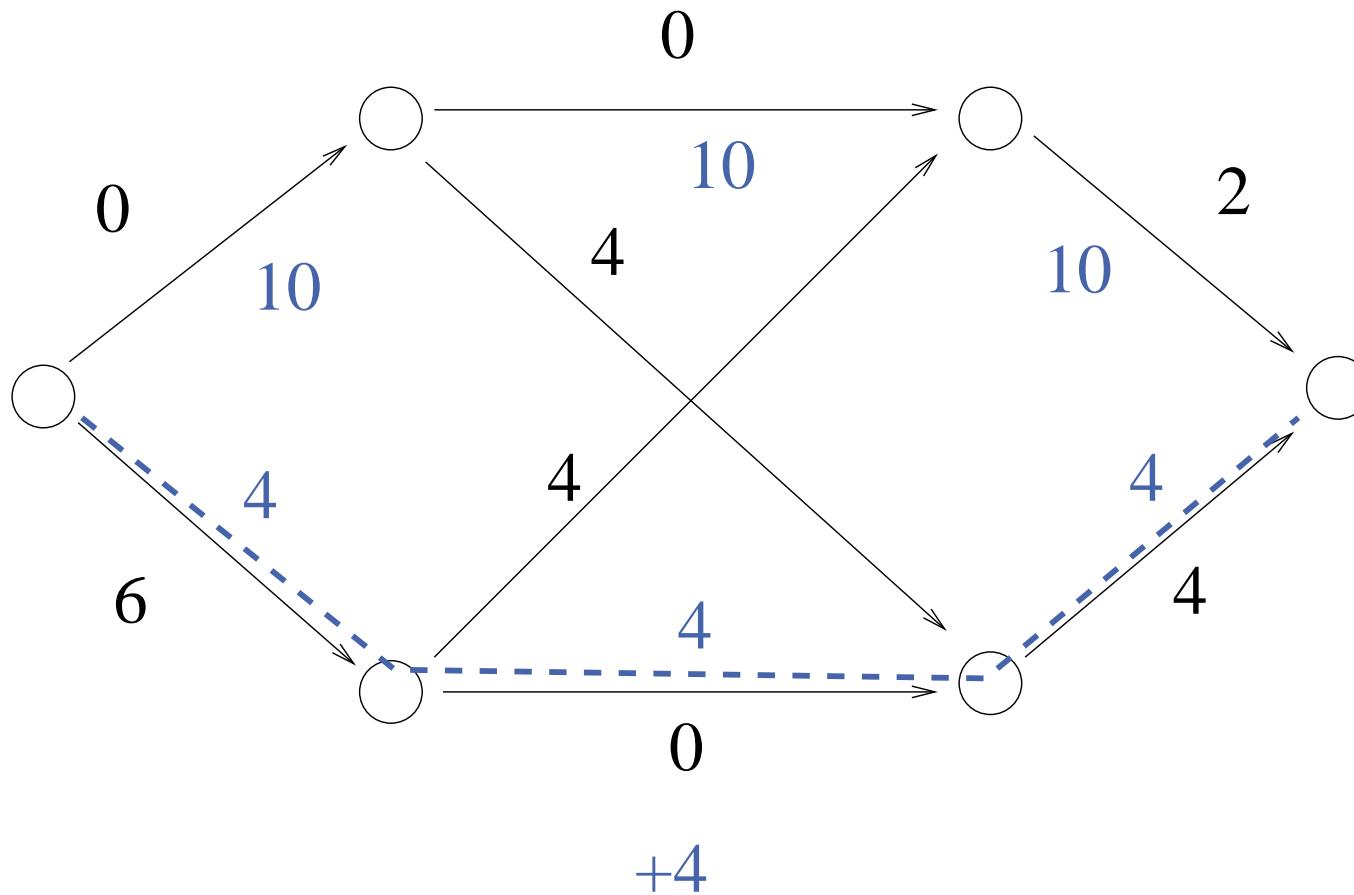
## Example



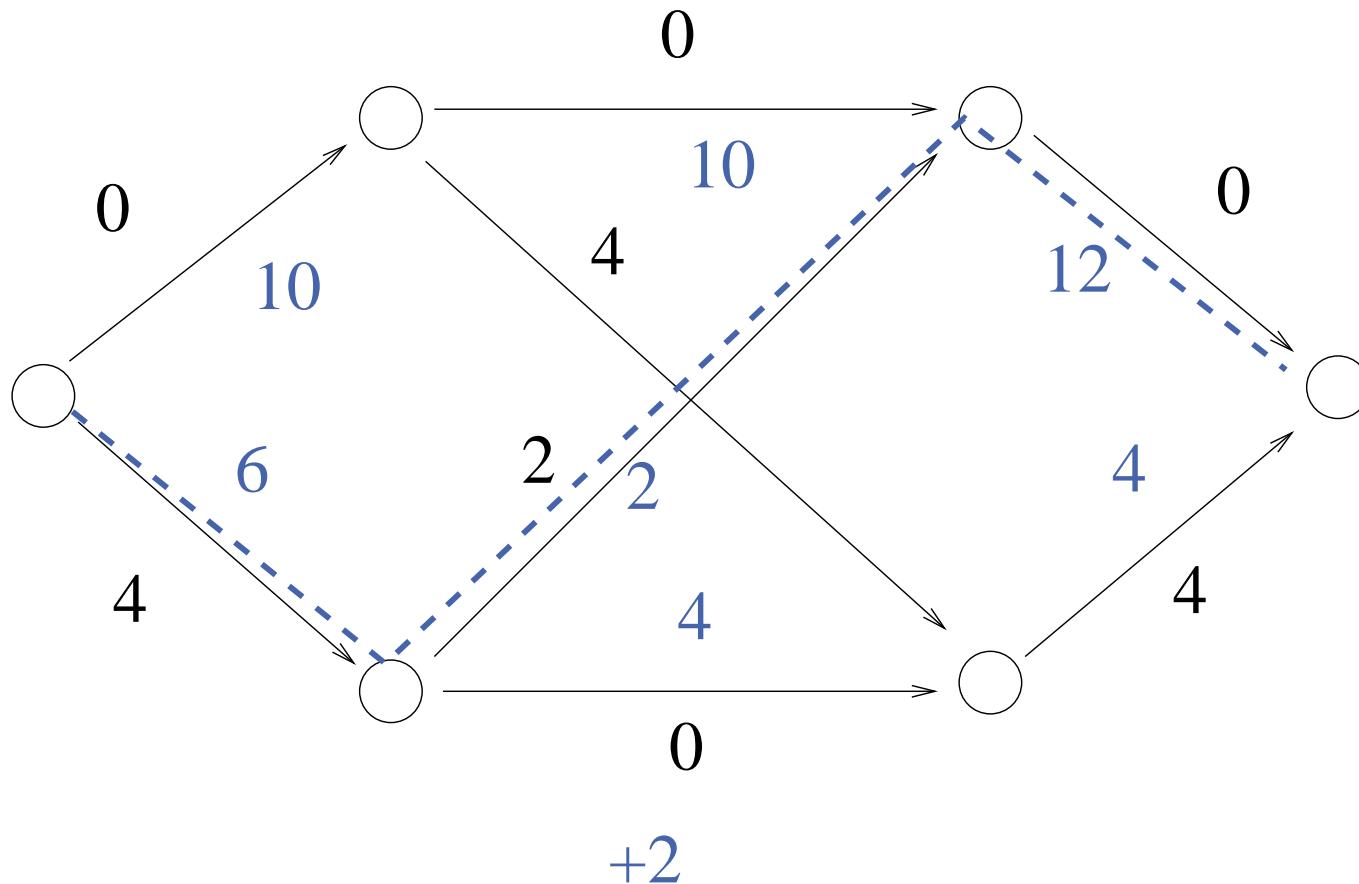
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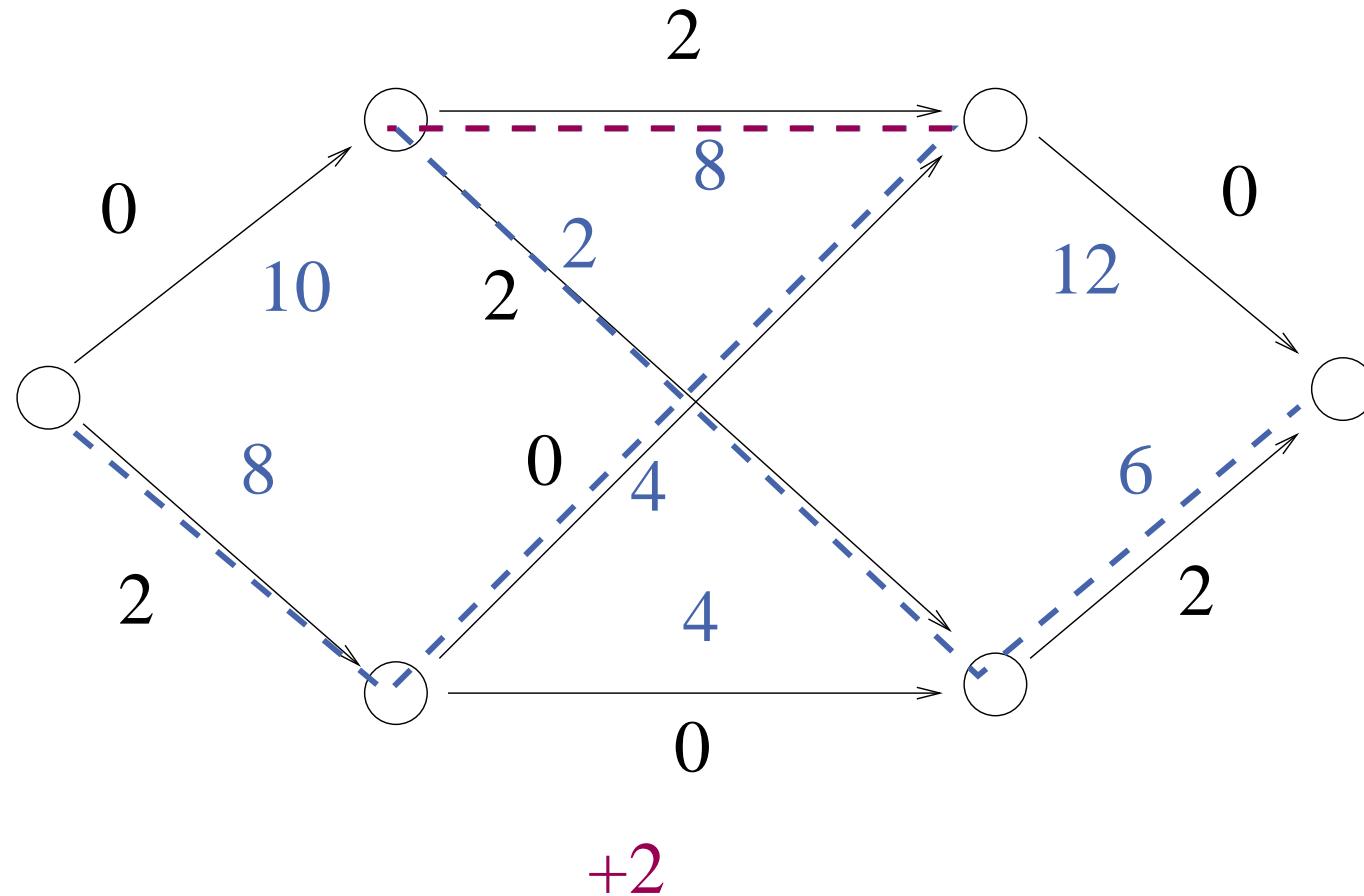
# Example



# Example



# Example

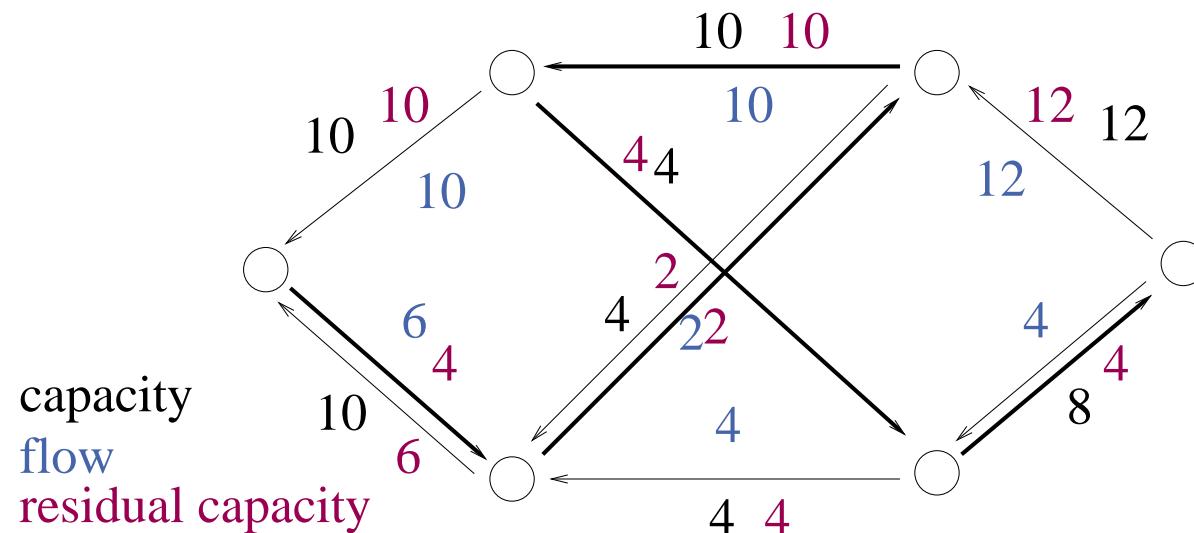


# Residual Graph

Given, network  $G = (V, E, c)$ , flow  $f$

Residual graph  $G_f = (V, E_f, c^f)$ . For each  $e \in E$  we have

$$\begin{cases} e \in E_f \text{ with } c_e^f = c_e - f(e) & \text{if } f(e) < c(e) \\ e^{\text{rev}} \in E_f \text{ with } c_{e^{\text{rev}}}^f = f(e) & \text{if } f(e) > 0 \end{cases}$$



# Augmenting Paths

Find a path  $p$  from  $s$  to  $t$  such that each edge  $e$  has nonzero **residual capacity**  $c_e^f$

$$\Delta f := \min_{e \in p} c_e^f$$

**foreach**  $(u, v) \in p$  **do**

**if**  $(u, v) \in E$  **then**  $f_{(u,v)}^+ = \Delta f$

**else**  $f_{(v,u)}^- = \Delta f$

# Ford Fulkerson Algorithm

**Function** FFMaxFlow( $G = (V, E), s, t, c : E \rightarrow \mathbb{N} : E \rightarrow \mathbb{N}$ )

$f := 0$

**while**  $\exists$  path  $p = (s, \dots, t)$  in  $G_f$  **do**

augment  $f$  along  $p$

**return**  $f$

time  $O(m\text{val}(f))$

# Ford Fulkerson – Correctness

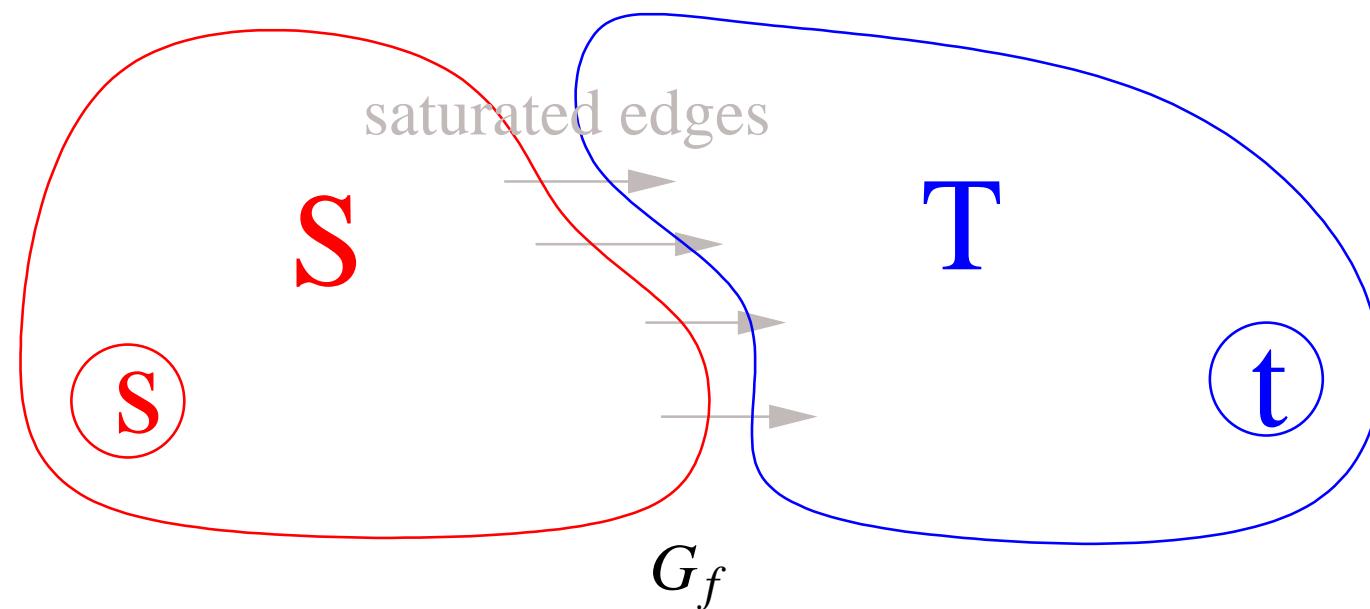
“Clearly” FF computes a feasible flow  $f$ . (Invariant)

Todo: flow value is maximal

At termination: no augmenting paths in  $G_f$  left.

Consider cut  $(S, T := V \setminus S)$  with

$$S := \{v \in V : v \text{ reachable from } s \text{ in } G_f\}$$



# A Basic Observations

**Lemma 1:** For any cut  $(S, T)$ :

$$\mathbf{val}(f) = \overbrace{\sum_{e \in E \cap S \times T} f_e}^{S \rightarrow T \text{ edges}} - \overbrace{\sum_{e \in E \cap T \times S} f_e}^{T \rightarrow S \text{ edges}} .$$

# Ford Fulkerson – Correctness

**Todo:**  $\text{val}(f)$  is maximal when no augmenting paths in  $G_f$  left.

Consider cut  $(S, T := V \setminus S)$  with

$$S := \{v \in V : v \text{ reachable from } s \text{ in } G_f\}.$$

**Observation:**  $\forall (u, v) \in E \cap T \times S : f(u, v) = 0$

otherwise  $c^f(v, u) > 0$  contradicting the definition of  $S$ .

$$\begin{aligned} \text{val}(f) &= \sum_{e \in E \cap S \times T} f_e - \sum_{e \in E \cap T \times S} f_e && \text{Lemma 1} \\ &= \sum_{e \in E \cap S \times T} f_e && \text{Observation above} \\ &= \sum_{e \in E \cap S \times T} c_{(u,v)} = (S, T) \text{ cut capacity} \end{aligned}$$

see next slide

# Max-Flow-Min-Cut theorem

**Theorem:** Max-flow = min-cut

**Proof:**

obvious: any-flow  $\leq$  max-flow  $\leq$  min-cut  $\leq$  any-cut

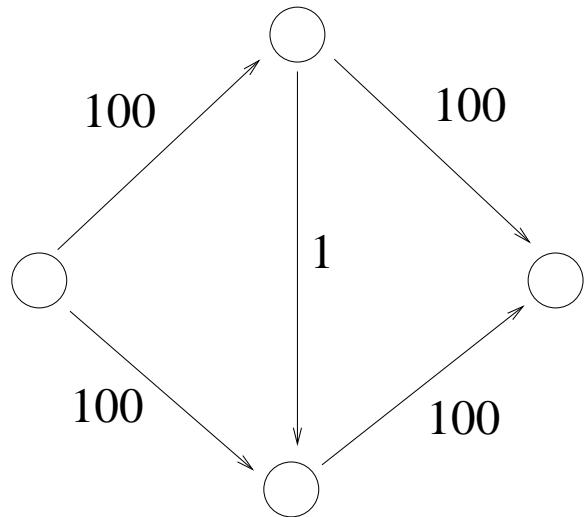
previous slide:

$(S, T)$  flow =  $(S, T)$  cut capacity

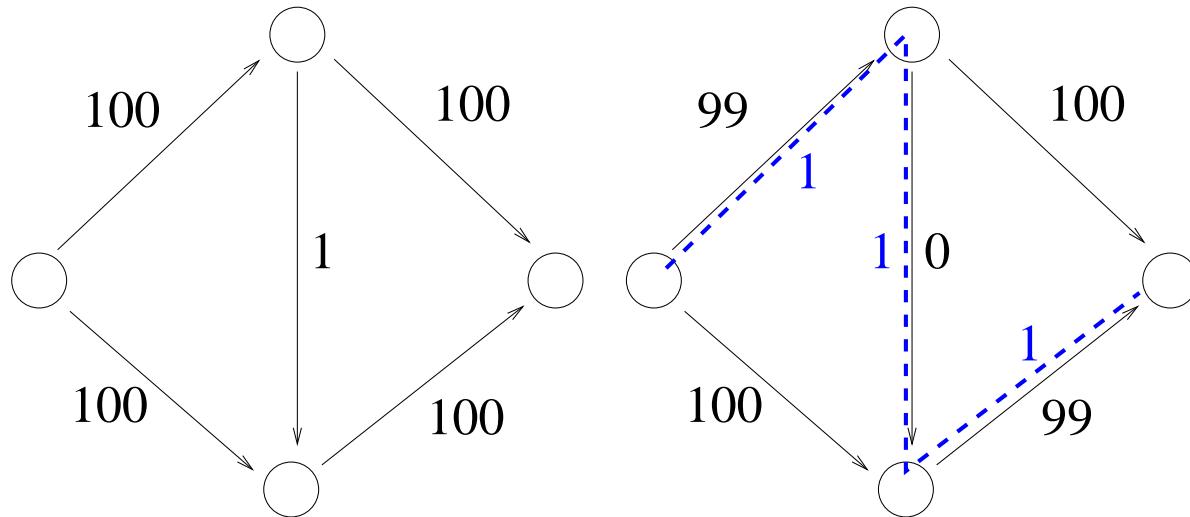
$\Rightarrow$

$(S, T)$  flow = max-flow = min-cut

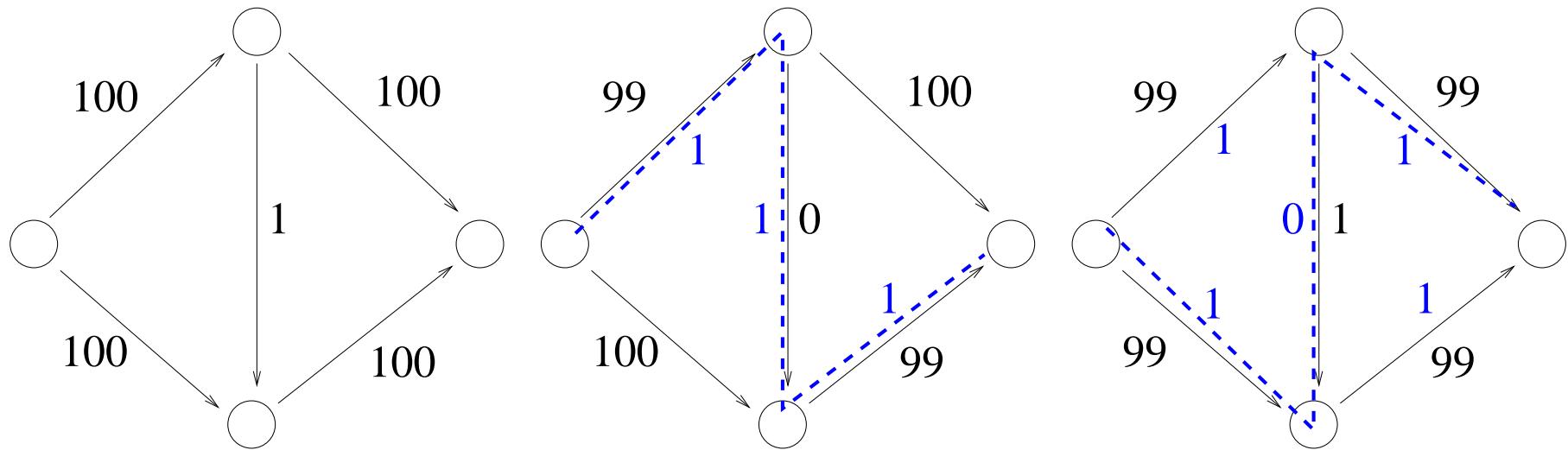
# A Bad Example for Ford Fulkerson



# A Bad Example for Ford Fulkerson



# A Bad Example for Ford Fulkerson





# An Even Worse Example for Ford Fulkerson

[U. Zwick, TCS 148, p. 165–170, 1995]

Let  $r = \frac{\sqrt{5} - 1}{2}$ .

Consider the graph

And the augmenting paths

$$p_0 = \langle s, c, b, t \rangle$$

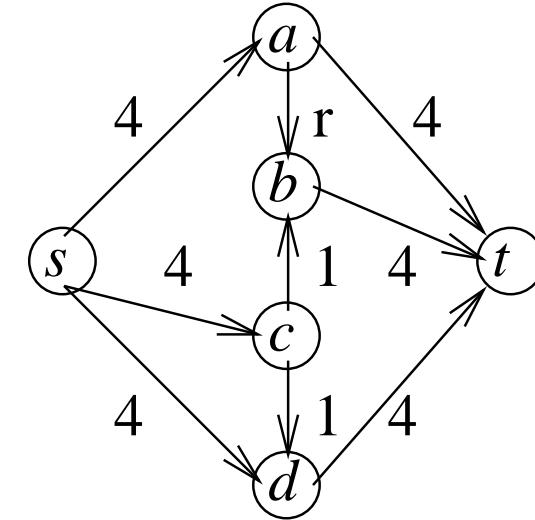
$$p_1 = \langle s, a, b, c, d, t \rangle$$

$$p_2 = \langle s, c, b, a, t \rangle$$

$$p_3 = \langle s, d, c, b, t \rangle$$

The sequence of augmenting paths  $p_0(p_1, p_2, p_1, p_3)^*$  is an infinite sequence of positive flow augmentations.

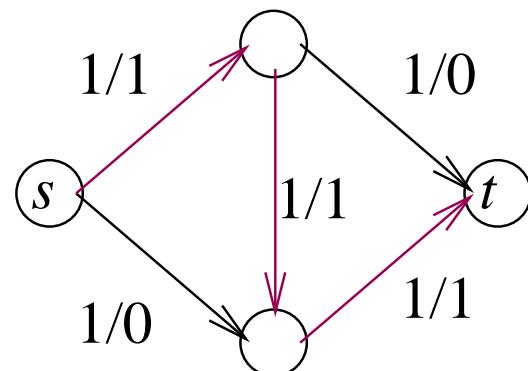
The flow value does **not** converge to the maximum value 9.



# Blocking Flows

$f_b$  is a **blocking flow** in  $H$  if

$$\forall \text{paths } p = \langle s, \dots, t \rangle : \exists e \in p : f_b(e) = c(e)$$



# Dinitz Algorithm

**Function** DinitzMaxFlow( $G = (V, E), s, t, c : E \rightarrow \mathbb{N}$ ) :  $E \rightarrow \mathbb{N}$

$f := 0$

**while**  $\exists$  path  $p = (s, \dots, t)$  in  $G_f$  **do**

$d = G_f.\text{reverseBFS}(t) : V \rightarrow \mathbb{N}$

$L_f = (V, \{(u, v) \in E_f : d(v) = d(u) - 1\})$  // layer graph

find a blocking flow  $f_b$  in  $L_f$

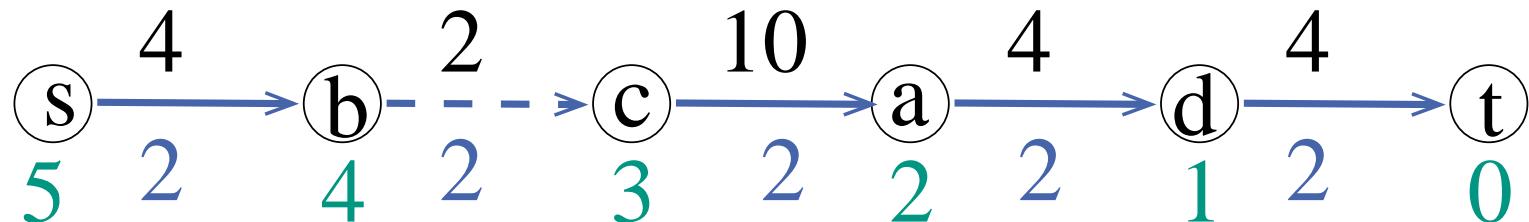
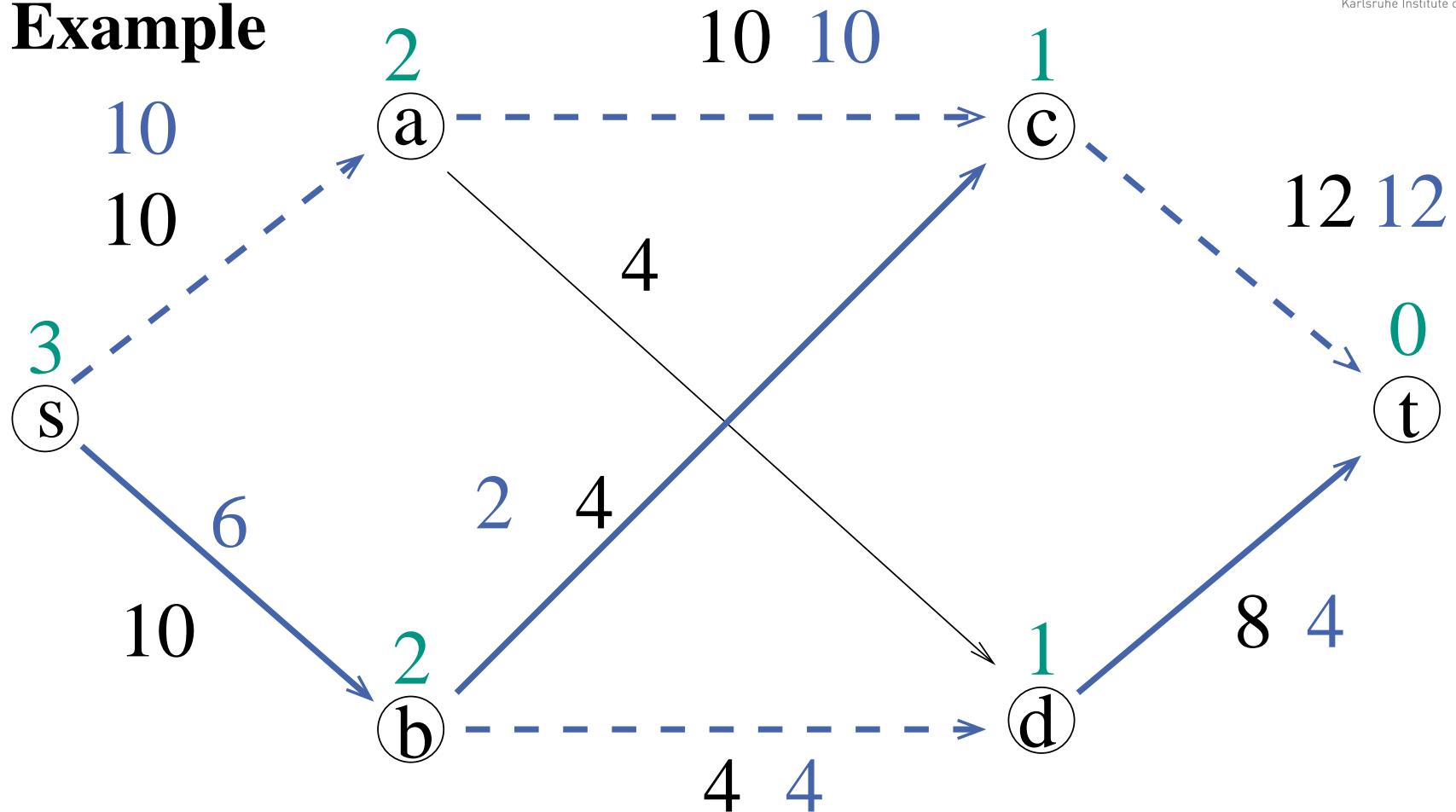
augment  $f += f_b$

**return**  $f$

# Dinitz – Correctness

analogous to Ford-Fulkerson

## Example



# Computing Blocking Flows

Idee: wiederholte DFS nach augmentierenden Pfaden

**Function** blockingFlow( $L_f = (V, E)$ ) :  $E \rightarrow \mathbb{N}$

$p = \langle s \rangle$  : Path;  $f_b = 0$  : Flow

**loop** // Round

$v := p.\text{last}()$

**if**  $v = t$  **then** // breakthrough

$\delta := \min \{c(e) - f_b(e) : e \in p\}$

**foreach**  $e \in p$  **do**

$f_b(e) += \delta$

**if**  $f_b(e) = c(e)$  **then remove**  $e$  from  $E$

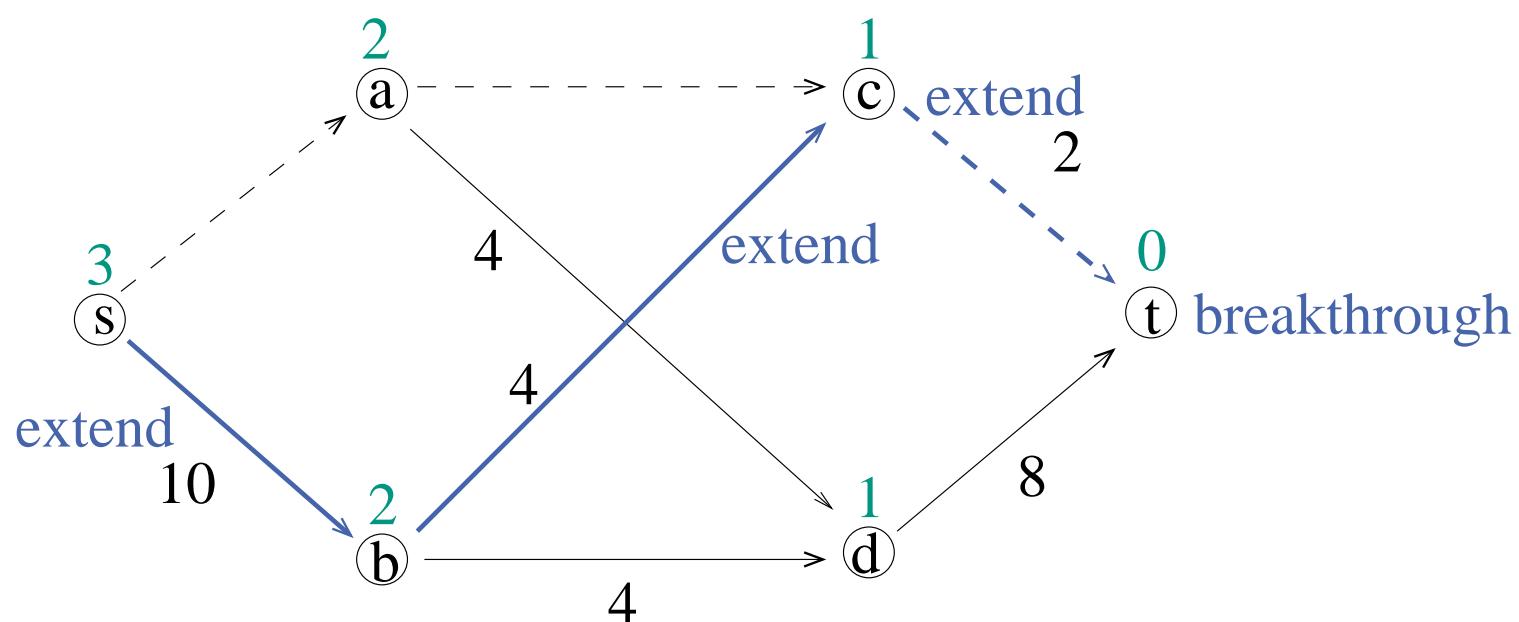
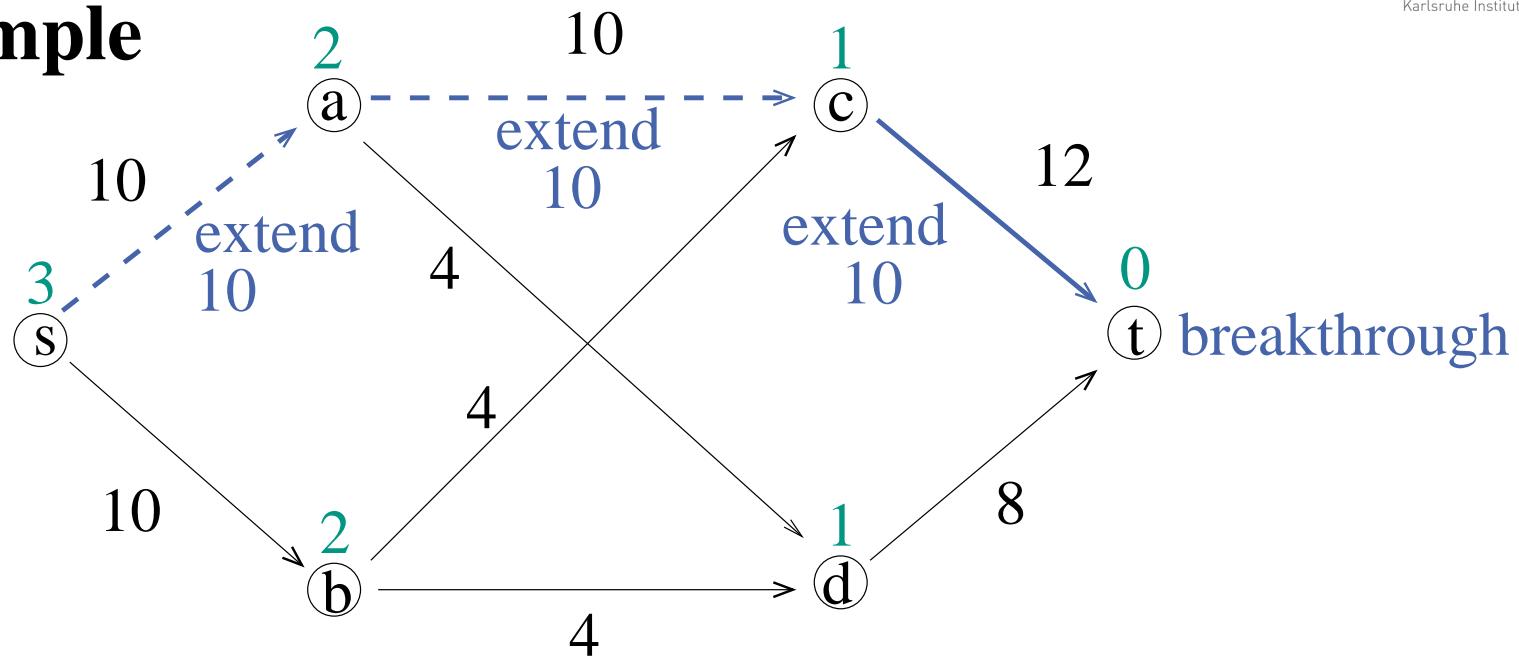
$p := \langle s \rangle$

**else if**  $\exists e = (v, w) \in E$  **then**  $p.\text{pushBack}(w)$  // extend

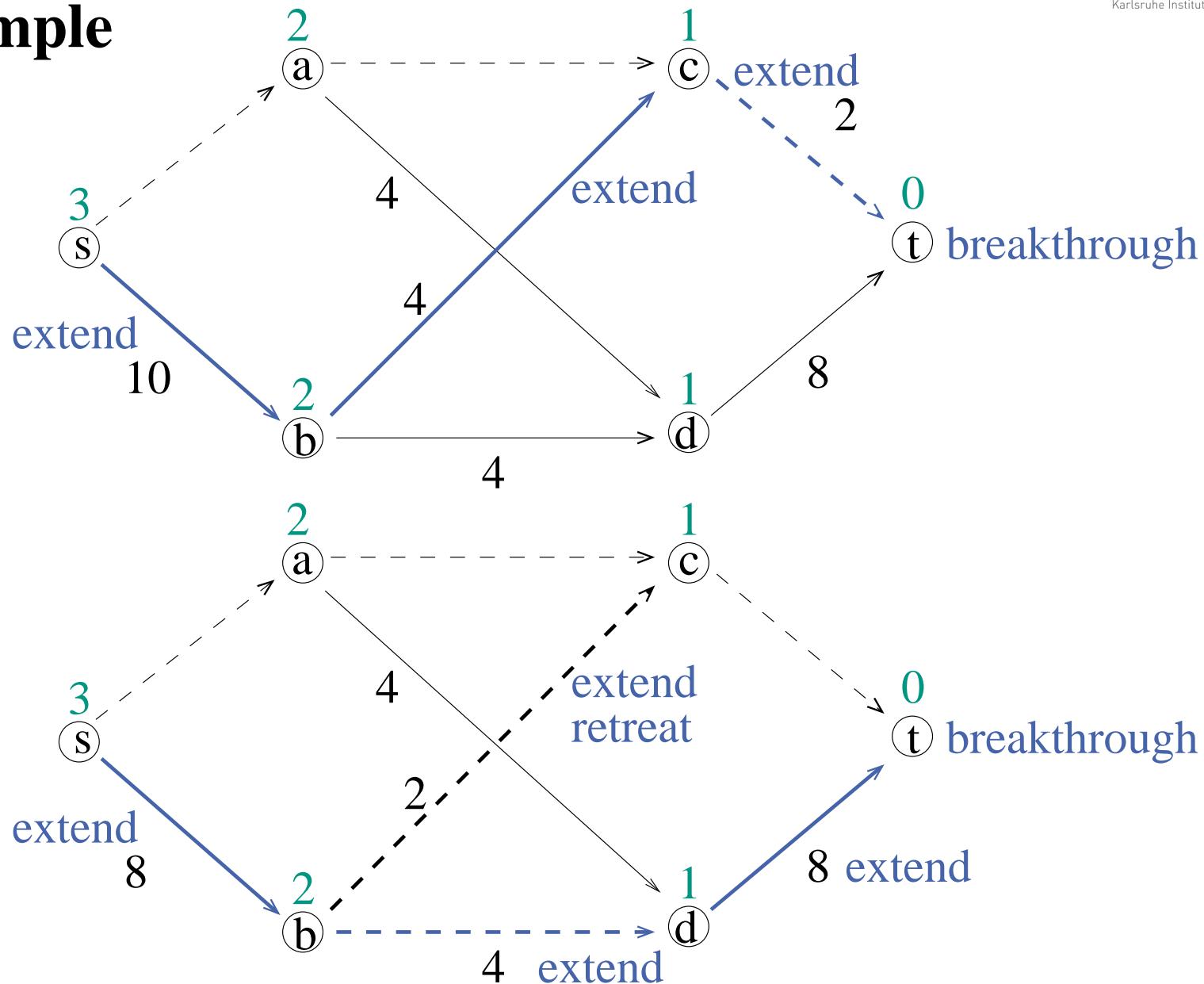
**else if**  $v = s$  **then return**  $f_b$  // done

**else** delete the last edge from  $p$  in  $p$  and  $E$  // retreat

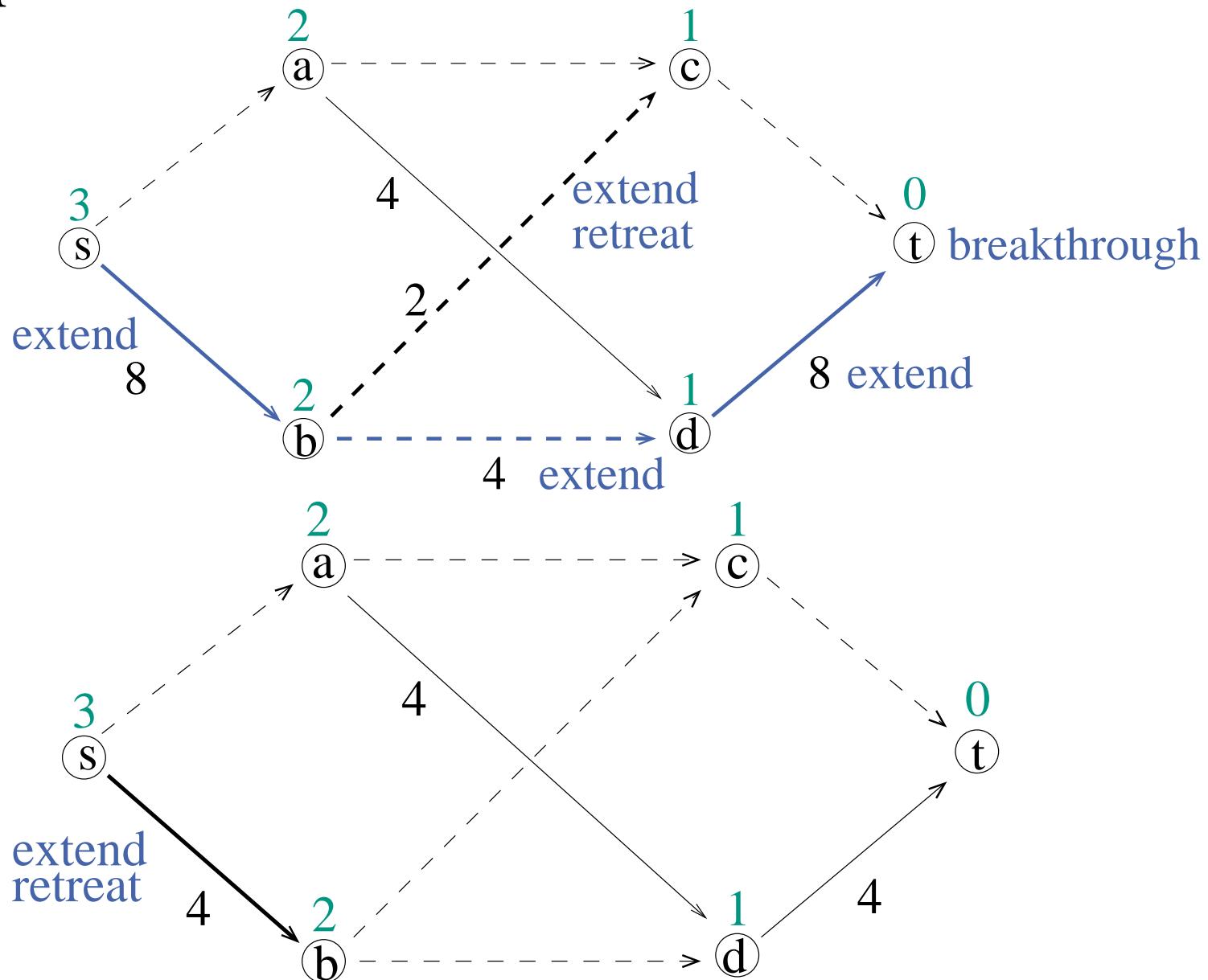
## Example



## Example



# Example



# Blocking Flows Analysis 1

- running time  $\#_{extends} + \#_{retreats} + n \cdot \#_{breakthroughs}$
- $\#_{breakthroughs} \leq m$  –  $\geq 1$  edge is saturated
- $\#_{retreats} \leq m$  – one edge is removed
- $\#_{extends} \leq \#_{retreats} + n \cdot \#_{breakthroughs}$ 
  - a retreat cancels 1 extend, a breakthrough cancels  $\leq n$  extends

time is  $O(m + nm) = O(nm)$

## Blocking Flows Analysis 2

### Unit capacities:

breakthroughs saturates **all** edges on  $p$ , i.e., amortized constant cost per edge.

time  $O(m + n)$

## Blocking Flows Analysis 3

Dynamic trees: breakthrough (!), retreat, extend in time  $O(\log n)$

time  $O((m+n)\log n)$

“Theory alert”: In practice, this seems to be slower  
(few breakthroughs, many retreat, extend ops.)

# Dinitz Analysis 1

**Lemma 1.**  $d(s)$  increases by at least one in each round.

*Beweis.* not here



## Dinitz Analysis 2

- $\leq n$  rounds
- time  $O(mn)$  each

time  $O(mn^2)$  (**strongly polynomial**)

time  $O(mn \log n)$  with dynamic trees

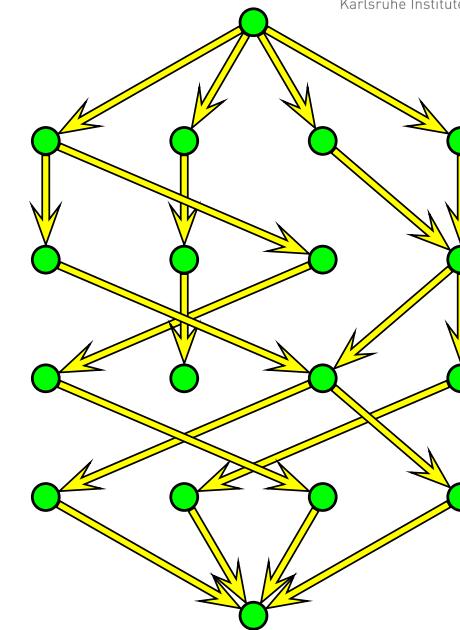
# Dinitz Analysis 3 – Unit Capacities

**Lemma 2.** At most  $2\sqrt{m}$  BF computations:

*Beweis.* Consider iteration  $k = \sqrt{m}$ .

Cut in layergraph induces cut in residual graph of capacity at most  $\sqrt{m}$ .

At most  $\sqrt{m}$  additional phases.



□

Total time:  $O((m+n)\sqrt{m})$

more detailed analysis:  $O(m \min \{m^{1/2}, n^{2/3}\})$

## Dinitz Analysis 4 – Unit Networks

Unit capacity +  $\forall v \in V : \min \{\text{indegree}(v), \text{outdegree}(v)\} = 1$ :

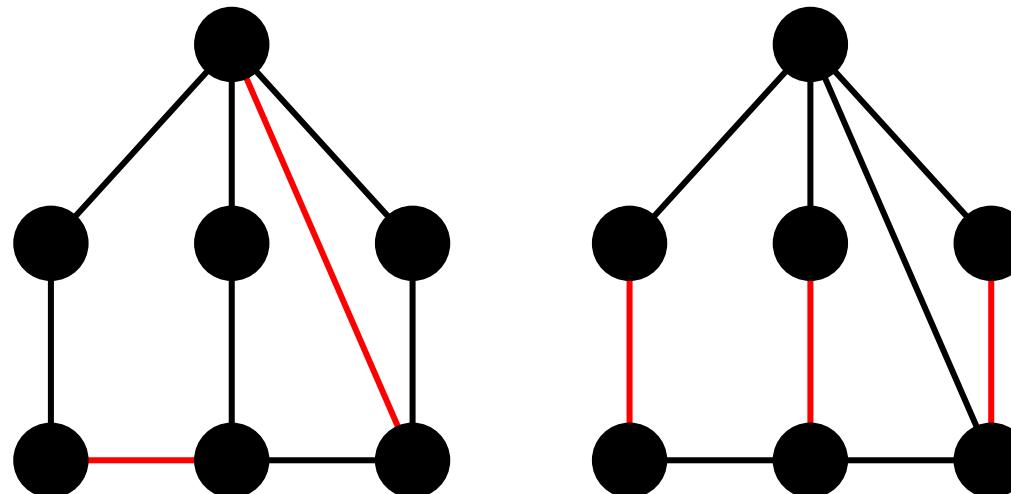
time:  $O((m+n)\sqrt{n})$

# Matching

$M \subseteq E$  is a **matching** in the undirected graph  $G = (V, E)$  iff  
 $(V, M)$  has maximum degree  $\leq 1$ .

$M$  is **maximal** if  $\nexists e \in E \setminus M : M \cup \{e\}$  is a matching.

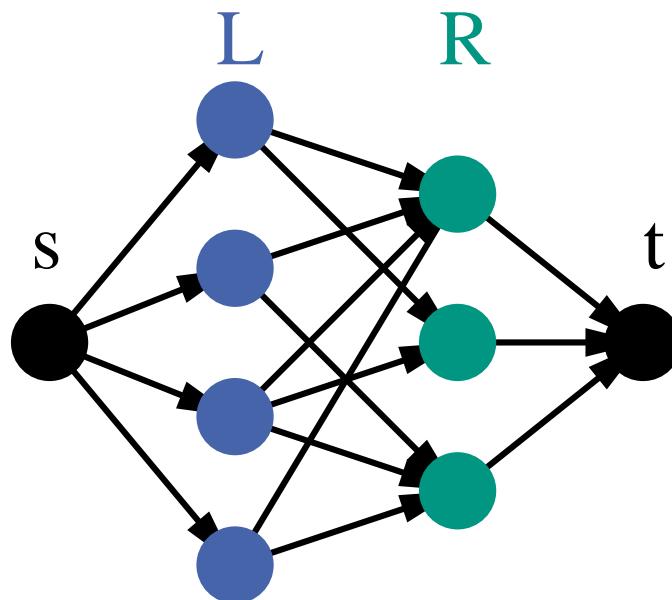
$M$  has **maximum** cardinality if  $\nexists$  matching  $M' : |M'| > |M|$



# Maximum Cardinality Bipartite Matching

in  $(L \cup R, E)$ . Model as a **unit network maximum flow** problem

$$(\{s\} \cup L \cup R \cup \{t\}, \{(s, u) : u \in L\} \cup E \cup \{(v, t) : v \in R\})$$



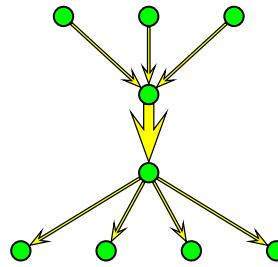
Dinitz algorithm yields  $O((n + m)\sqrt{n})$  algorithm



# Similar Performance for Weighted Graphs?

time:  $O\left(m \min\left\{m^{1/2}, n^{2/3}\right\} \log C\right)$  [Goldberg Rao 97]

**Problem:** Fat edges between layers ruin the argument



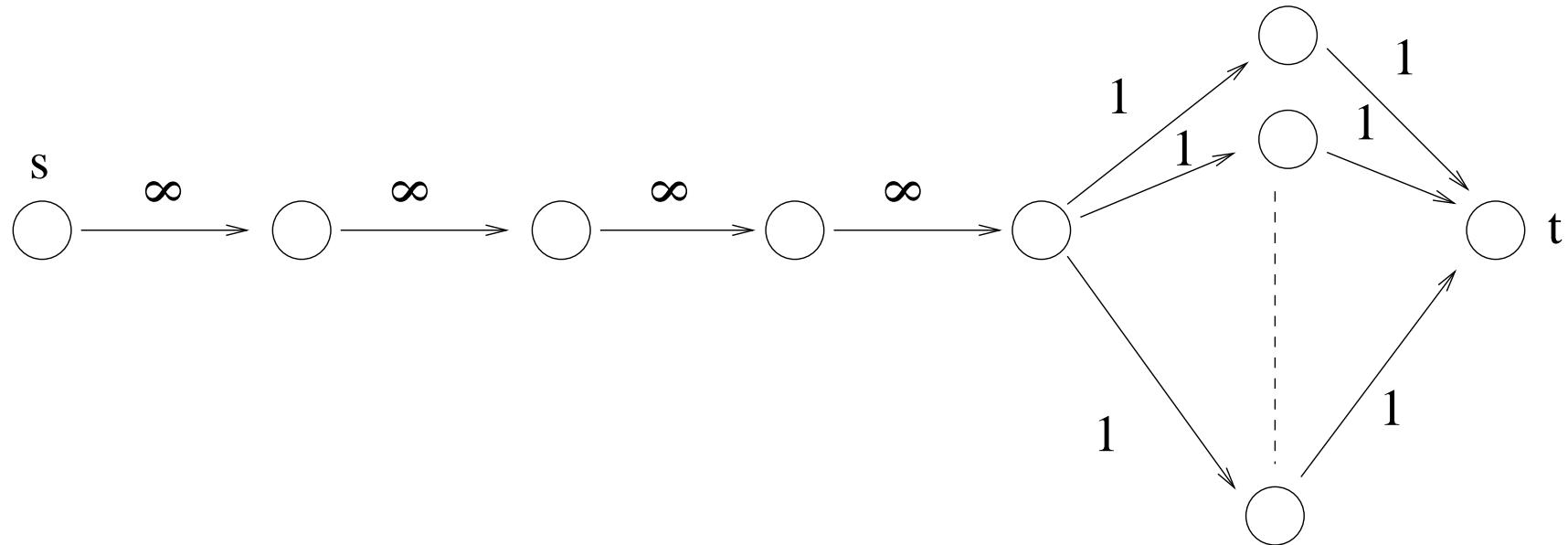
Idea: **scale** a parameter  $\Delta$  from small to large

contract SCCs of fat edges (capacity  $> \Delta$ )

Experiments [Hagerup, Sanders Träff 98]:

Sometimes best algorithm usually slower than **preflow push**

# Disadvantage of augmenting paths algorithms



# Preflow-Push Algorithms

Preflow  $f$ : a flow where the **flow conservation** constraint is relaxed to

$$\text{excess}(v) := \overbrace{\sum_{(u,v) \in E} f_{(u,v)}}^{\text{inflow}} - \overbrace{\sum_{(v,w) \in E} f_{(v,w)}}^{\text{outflow}} \geq 0 .$$

$v \in V \setminus \{s, t\}$  is **active** iff  $\text{excess}(v) > 0$

**Procedure**  $\text{push}(e = (v, w), \delta)$

**assert**  $\delta > 0 \wedge \text{excess}(v) \geq \delta$

**assert** residual capacity of  $e \geq \delta$

$\text{excess}(v)- = \delta$

$\text{excess}(w)+ = \delta$

**if**  $e$  is reverse edge **then**  $f(\text{reverse}(e))- = \delta$

**else**  $f(e)+ = \delta$

# Level Function

Idea: make progress by pushing **towards**  $t$

Maintain

an **approximation**  $d(v)$  of the BFS distance from  $v$  to  $t$  **in**  $G_f$ .

**invariant**  $d(t) = 0$

**invariant**  $d(s) = n$

**invariant**  $\forall (v, w) \in E_f : d(v) \leq d(w) + 1$  // no **steep** edges

Edge directions of  $e = (v, w)$

**steep**:  $d(w) < d(v) - 1$

**downward**:  $d(w) < d(v)$

**horizontal**:  $d(w) = d(v)$

**upward**:  $d(w) > d(v)$

**Procedure** genericPreflowPush( $G = (V, E)$ ,  $f$ )

```

forall  $e = (s, v) \in E$  do  $\text{push}(e, c(e))$            // saturate
 $d(s) := n$ 
 $d(v) := 0$  for all other nodes
while  $\exists v \in V \setminus \{s, t\} : \text{excess}(v) > 0$  do           // active node
  if  $\exists e = (v, w) \in E_f : d(w) < d(v)$  then // eligible edge
    choose some  $\delta \leq \min \left\{ \text{excess}(v), c_e^f \right\}$ 
     $\text{push}(e, \delta)$                          // no new steep edges
  else  $d(v)++$                          // relabel. No new steep edges

```

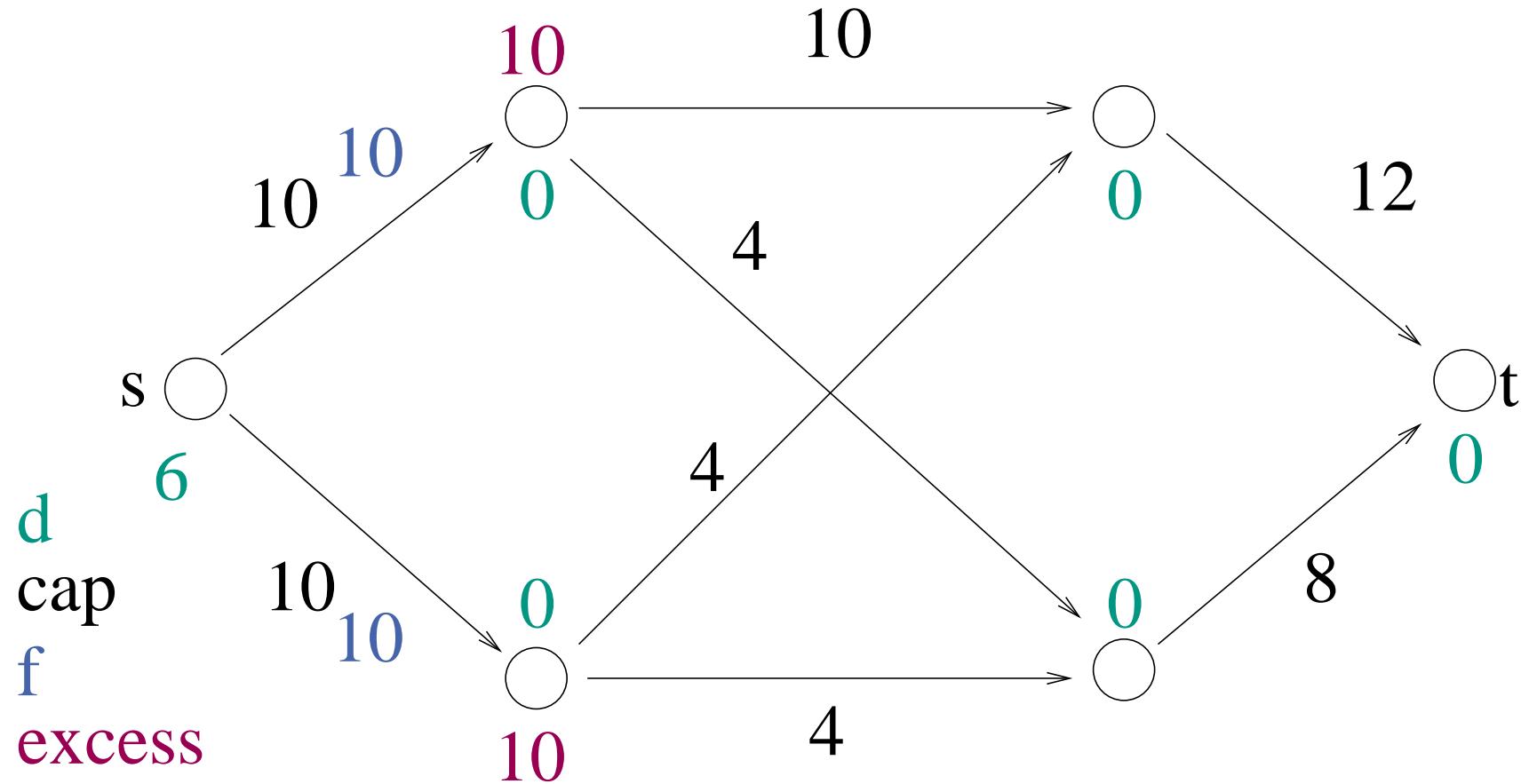
Obvious choice for  $\delta$ :  $\delta = \min \left\{ \text{excess}(v), c_e^f \right\}$

Saturating push:  $\delta = c_e^f$

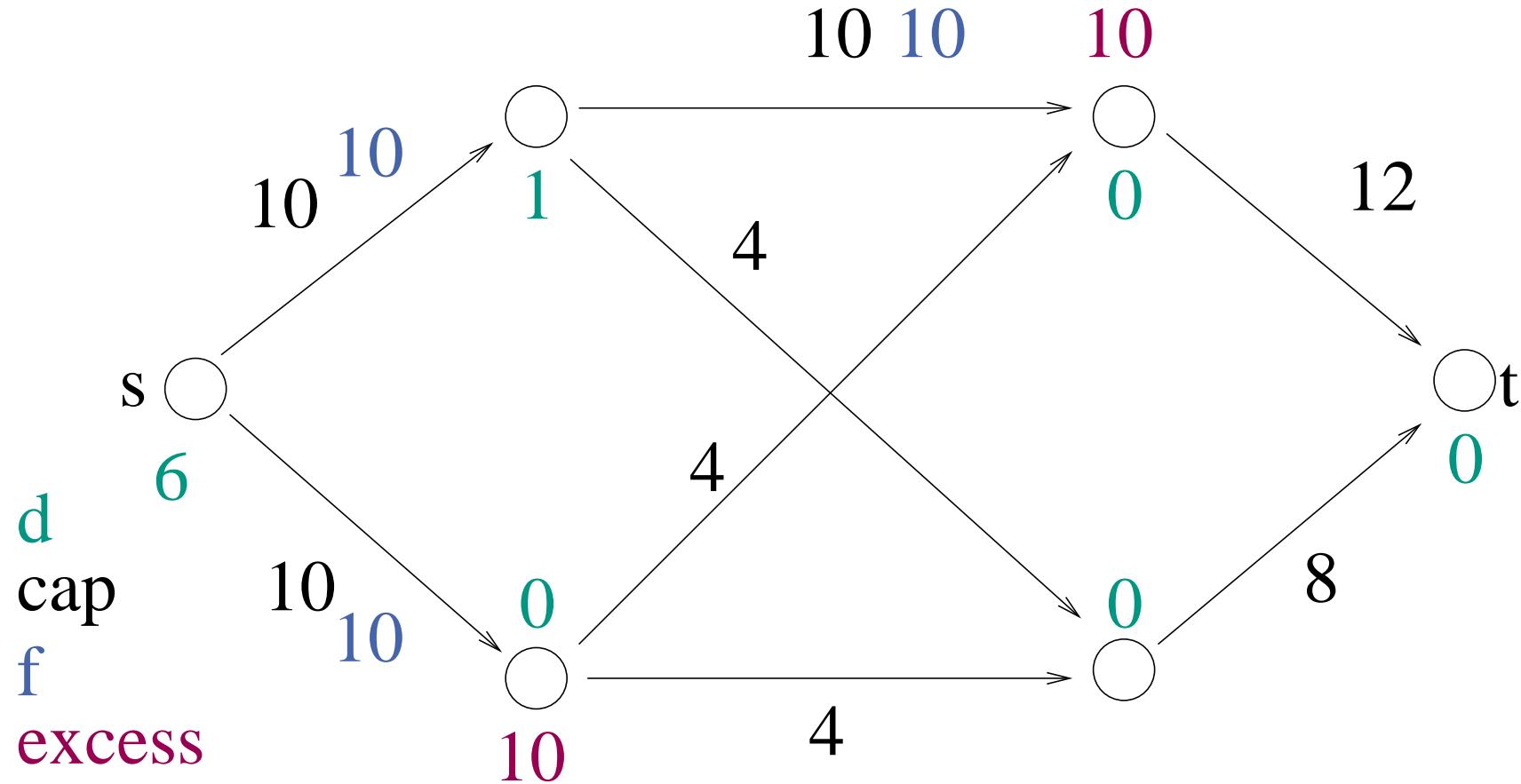
nonsaturating push:  $\delta < c_e^f$

To be filled in: How to select active nodes and eligible edges?

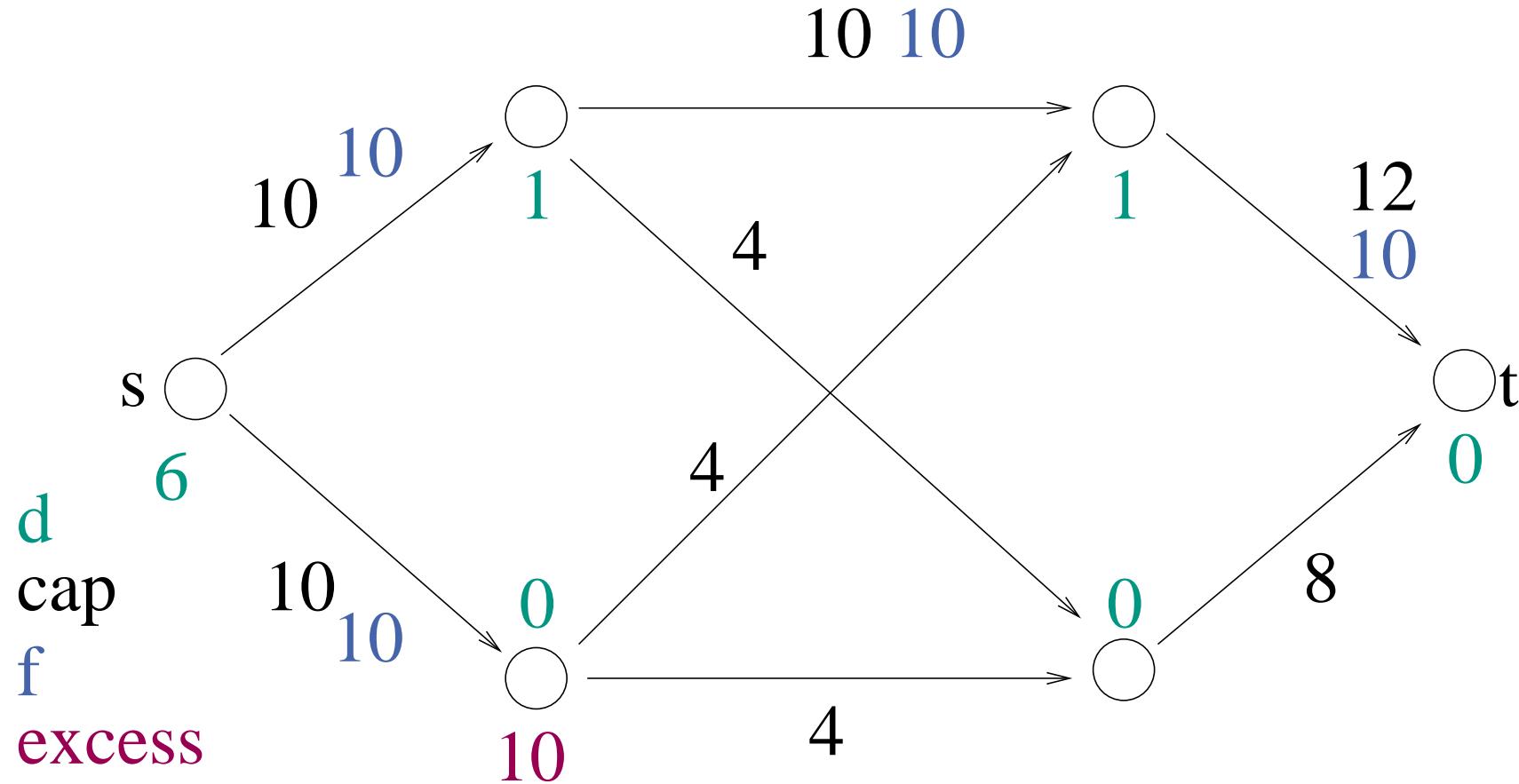
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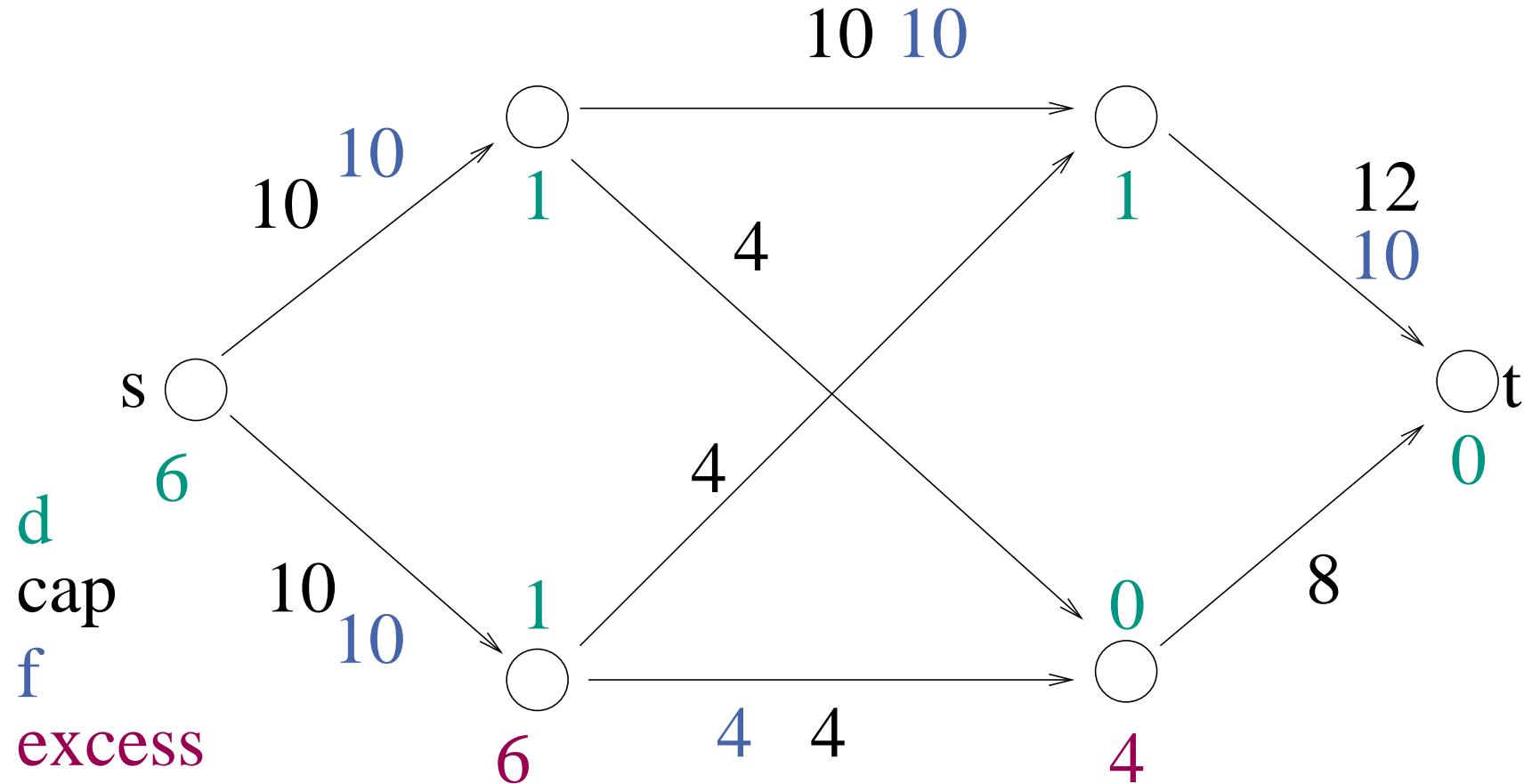
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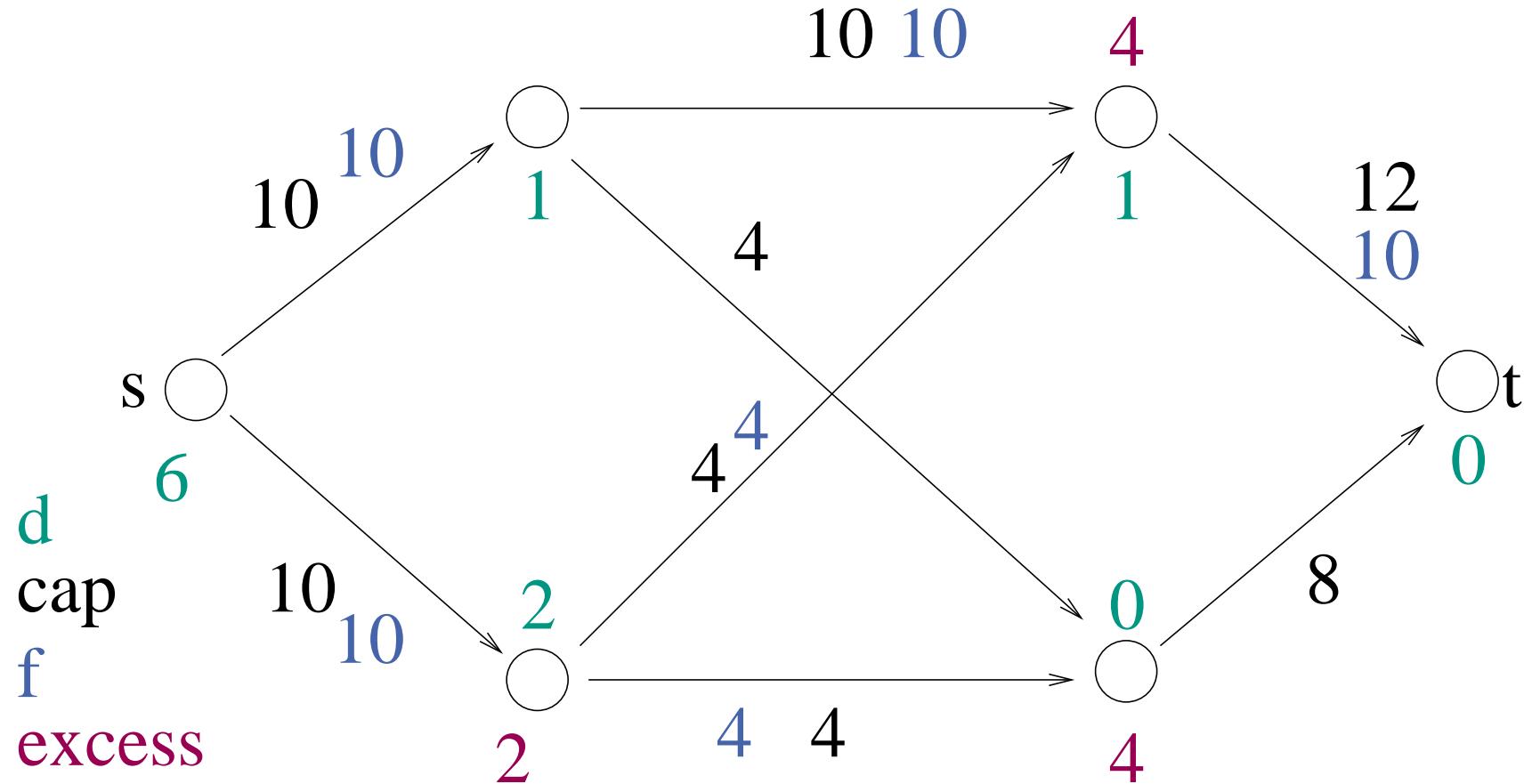
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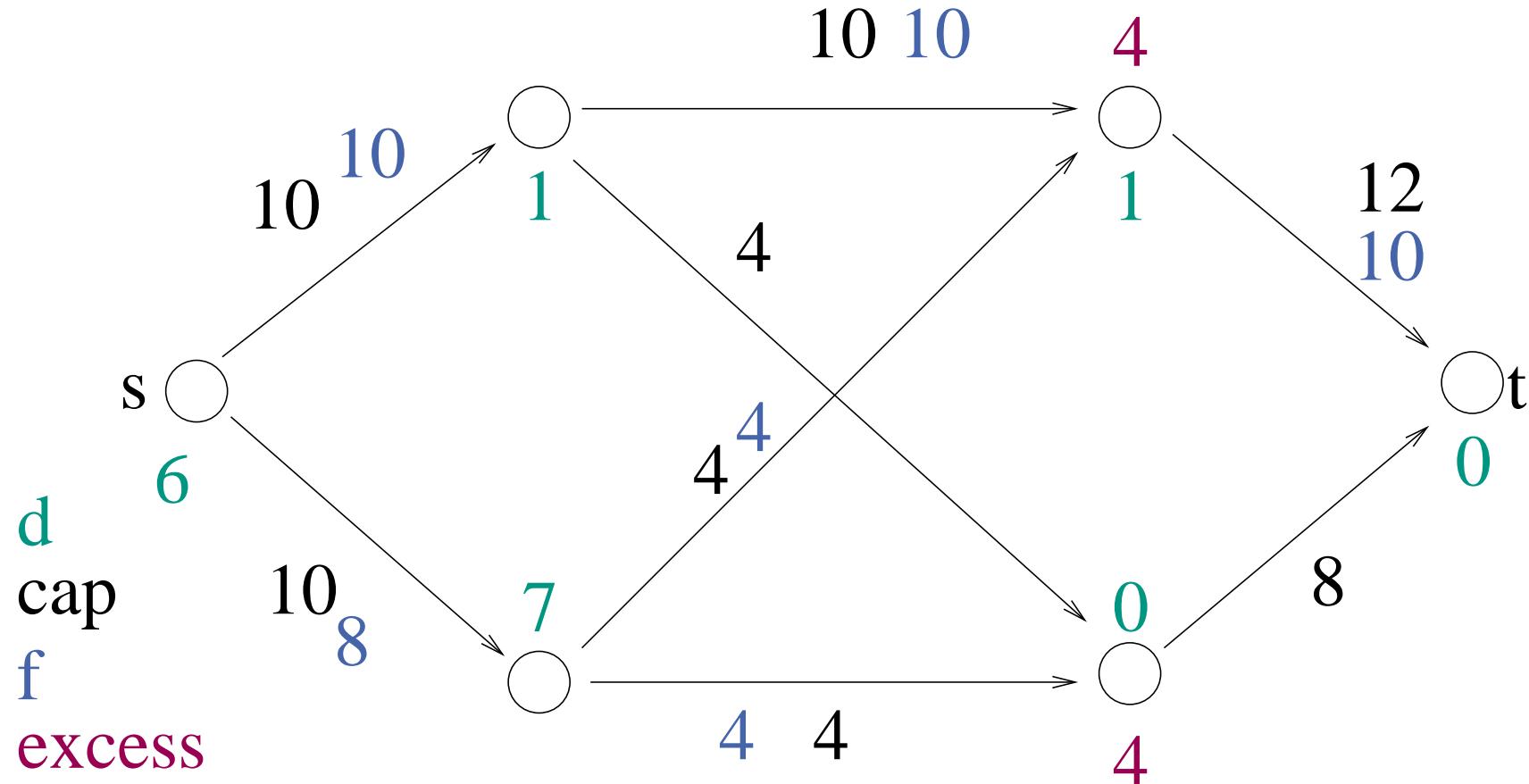
# Example



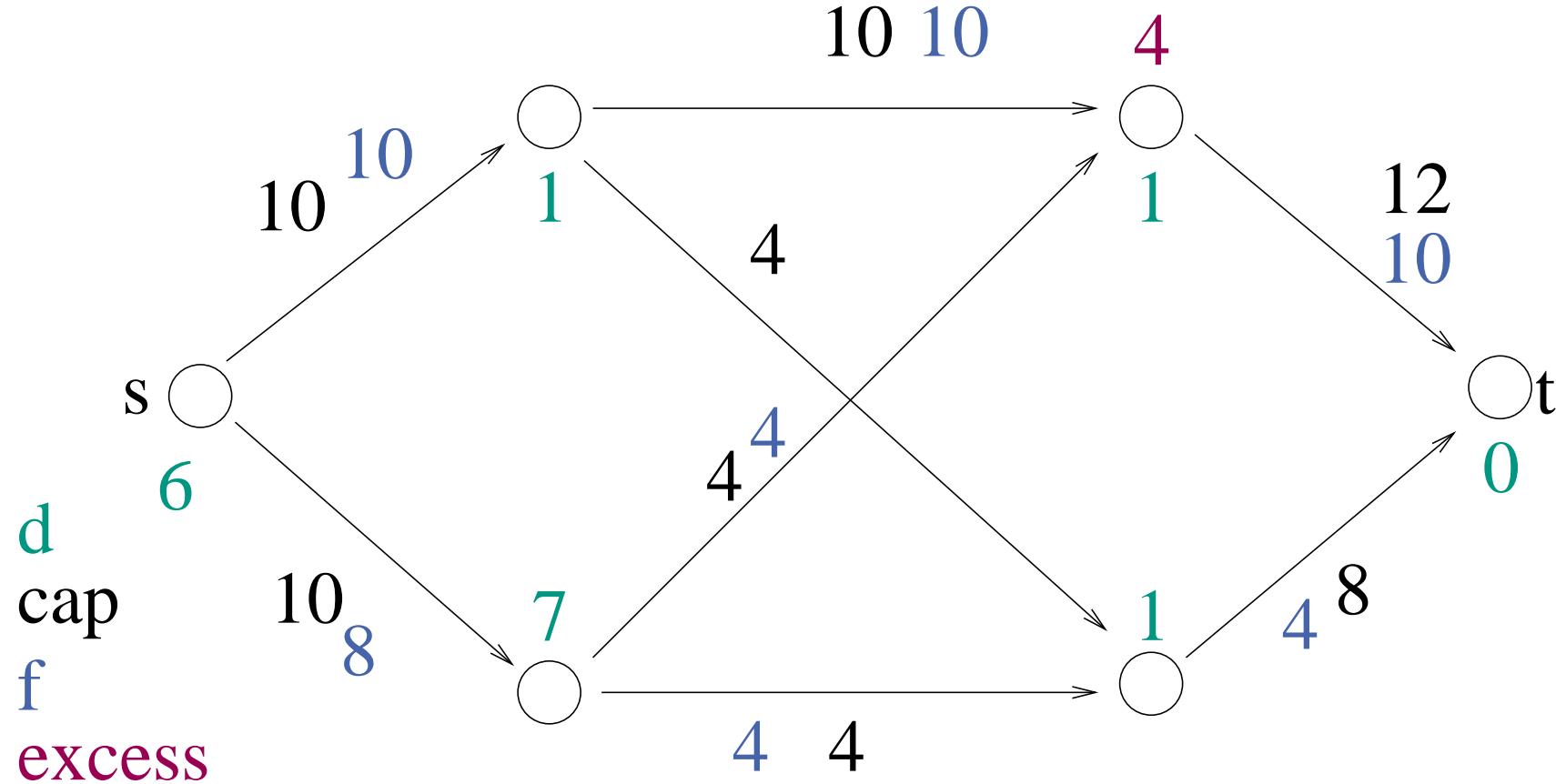
# Example



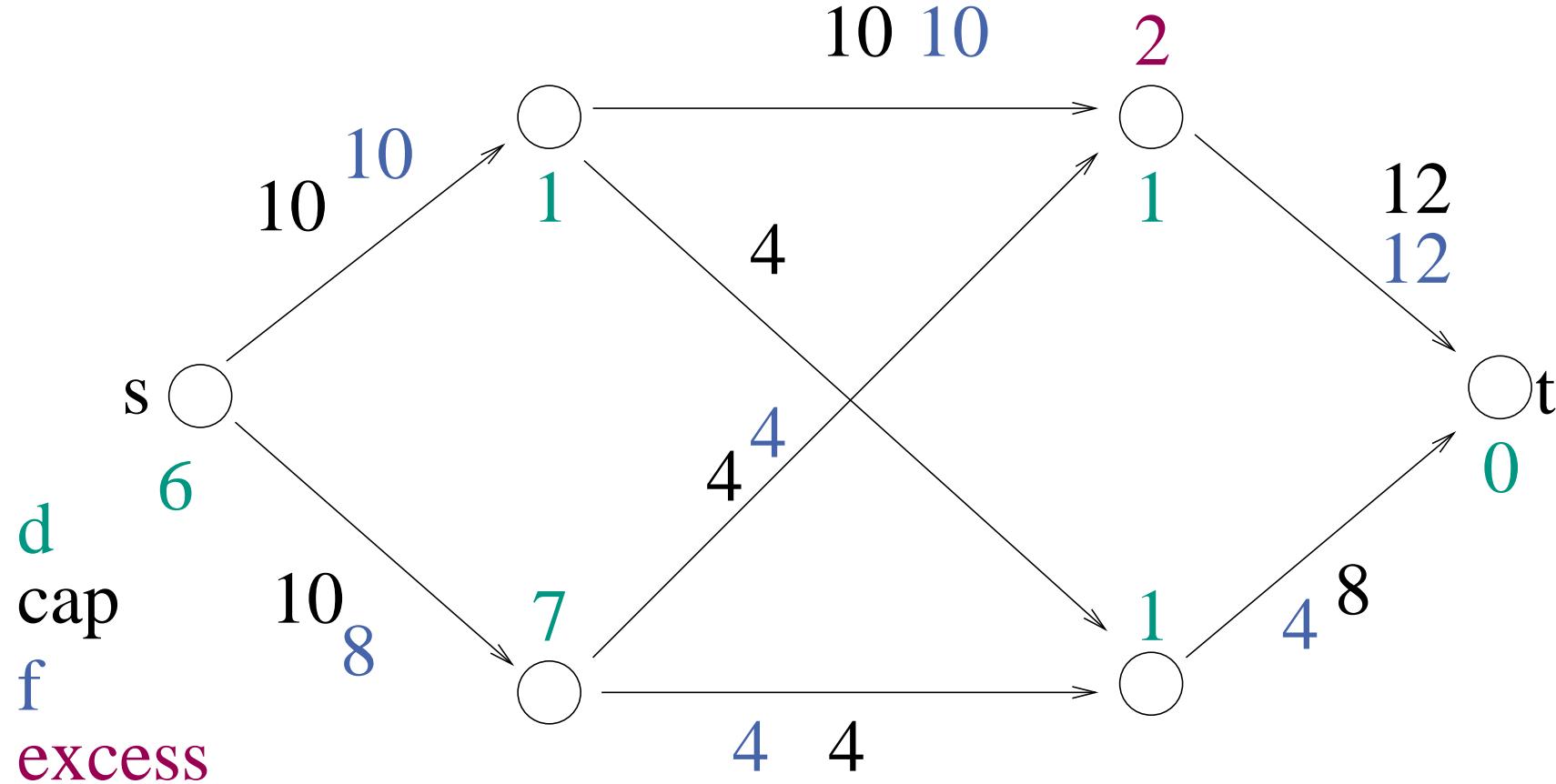
# Example



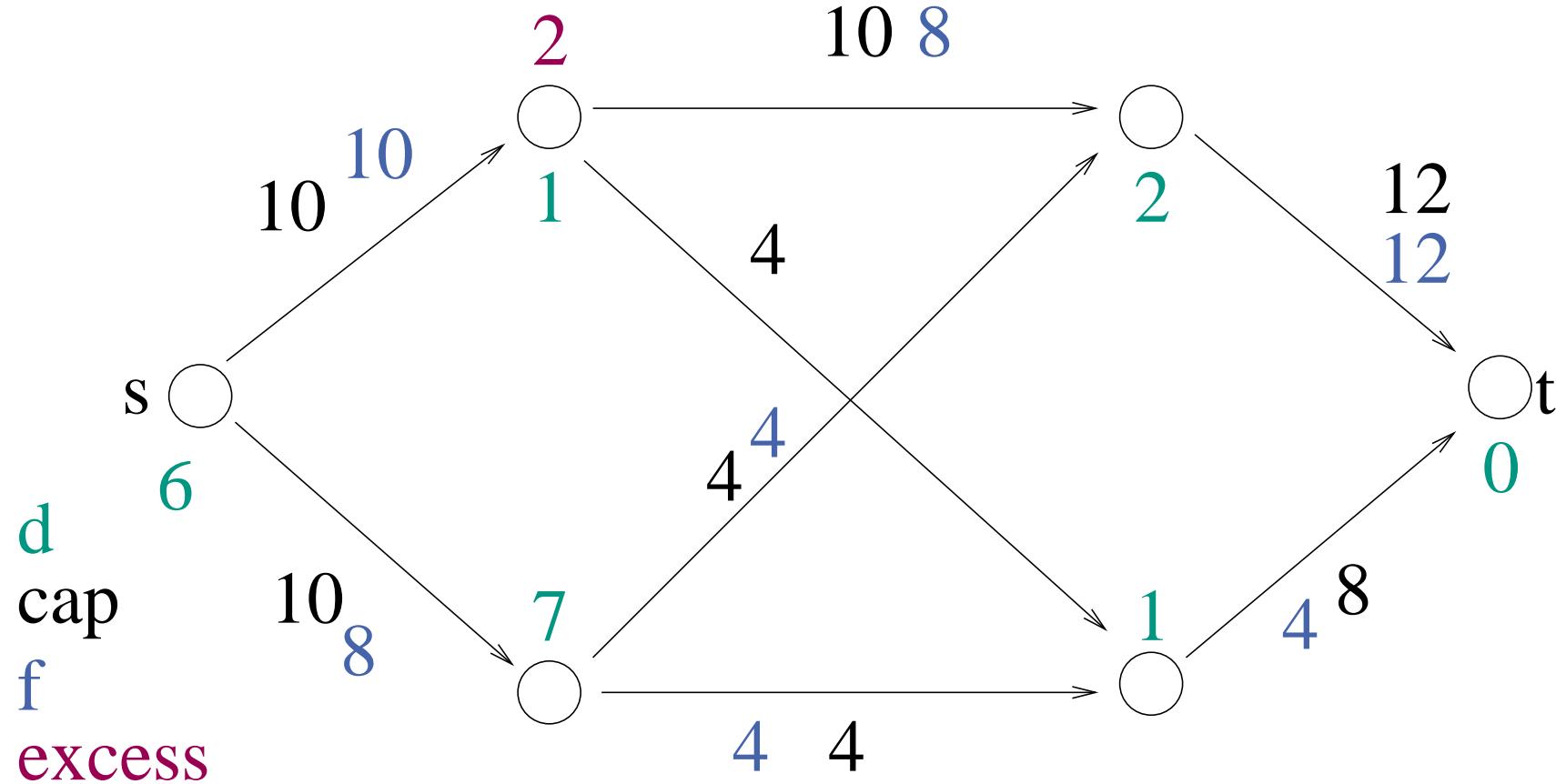
# Example



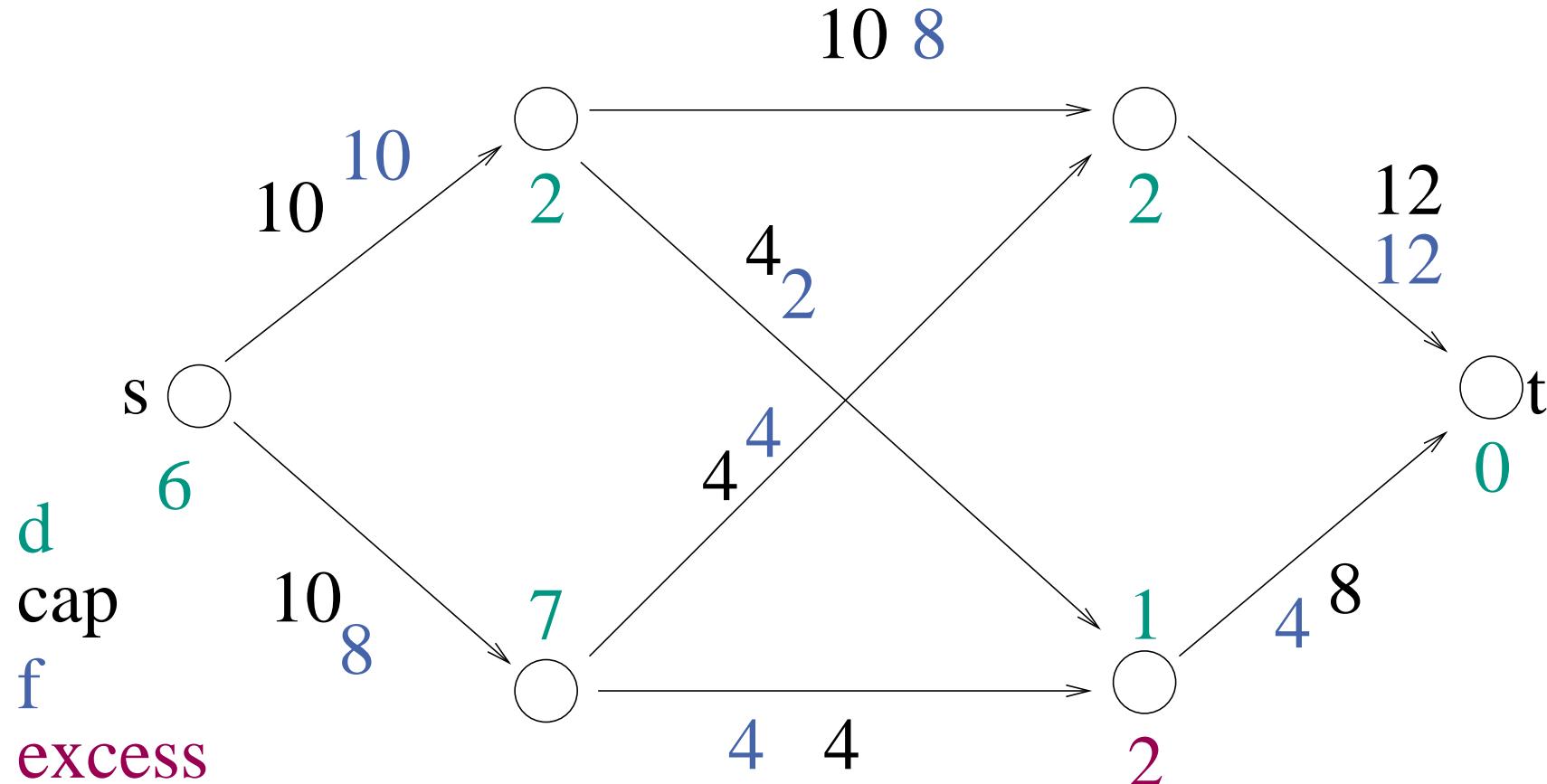
# Example



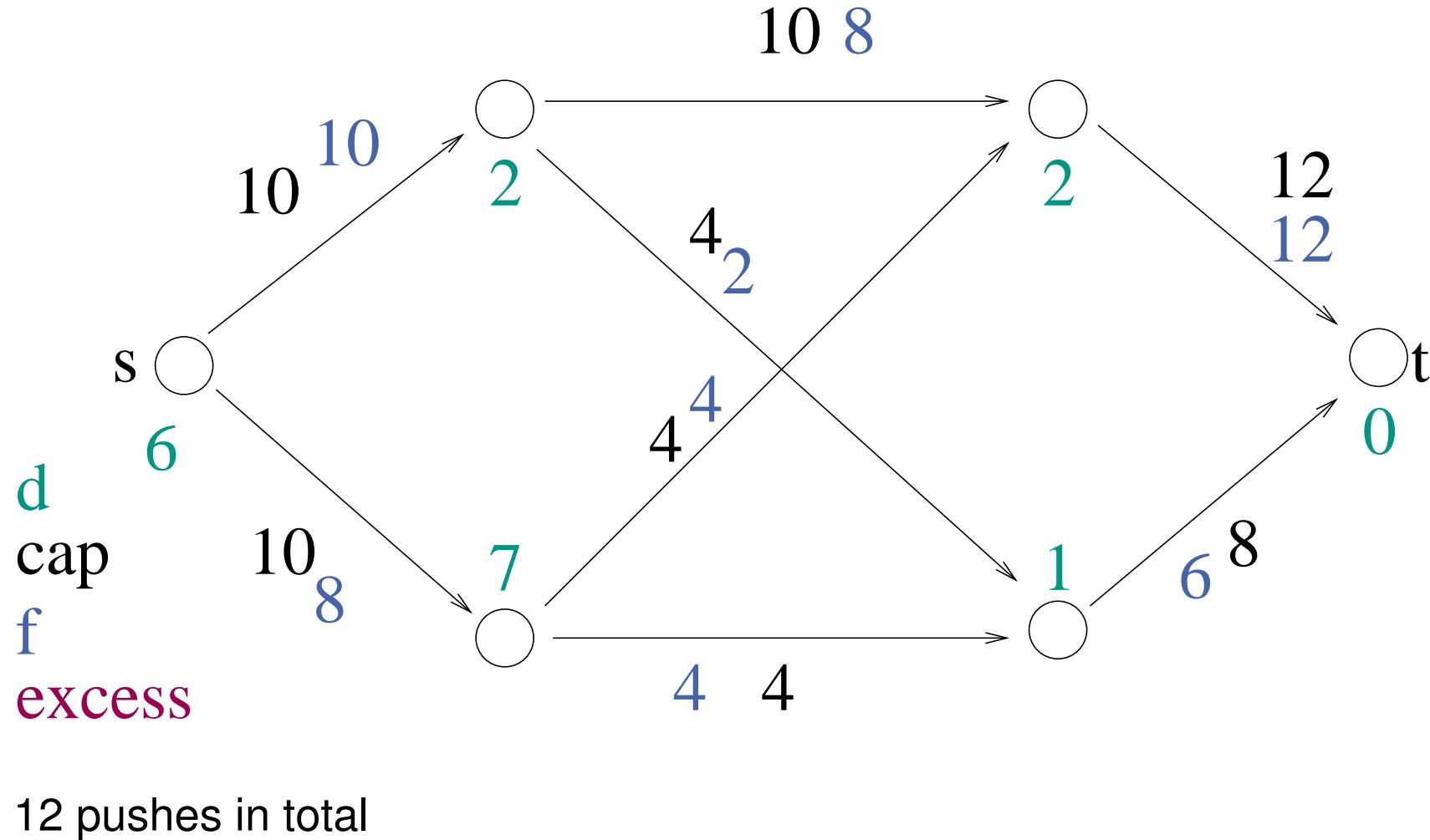
# Example



# Example



# Example



# Partial Correctness

**Lemma 3.** When `genericPreflowPush` terminates  
 $f$  is a **maximal flow**.

*Beweis.*

$f$  is a **flow** since  $\forall v \in V \setminus \{s, t\} : \text{excess}(v) = 0$ .

To show that  $f$  is **maximal**, it suffices to show that  
 $\nexists$  path  $p = \langle s, \dots, t \rangle \in G_f$  (Max-Flow Min-Cut Theorem):  
Since  $d(s) = n, d(t) = 0$ ,  $p$  would have to contain steep edges.  
That would be a contradiction. □

**Lemma 4.** For any cut  $(S, T)$ ,

$$\sum_{u \in S} \text{excess}(u) = \sum_{e \in E \cap (T \times S)} f(e) - \sum_{e \in E \cap (S \times T)} f(e),$$

**Proof:**

$$\sum_{u \in S} \text{excess}(u) = \sum_{u \in S} \left( \sum_{(v,u) \in E} f((v,u)) - \sum_{(u,v) \in E} f((u,v)) \right)$$

Contributions of edge  $e$  to sum:

$S$  to  $T$ :  $-f(e)$

$T$  to  $S$ :  $f(e)$

within  $S$ :  $f(e) - f(e) = 0$

within  $T$ : 0

■

**Lemma 5.**

$$\forall \text{ active nodes } v : \text{excess}(v) > 0 \Rightarrow \exists \text{ path } \langle v, \dots, s \rangle \in G_f$$

Intuition: what got there can always go back.

*Beweis.*  $S := \{u \in V : \exists \text{ path } \langle v, \dots, u \rangle \in G_f\}$ ,  $T := V \setminus S$ . Then

$$\sum_{u \in S} \text{excess}(u) = \sum_{e \in E \cap (T \times S)} f(e) - \sum_{e \in E \cap (S \times T)} f(e),$$

$\forall (u, w) \in E_f : u \in S \Rightarrow w \in S$  by Def. of  $G_f$ ,  $S$

$\Rightarrow \forall e = (u, w) \in E \cap (T \times S) : f(e) = 0$  Otherwise  $(w, u) \in E_f$

Hence,  $\sum_{u \in S} \text{excess}(u) \leq 0$

Only the negative excess of  $s$  can outweigh  $\text{excess}(v) > 0$ .

Hence  $s \in S$ . □

**Lemma 6.**

$$\forall v \in V : d(v) < 2n$$

*Beweis.*

Suppose  $v$  is lifted to  $d(v) = 2n$ .

By the Lemma 2, there is a (simple) path  $p$  to  $s$  in  $G_f$ .

$p$  has at most  $n - 1$  nodes

$$d(s) = n.$$

Hence  $d(v) < 2n$ . Contradiction (no steep edges). □

**Lemma 7.** # Relabel operations  $\leq 2n^2$

*Beweis.*  $d(v) \leq 2n$ , i.e.,  $v$  is relabeled at most  $2n$  times.

Hence, at most  $|V| \cdot 2n = 2n^2$  relabel operations. □

**Lemma 8.**  $\# \text{saturating pushes} \leq nm$ 

*Beweis.*

We show that there are **at most  $n$  sat. pushes** over any edge

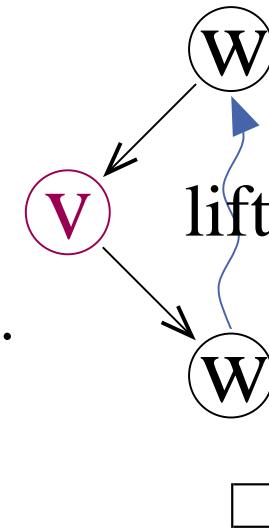
$$e = (v, w).$$

A saturating push( $e, \delta$ ) **removes  $e$**  from  $E_f$ .

Only a **push on  $(w, v)$**  can **reinsert  $e$**  into  $E_f$ .

For this to happen,  $w$  must be **lifted** at least two levels.

Hence, at most  $2n/2 = n$  saturating pushes over  $(v, w)$



**Lemma 9.**  $\# \text{nonsaturating pushes} = O(n^2m)$

if  $\delta = \min \left\{ \text{excess}(v), c_e^f \right\}$

for *arbitrary* node and edge selection rules.  
*(arbitrary-preflow-push)*

*Beweis.*  $\Phi := \sum_{\{v: v \text{ is active}\}} d(v).$  (Potential)

$\Phi = 0$  initially **and** at the end (no active nodes left!)

Operation	$\Delta(\Phi)$	How many times?	Total effect
relabel	1	$\leq 2n^2$	$\leq 2n^2$
saturating push	$\leq 2n$	$\leq nm$	$\leq 2n^2m$
nonsaturating push	$\leq -1$		

$\Phi \geq 0$  always. □

# Searching for Eligible Edges

Every node  $v$  maintains a `currentEdge` pointer to its sequence of outgoing edges in  $G_f$ .

**invariant** no edge  $e = (v, w)$  to the left of `currentEdge` is eligible

Reset `currentEdge` at a relabel  $(\leq 2n \times)$

Invariant cannot be violated by a push over a reverse edge  $e' = (w, v)$  since this only happens when  $e'$  is downward, i.e.,  $e$  is upward and hence not eligible.

**Lemma 10.**

*Total cost for searching*  $\leq \sum_{v \in V} 2n \cdot \text{degree}(v) = 4nm = \mathcal{O}(nm)$

**Satz 11.** *Arbitrary Preflow Push finds a maximum flow in time  $O(n^2m)$ .*

*Beweis.*

Lemma 3: partial correctness

Initialization in time  $O(n + m)$ .

Maintain set (e.g., stack, FIFO) of active nodes.

Use reverse edge pointers to implement push.

Lemma 7:  $2n^2$  relabel operations

Lemma 8:  $nm$  saturating pushes

Lemma 9:  $O(n^2m)$  nonsaturating pushes

Lemma 10:  $O(nm)$  search time for eligible edges

---

Total time  $O(n^2m)$

□

## FIFO Preflow push

Examine a node: Saturating pushes until nonsaturating push or relabel.

Examine all nodes in phases (or use FIFO queue).

**Theorem:** time  $O(n^3)$

**Proof:** not here

## Highest Level Preflow Push

Always select active nodes that **maximize  $d(v)$**

Use **bucket priority queue** (insert, increaseKey, deleteMax)

not monotone (!) but **relabels** “pay” for scan operations

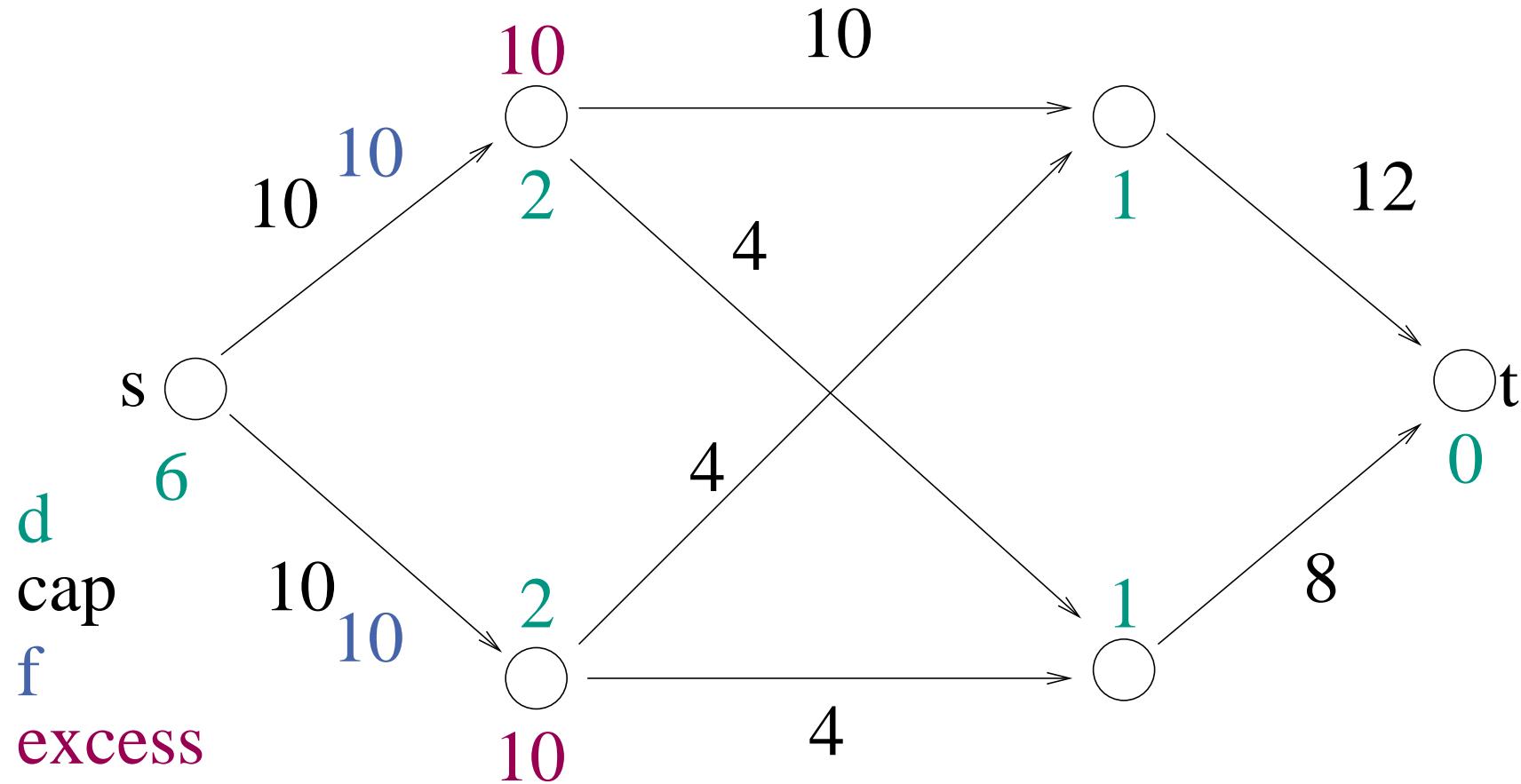
**Lemma 12.** *At most  $n^2\sqrt{m}$  nonsaturating pushes.*

*Beweis.* later

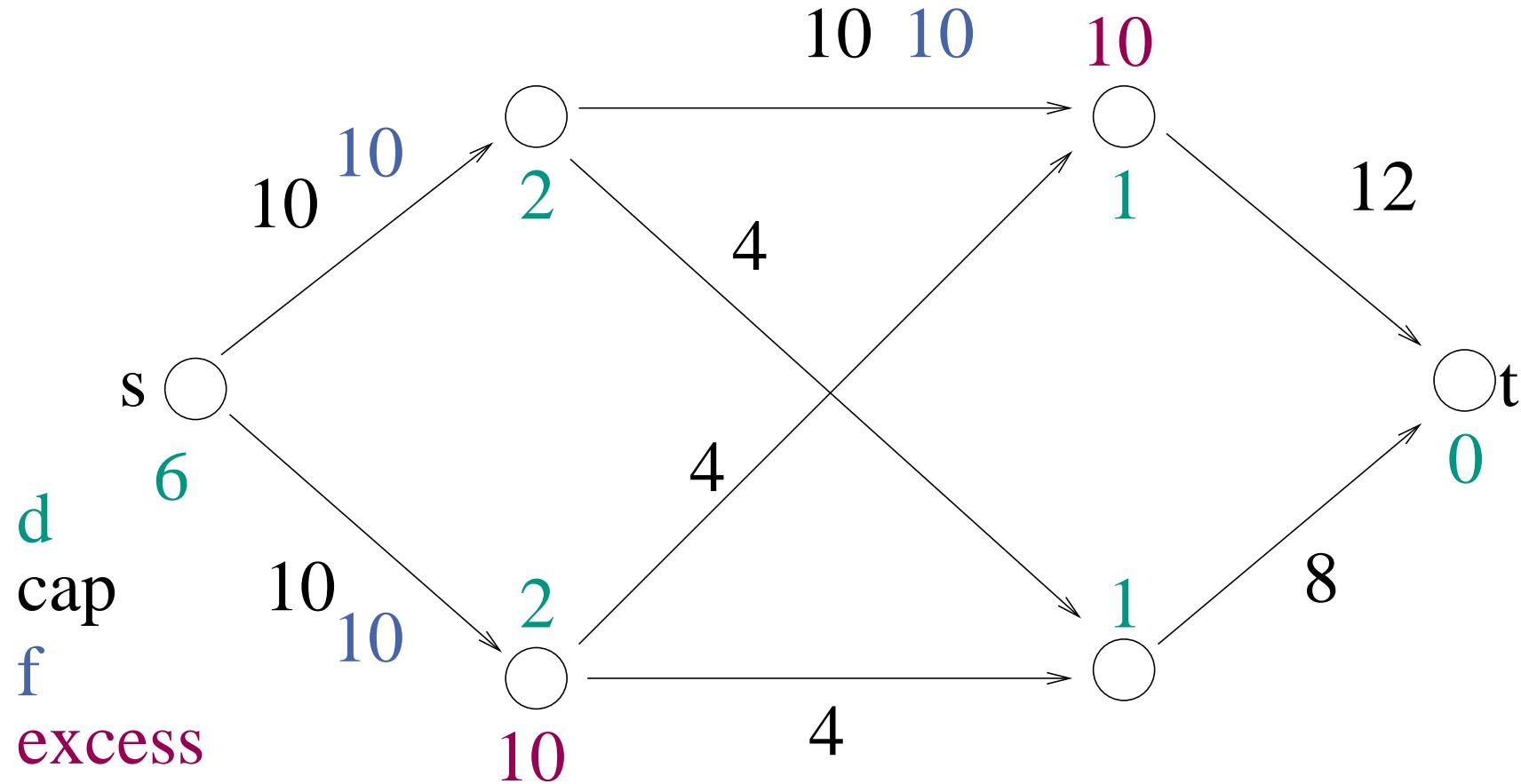
□

**Satz 13.** *Highest Level Preflow Push finds a maximum flow in time  $O(n^2\sqrt{m})$ .*

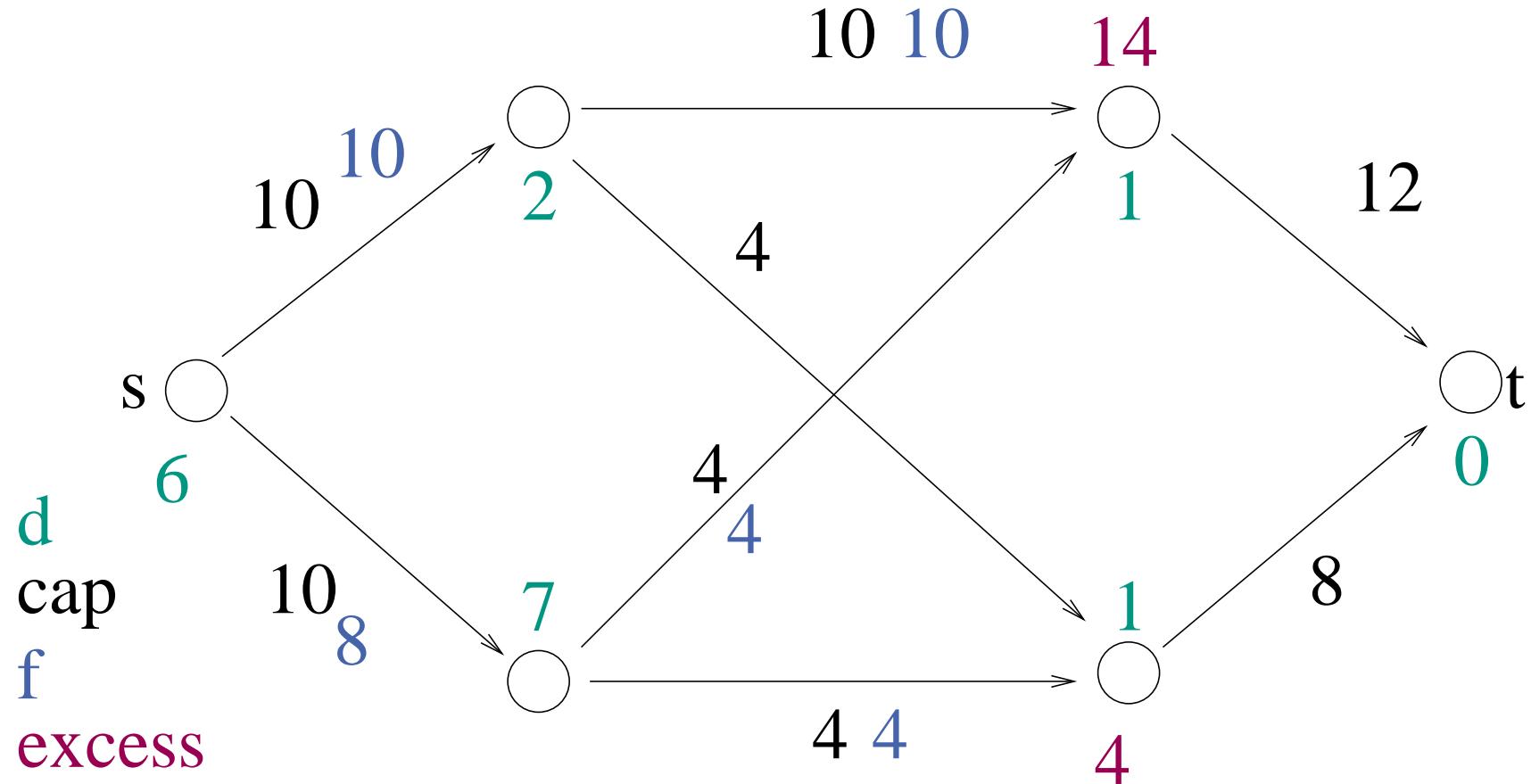
# Example



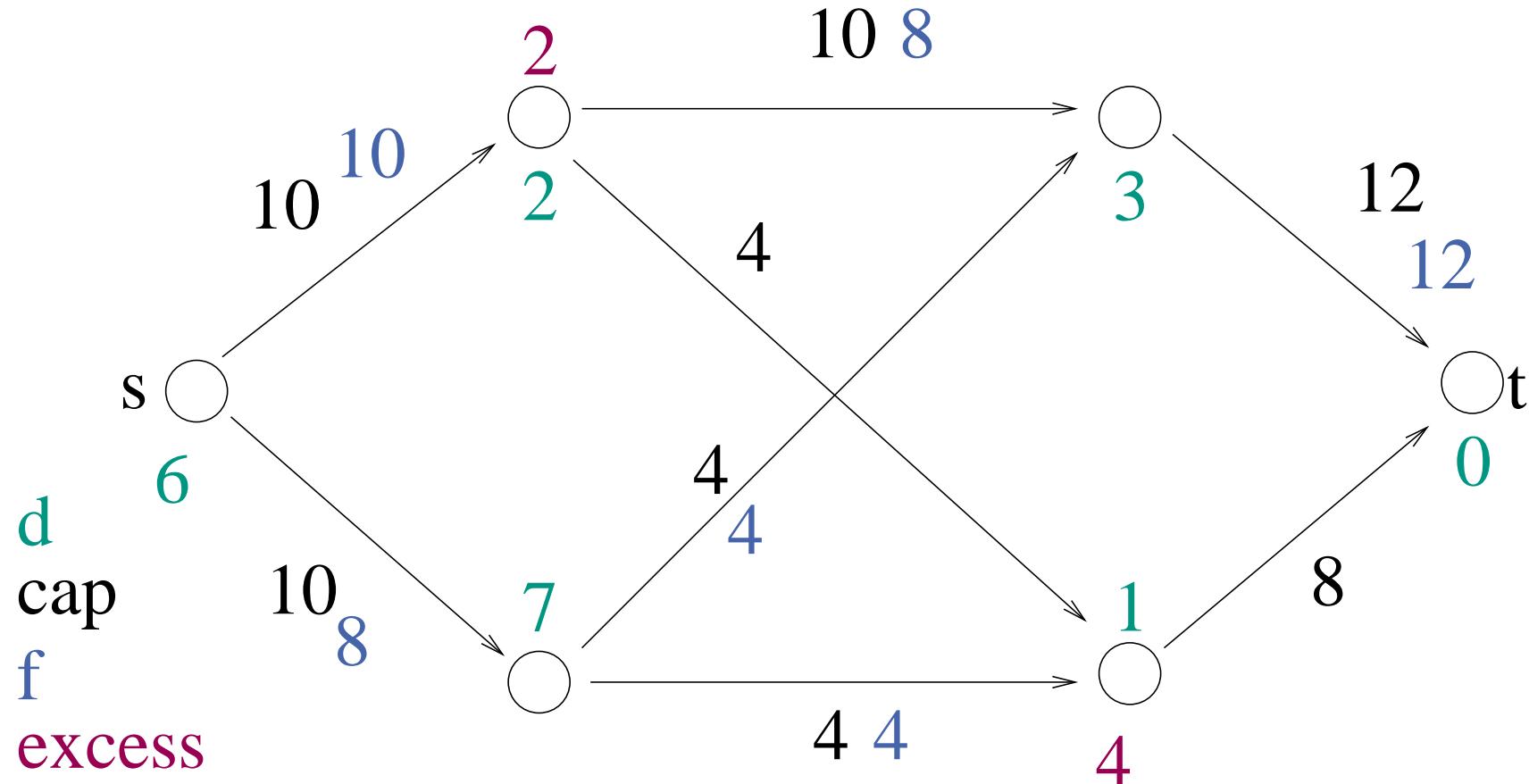
# Example



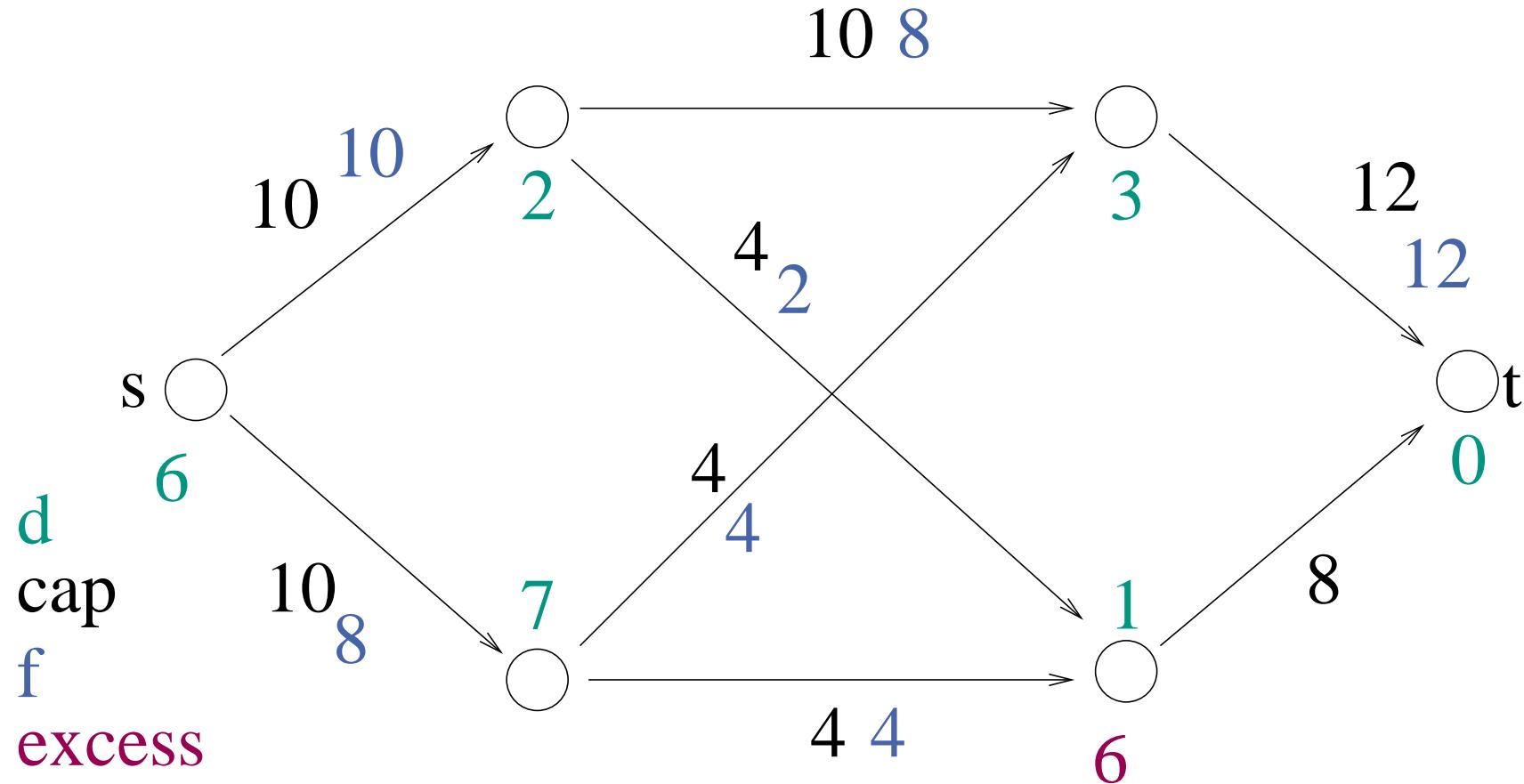
# Example



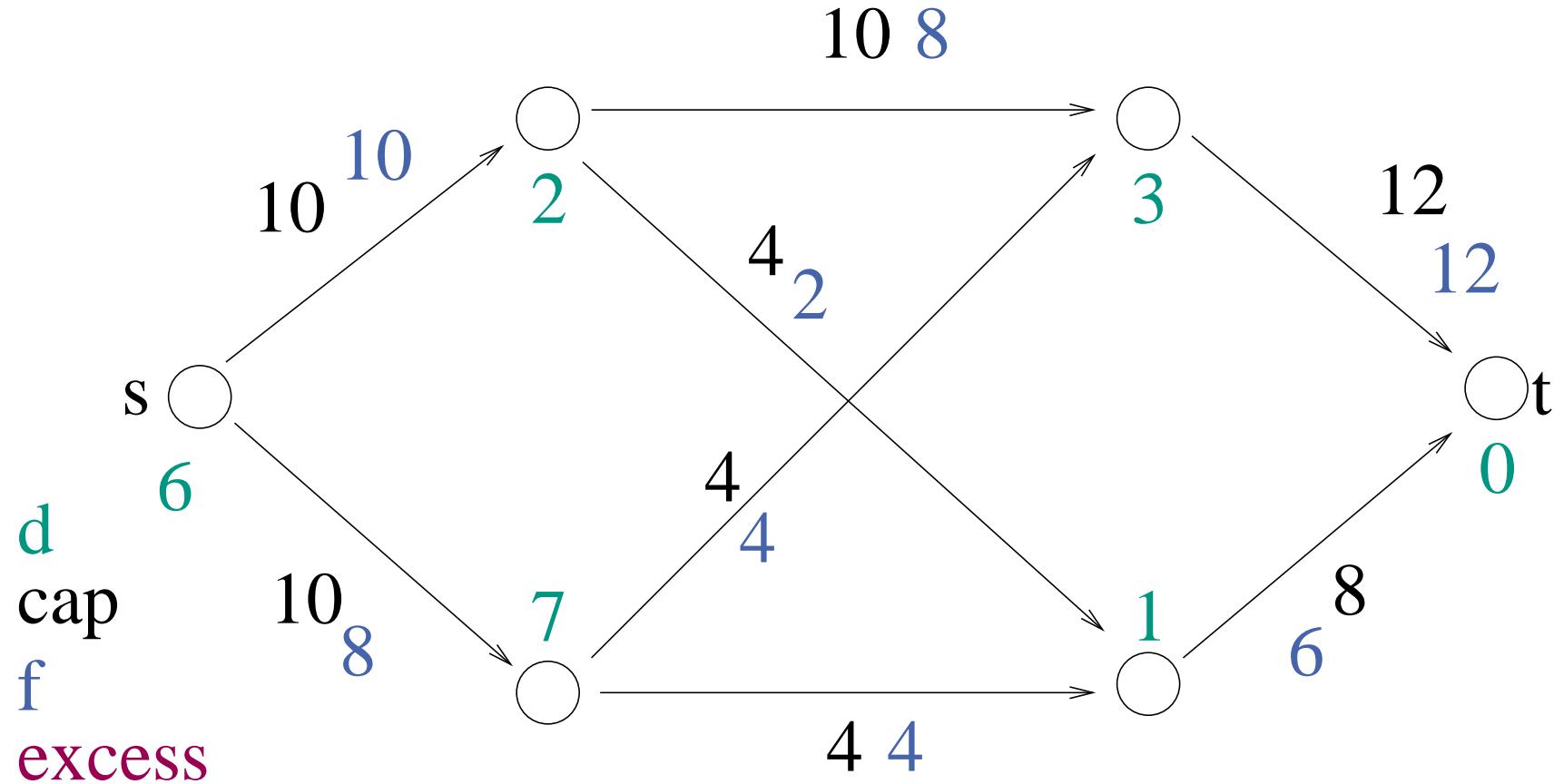
# Example



# Example



# Example



9 pushes in total, 3 less than before



# Proof of Lemma 12

$$K := \sqrt{m}$$

tuning parameter

$$d'(v) := \frac{|\{w : d(w) \leq d(v)\}|}{K}$$

scaled number of dominated nodes

$$\Phi := \sum_{\{v : v \text{ is active}\}} d'(v).$$

(Potential)

$$d^* := \max \{d(v) : v \text{ is active}\}$$

(highest level)

**phase**:= all pushes between two consecutive changes of  $d^*$

**expensive phase**: more than  $K$  pushes

**cheap** phase: otherwise



## Claims:

1.  $\leq 4n^2K$  nonsaturating pushes in all cheap phases together
2.  $\Phi \geq 0$  always,  $\Phi \leq n^2/K$  initially (obvious)
3. a relabel or saturating push increases  $\Phi$  by at most  $n/K$ .
4. a nonsaturating push does not increase  $\Phi$ .
5. an expensive phase with  $Q \geq K$  nonsaturating pushes decreases  $\Phi$  by at least  $Q$ .

Lemma 7 + Lemma 8 + 2. + 3. + 4.  $\Rightarrow$

$$\text{total possible decrease} \leq (2n^2 + nm) \frac{n}{K} + \frac{n^2}{K}$$

This + 5.  $\leq \frac{2n^3 + n^2 + mn^2}{K}$  nonsaturating pushes in expensive phases

This + 1.  $\leq \frac{2n^3 + n^2 + mn^2}{K} + 4n^2K = O(n^2\sqrt{m})$  nonsaturating pushes overall for  $K = \sqrt{m}$

Operation	Amount
Relabel	$2n^2$
Sat.push	$nm$



## Claims:

1.  $\leq 4n^2K$  nonsaturating pushes in all cheap phases together

We first show that there are at most  $4n^2$  phases  
(changes of  $d^* = \max \{d(v) : v \text{ is active}\}$ ).

$d^* = 0$  initially,  $d^* \geq 0$  always.

Only **relabel** operations increase  $d^*$ , i.e.,

$\leq 2n^2$  increases by Lemma 7 and hence

$\leq 2n^2$  decreases

---

$\leq 4n^2$  changes overall

By definition of a cheap phase, it has at most  $K$  pushes.



## Claims:

1.  $\leq 4n^2K$  nonsaturating pushes in all cheap phases together
2.  $\Phi \geq 0$  always,  $\Phi \leq n^2/K$  initially (obvious)
3. a relabel or saturating push increases  $\Phi$  by at most  $n/K$ .

Let  $v$  denote the relabeled or activated node.

$$d'(v) := \frac{|\{w : d(w) \leq d(v)\}|}{K} \leq \frac{n}{K}$$

A relabel of  $v$  can increase only the  $d'$ -value of  $v$ .

A saturating push on  $(u, w)$  may activate only  $w$ .



## Claims:

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4. a nonsaturating push does not increase  $\Phi$ .

$v$  is deactivated ( $\text{excess}(v)$  is now 0)

$w$  may be activated

but  $d'(w) \leq d'(v)$  (we do not push flow away from the sink)



## Claims:

1.  $\leq 4n^2K$  nonsaturating pushes in all cheap phases together
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4. a nonsaturating push does not increase  $\Phi$ .
5. an expensive phase with  $Q \geq K$  nonsaturating pushes decreases  $\Phi$  by at least  $Q$ .

During a phase  $d^*$  remains constant

Each nonsat. push decreases the number of active nodes at level  $d^*$

Hence,  $|\{w : d(w) = d^*\}| \geq Q \geq K$  during an expensive phase

Each nonsat. push across  $(v, w)$  decreases  $\Phi$  by

$$\geq d'(v) - d'(w) \geq |\{w : d(w) = d^*\}| / K \geq K / K = 1$$

■



## Claims:

1.  $\leq 4n^2K$  nonsaturating pushes in all cheap phases together
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Lemma 7 + Lemma 8 + 2. + 3. + 4.  $\Rightarrow$

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This + 5.  $\leq \frac{2n^3 + n^2 + mn^2}{K}$  nonsaturating pushes in expensive phases

This + 1.  $\leq \frac{2n^3 + n^2 + mn^2}{K} + 4n^2K = O(n^2\sqrt{m})$  nonsaturating pushes overall for  $K = \sqrt{m}$

Operation	Amount
Relabel	$2n^2$
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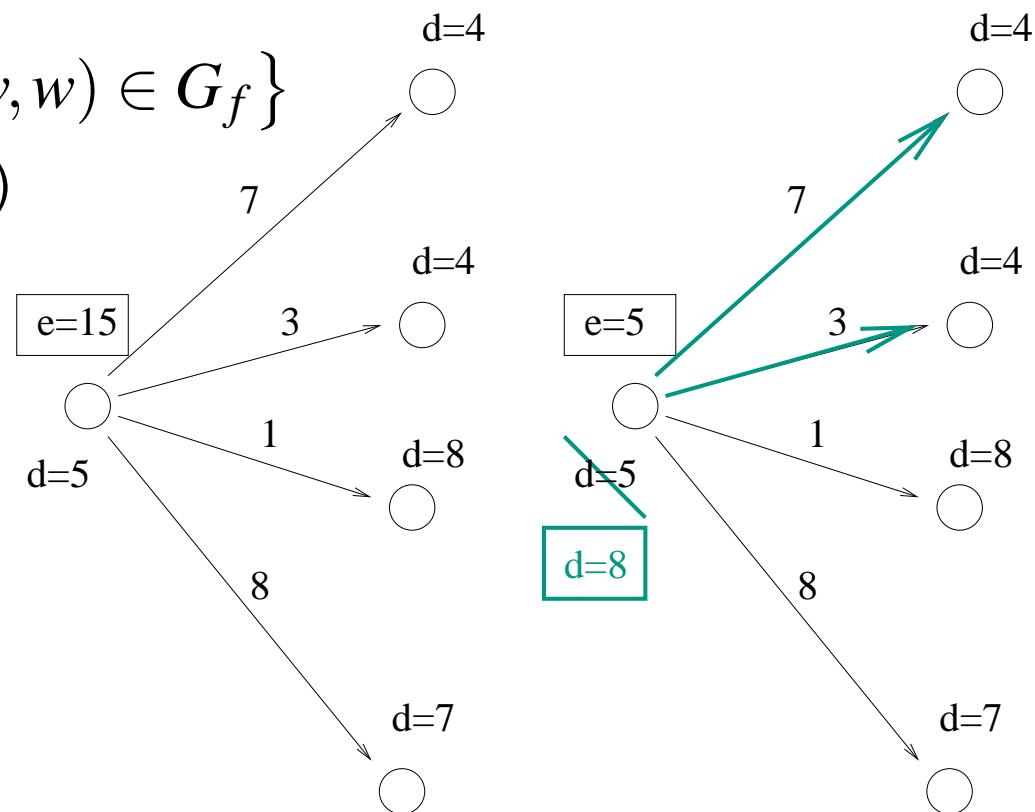
# Heuristic Improvements

Naive algorithm has **best case**  $\Omega(n^2)$ . Why? We can do better.

aggressive local relabeling:

$$d(v) := 1 + \min \{d(w) : (v, w) \in G_f\}$$

(like a sequence of relabels)



# Heuristic Improvements

Naive algorithm has **best case**  $\Omega(n^2)$ . Why? We can do better.

**aggressive local relabeling:**  $d(v) := 1 + \min \{d(w) : (v, w) \in G_f\}$   
(like a sequence of relabels)

**global relabeling:** (initially and every  $O(m)$  edge inspections):  
 $d(v) := G_f.\text{reverseBFS}(t)$  for nodes that can reach  $t$  in  $G_f$ .

Special treatment of nodes with  $d(v) \geq n$ . (**Returning flow** is easy)

**Gap Heuristics.** No node can connect to  $t$  across an empty level:

**if**  $\{v : d(v) = i\} = \emptyset$  **then foreach**  $v$  with  $d(v) > i$  **do**  $d(v) := n$

# Experimental results

We use four classes of graphs:

- Random:  $n$  nodes,  $2n + m$  edges; all edges  $(s, v)$  and  $(v, t)$  exist
- Cherkassky and Goldberg (1997) (two graph classes)
- Ahuja, Magnanti, Orlin (1993)



## Timings: Random Graphs

Rule	BASIC	Ln	LRH	GRH	GAP	LEDA
FF	5.84	6.02	4.75	0.07	0.07	—
	33.32	33.88	26.63	0.16	0.17	—
HL	6.12	6.3	4.97	0.41	0.11	0.07
	27.03	27.61	22.22	1.14	0.22	0.16
MF	5.36	5.51	4.57	0.06	0.07	—
	26.35	27.16	23.65	0.19	0.16	—

$n \in \{1000, 2000\}$ ,  $m = 3n$

FF=FIFO node selection, HL=highest level, MF=modified FIFO

Ln= $d(v) \geq n$  is special,

LRH=local relabeling heuristic, GRH=global relabeling heuristics



## Timings: CG1

Rule	BASIC	Ln	LRH	GRH	GAP	LEDA
FF	3.46	3.62	2.87	0.9	1.01	—
	15.44	16.08	12.63	3.64	4.07	—
HL	20.43	20.61	20.51	1.19	1.33	0.8
	192.8	191.5	193.7	4.87	5.34	3.28
MF	3.01	3.16	2.3	0.89	1.01	—
	12.22	12.91	9.52	3.65	4.12	—

$n \in \{1000, 2000\}, m = 3n$

FF=FIFO node selection, HL=highest level, MF=modified FIFO

Ln= $d(v) \geq n$  is special,

LRH=local relabeling heuristic, GRH=global relabeling heuristics



## Timings: CG2

Rule	BASIC	Ln	LRH	GRH	GAP	LEDA
FF	50.06	47.12	37.58	1.76	1.96	—
	239	222.4	177.1	7.18	8	—
HL	42.95	41.5	30.1	0.17	0.14	0.08
	173.9	167.9	120.5	0.36	0.28	0.18
MF	45.34	42.73	37.6	0.94	1.07	—
	198.2	186.8	165.7	4.11	4.55	—

$n \in \{1000, 2000\}$ ,  $m = 3n$

FF=FIFO node selection, HL=highest level, MF=modified FIFO

Ln= $d(v) \geq n$  is special,

LRH=local relabeling heuristic, GRH=global relabeling heuristics



## Timings: AMO

Rule	BASIC	Ln	LRH	GRH	GAP	LEDA
FF	12.61	13.25	1.17	0.06	0.06	—
	55.74	58.31	5.01	0.1399	0.1301	—
HL	15.14	15.8	1.49	0.13	0.13	0.07
	62.15	65.3	6.99	0.26	0.26	0.14
MF	10.97	11.65	0.04999	0.06	0.06	—
	46.74	49.48	0.1099	0.1301	0.1399	—

$n \in \{1000, 2000\}$ ,  $m = 3n$

FF=FIFO node selection, HL=highest level, MF=modified FIFO

Ln= $d(v) \geq n$  is special,

LRH=local relabeling heuristic, GRH=global relabeling heuristics



## Asymptotics, $n \in \{5000, 10000, 20000\}$

Gen	Rule	GRH			GAP			LEDA		
rand	FF	0.16	0.41	1.16	0.15	0.42	1.05	—	—	—
	HL	1.47	4.67	18.81	0.23	0.57	1.38	0.16	0.45	1.09
	MF	0.17	0.36	1.06	0.14	0.37	0.92	—	—	—
CG1	FF	3.6	16.06	69.3	3.62	16.97	71.29	—	—	—
	HL	4.27	20.4	77.5	4.6	20.54	80.99	2.64	12.13	48.52
	MF	3.55	15.97	68.45	3.66	16.5	70.23	—	—	—
CG2	FF	6.8	29.12	125.3	7.04	29.5	127.6	—	—	—
	HL	0.33	0.65	1.36	0.26	0.52	1.05	0.15	0.3	0.63
	MF	3.86	15.96	68.42	3.9	16.14	70.07	—	—	—
AMO	FF	0.12	0.22	0.48	0.11	0.24	0.49	—	—	—
	HL	0.25	0.48	0.99	0.24	0.48	0.99	0.12	0.24	0.52
	MF	0.11	0.24	0.5	0.11	0.24	0.48	—	—	—

# Zusammenfassung Flows und Matchings

- Natürliche Verallgemeinerung von kürzesten Wegen:  
ein Pfad  $\rightsquigarrow$  viele Pfade
- viele Anwendungen
- “schwierigste/allgemeinsten” Graph-Probleme, die sich mit  
**kombinatorischen** Algorithmen in **Polynomialzeit** lösen lassen
- Beispiel für nichttriviale Algorithmenanalyse
- **Potentialmethode** ( $\neq$  **Knotenpotentiale**)
- Algorithm Engineering: practical case  $\neq$  worst case.  
**Heuristiken/Details/Eingabeeigenschaften** wichtig
- Datenstrukturen: bucket queues, graph representation, (dynamic trees)