## Algorithmen II

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http://algo2.iti.kit.edu/AlgorithmenII_WS23.php

## 5 Maximum Flows and Matchings

[mit Kurt Mehlhorn, Rob van Stee]
Folien auf Englisch
Literatur:
[Mehlhorn / Näher, The LEDA Platform of Combinatorial and
Geometric Computing, Cambridge University Press, 1999]
http://www.mpi-inf.mpg.de/~mehlhorn/ftp/
LEDAbook/Graph_alg.ps
[Ahuja, Magnanti, Orlin, Network Flows, Prentice Hall, 1993]

## Definitions: Network

$\square$ Network = directed weighted graph with
source node $s$ and sink node $t$
$\square s$ has no incoming edges, $t$ has no outgoing edges
$\square$ Weight $c_{e}$ of an edge $e=$ capacity of $e$ (nonnegative!)


## Definitions: Flows

$\square$ Flow $=$ function $f_{e}$ on the edges, $\forall e: 0 \leq f_{e} \leq c_{e}$
$\forall v \in V \backslash\{s, t\}$ : total incoming flow $=$ total outgoing flow
$\square$ Value of a flow $\mathbf{v a l}(f)=$
total outgoing flow from $s=$ total flow going into $t$
$\square$ Goal: find a flow with maximum value


## Definitions: (Minimum) $s-t$ Cuts

An $s$ - $t$ cut is partition of $V$ into $S$ and $T$ with $s \in S$ and $t \in T$.
The capacity of this cut is:


## Duality Between Flows and Cuts

## Theorem:[Elias/Feinstein/Shannon, Ford/Fulkerson 1956]

Value of an $s-t$ max-flow $=$ minimum capacity of an $s-t$ cut.
10

Proof: later


## Applications

$\square$ Oil pipes
$\square$ Traffic flows on highways
$\square$ Image Processing http://vision.csd.uwo.ca/maxflow-data

- segmentation
- stereo processing
- multiview reconstruction
- surface fitting
$\square$ disk/machine/tanker scheduling
$\square$ matrix rounding



## Current Research Challenge: AI versus Optimal Algorithms

Many image processing applications are currently taken over by deep convolutional neural networks.

+ Often better results
+ No ad-hoc definitions of $s, t, c$
- "Optimality" is thrown over board
- Lots of training examples needed

Is there a middle way?
Learn $s, t, c$ then optimize?


## Applications in our Group

$\square$ multicasting using network coding
$\square$ balanced $k$ partitioning
$\square$ disk scheduling


## Option 1: linear programming

Flow variables $x_{e}$ for each edge $e$Flow on each edge is at most its capacityIncoming flow at each vertex = outgoing flow from this vertex$\square$ Maximize outgoing flow from starting vertex
We can do better!

## Algorithms 1956-now

| Year | Author | Running time |  |
| :---: | :---: | :---: | :--- |
| 1956 | Ford-Fulkerson | $O(m n U)$ |  |
| 1969 | Edmonds-Karp | $O\left(m^{2} n\right)$ |  |
| 1970 | Dinic | $O\left(m n^{2}\right)$ |  |
| 1973 | Dinic-Gabow | $O(m n \log U)$ |  |
| 1974 | Karzanov | $O\left(n^{3}\right)$ | $n=$ number of nodes |
| 1977 | Cherkassky | $O\left(n^{2} \sqrt{m}\right)$ | $m=$ number of arcs |
| 1980 | Galil-Naamad | $O\left(m n \log ^{2} n\right)$ | $U=$ largest capacity |
| 1983 | Sleator-Tarjan | $O(m n \log n)$ |  |
| 1986 | Goldberg-Tarjan | $O\left(m n \log \left(n^{2} / m\right)\right)$ |  |
| 1987 | Ahuja-Orlin | $O\left(m n+n^{2} \log U\right)$ |  |


| Year | Author | Running time |
| :---: | :---: | :---: |
| 1987 | Ahuja-Orlin-Tarjan | $O(m n \log (2+n \sqrt{\log U} / m))$ |
| 1990 | Cheriyan-Hagerup-Mehlhorn | $O\left(n^{3} / \log n\right)$ |
| 1990 | Alon | $O\left(m n+n^{8 / 3} \log n\right)$ |
| 1992 | King-Rao-Tarjan | $O\left(m n+n^{2+\varepsilon}\right)$ |
| 1993 | Philipps-Westbrook | $O\left(m n \log n / \log \frac{m}{n}+n^{2} \log ^{2+\varepsilon} n\right)$ |
| 1994 | King-Rao-Tarjan | $O\left(m n \log n / \log \frac{m}{n \log n}\right)$ if $m \geq 2 n \log n$ |
| 1997 | Goldberg-Rao | $O\left(\min \left\{m^{1 / 2}, n^{2 / 3}\right\} m \log \left(n^{2} / m\right) \log U\right)$ |
| 2014 | Lee-Sidford | $O\left(m \sqrt{n} \log { }^{2} U\right)$ |
| 2020 | v. d. Brand et al. | $O\left(m+n^{\frac{3}{2}} \log U \log ? m\right)$ |
| 2021 | Gao-Liu-Peng | $O\left(m^{\left.\frac{3}{2}-\frac{1}{328} \log U \log ? m\right)}\right.$ |
| 2022 | v.d. Brand et al. | $O\left(m^{\frac{3}{2}-\frac{1}{58}} \log U \log ? m\right)$ |
| 2022 | Chen, Kyng et al. | $O\left(m^{1+o(1)} \log U\right)$ |

## Augmenting Paths (Rough Idea)

Find a path from $s$ to $t$ such that each edge has some spare capacity
On this path, saturate the edge with the smallest spare capacity
Adjust capacities for all edges (create residual graph) and repeat

A typical greedy algorithm

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## Example



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## Example



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## Example



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## Example


are we done?

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## Example



## Residual Graph

Given, network $G=(V, E, c)$, flow $f$
Residual graph $G_{f}=\left(V, E_{f}, c^{f}\right)$. For each $e \in E$ we have

$$
\begin{cases}e \in E_{f} \text { with } c_{e}^{f}=c_{e}-f(e) & \text { if } f(e)<c(e) \\ e^{\mathrm{rev}} \in E_{f} \text { with } c_{e^{\mathrm{rev}}}^{f}=f(e) & \text { if } f(e)>0\end{cases}
$$



## Augmenting Paths

Find a path $p$ from $s$ to $t$ such that each edge $e$ has nonzero residual capacity $c_{e}^{f}$

$$
\Delta f:=\min _{e \in p} c_{e}^{f}
$$

foreach $(u, v) \in p$ do

$$
\begin{aligned}
& \text { if }(u, v) \in E \text { then } f_{(u, v)}+=\Delta f \\
& \text { else } f_{(v, u)}-=\Delta f
\end{aligned}
$$

## Ford Fulkerson Algorithm

Function $\operatorname{FFMaxFlow}(G=(V, E), s, t, \mathrm{c}: E \rightarrow \mathbb{N}): E \rightarrow \mathbb{N}$
$f:=0$
while $\exists$ path $p=(s, \ldots, t)$ in $G_{f}$ do
augment $f$ along $p$
return $f$
time $\mathrm{O}(m \mathrm{val}(f))$

## Ford Fulkerson - Correctness

"Clearly" FF computes a feasible flow $f$. (Invariant)
Todo: flow value is maximal
At termination: no augmenting paths in $G_{f}$ left.
Consider cut ( $S, T:=V \backslash S$ ) with
$S:=\left\{v \in V: v\right.$ reachable from $s$ in $\left.G_{f}\right\}$


## A Basic Observations

Lemma 1: For any cut $(S, T)$ :

$$
\mathbf{v a l}(f)=\overbrace{\sum_{e \in E \cap S \times T} f_{e}}^{S \rightarrow T \text { edges }}-\overbrace{\sum_{e \in E \cap T \times S} f_{e}}^{T \rightarrow S \text { edges }}
$$

## Ford Fulkerson - Correctness

Todo: val $(f)$ is maximal when no augmenting paths in $G_{f}$ left.
Consider cut $(S, T:=V \backslash S)$ with
$S:=\left\{v \in V: v\right.$ reachable from $s$ in $\left.G_{f}\right\}$.
Observation: $\forall(u, v) \in E \cap T \times S: f(u, v)=0$
otherwise $c^{f}(v, u)>0$ contradicting the definition of $S$.

$$
\begin{array}{rlr}
\operatorname{val}(f) & =\sum_{e \in E \cap S \times T} f_{e}-\sum_{e \in E \cap T \times S} f_{e} & \text { Lemma 1 } \\
& =\sum_{e \in E \cap S \times T} f_{e} & \text { Observation above }
\end{array}
$$

see next slide

## Max-Flow-Min-Cut theorem

Theorem: Max-flow = min-cut
Proof:
obvious: any-flow $\leq$ max-flow $\leq$ min-cut $\leq$ any-cut
previous slide:
$(S, T)$ flow $=(S, T)$ cut capacity
$\Rightarrow$
$(S, T)$ flow $=$ max-flow $=$ min-cut

## A Bad Example for Ford Fulkerson



## A Bad Example for Ford Fulkerson



## A Bad Example for Ford Fulkerson



## An Even Worse Example for Ford Fulkerson

[U. Zwick, TCS 148, p. 165-170, 1995]
Let $r=\frac{\sqrt{5}-1}{2}$.
Consider the graph

And the augmenting paths

$$
\begin{aligned}
p_{0} & =\langle s, c, b, t\rangle \\
p_{1} & =\langle s, a, b, c, d, t\rangle \\
p_{2} & =\langle s, c, b, a, t\rangle \\
p_{3} & =\langle s, d, c, b, t\rangle
\end{aligned}
$$



The sequence of augmenting paths $p_{0}\left(p_{1}, p_{2}, p_{1}, p_{3}\right)^{*}$ is an infinite
sequence of positive flow augmentations.
The flow value does not converge to the maximum value 9 .

## Blocking Flows

$f_{b}$ is a blocking flow in $H$ if
$\forall$ paths $p=\langle s, \ldots, t\rangle: \exists e \in p: f_{b}(e)=c(e)$


## Dinitz Algorithm

Function DinitzMaxFlow $(G=(V, E), s, t, c: E \rightarrow \mathbb{N}): E \rightarrow \mathbb{N}$
$f:=0$
while $\exists$ path $p=(s, \ldots, t)$ in $G_{f}$ do
$d=G_{f}$.reverseBFS $(t): V \rightarrow \mathbb{N}$
$L_{f}=\left(V,\left\{(u, v) \in E_{f}: d(v)=d(u)-1\right\}\right) / /$ layer graph
find a blocking flow $f_{b}$ in $L_{f}$
augment $f+=f_{b}$
return $f$

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## Dinitz - Correctness

analogous to Ford-Fulkerson



$$
\begin{aligned}
& \underset{5}{\text { (s) }} \frac{4}{2} \underset{4}{(b)}-\frac{2}{2}-\underset{3}{(\mathrm{c})} \xrightarrow[2]{10} \underset{2}{\text { a }} \underset{2}{4} \underset{1}{\text { (d) }} \xrightarrow[2]{4} \underset{0}{\text { t }} \\
& \text { unused } \\
& \overrightarrow{\text { used }} \text { saturated }
\end{aligned}
$$

## Computing Blocking Flows

Idee: wiederholte DFS nach augmentierenden Pfaden

Function blockingFlow $\left(L_{f}=(V, E)\right): E \rightarrow \mathbb{N}$

$$
p=\langle s\rangle: \text { Path; } \quad f_{b}=0: \text { Flow }
$$

loop
// Round
$v:=p$.last ()
if $v=t$ then
// breakthrough
$\delta:=\min \left\{c(e)-f_{b}(e): e \in p\right\}$
foreach $e \in p$ do
$f_{b}(e)+=\delta$
if $f_{b}(e)=c(e)$ then remove $e$ from $E$
$p:=\langle s\rangle$
else if $\exists e=(v, w) \in E$ then $p$.pushBack $(w) \quad / /$ extend
else if $v=s$ then return $f_{b}$
// done
else delete the last edge from $p$ in $p$ and $E$
// retreat

## Example



## Example



## Example



## Blocking Flows Analysis 1

running time $\#_{\text {extends }}+\#_{\text {retreats }}+n \cdot \#_{\text {breakthroughs }}$$\square \#_{\text {breakthroughs }} \leq m$
$-\geq 1$ edge is saturated
$\square \#_{\text {retreats }} \leq m$
$\square \#_{\text {extends }} \leq \#_{\text {retreats }}+n \cdot \#_{\text {breakthroughs }}$

- a retreat cancels 1 extend, a breakthrough cancels $\leq n$ extends time is $O(m+n m)=O(n m)$


## Blocking Flows Analysis 2

## Unit capacities:

breakthroughs saturates all edges on $p$, i.e., amortized constant cost per edge.
time $O(m+n)$

## Blocking Flows Analysis 3

Dynamic trees: breakthrough (!), retreat, extend in time $\mathrm{O}(\log n)$
time $O((m+n) \log n)$
"Theory alert": In practice, this seems to be slower (few breakthroughs, many retreat, extend ops.)

## Dinitz Analysis 1

Lemma 1. $d(s)$ increases by at least one in each round.
Proof. not here

## Dinitz Analysis 2

$\square \leq n$ rounds
$\square$ time $\mathrm{O}(m n)$ each
time $\mathrm{O}\left(m n^{2}\right)$ (strongly polynomial)
time $\mathrm{O}(m n \log n)$ with dynamic trees

## Dinitz Analysis 3 - Unit Capacities

Lemma 2. At most $2 \sqrt{m}$ BF computations:
Proof. Consider iteration $k=\sqrt{m}$.
Cut in layergraph induces cut in residual graph of capacity at most $\sqrt{m}$.


At most $\sqrt{m}$ additional phases.

Total time: $\mathrm{O}((m+n) \sqrt{m})$
more detailed analysis: $\mathrm{O}\left(m \min \left\{m^{1 / 2}, n^{2 / 3}\right\}\right)$

## Dinitz Analysis 4 - Unit Networks

Unit capacity $+\forall v \in V: \min \{$ indegree $(v)$, outdegree $(v)\}=1$ :
time: $\mathrm{O}((m+n) \sqrt{n})$

## Matching

$M \subseteq E$ is a matching in the undirected graph $G=(V, E)$ iff ( $V, M$ ) has maximum degree $\leq 1$.
$M$ is maximal if $\nexists e \in E \backslash M: M \cup\{e\}$ is a matching.
$M$ has maximum cardinality if $\nexists$ matching $M^{\prime}:\left|M^{\prime}\right|>|M|$


## Maximum Cardinality Bipartite Matching

in $(L \cup R, E)$. Model as a unit network maximum flow problem

$$
(\{s\} \cup L \cup R \cup\{t\},\{(s, u): u \in L\} \cup E \cup\{(v, t): v \in R\})
$$



Dinitz algorithm yields $\mathrm{O}((n+m) \sqrt{n})$ algorithm

## Similar Performance for Weighted Graphs?

time: $\mathrm{O}\left(m \min \left\{m^{1 / 2}, n^{2 / 3}\right\} \log C\right)$ [Goldberg Rao 97]
Problem: Fat edges between layers ruin the argument


Idea: scale a parameter $\Delta$ from small to large contract SCCs of fat edges (capacity $>\Delta$ )

## Experiments [Hagerup, Sanders Träff 98]:

Sometimes best algorithm usually slower than preflow push

## Disadvantage of augmenting paths algorithms



## Preflow-Push Algorithms

Preflow $f$ : a flow where the flow conservation constraint is relaxed to

$$
\operatorname{excess}(v):=\overbrace{\sum_{(u, v) \in E} f_{(u, v)}}^{\text {inflow }}-\overbrace{\sum_{(v, w) \in E} f_{(v, w)}}^{\text {outflow }} \geq 0 .
$$

$v \in V \backslash\{s, t\}$ is active iff excess $(v)>0$
Procedure push $(e=(v, w), \boldsymbol{\delta})$
$\operatorname{assert} \delta>0 \wedge \quad \operatorname{excess}(v) \geq \delta$
assert residual capacity of $e \geq \delta$
$\operatorname{excess}(v)-=\delta$
$\operatorname{excess}(w)+=\delta$
if $e$ is reverse edge then $f(\operatorname{reverse}(e))-=\delta$
else $f(e)+=\delta$

## Level Function

Idea: make progress by pushing towards $t$
Maintain
an approximation $d(v)$ of the BFS distance from $v$ to $t$ in $G_{f}$.
invariant $d(t)=0$
invariant $d(s)=n$
invariant $\forall(v, w) \in E_{f}: d(v) \leq d(w)+1 \quad$ // no steep edges

Edge directions of $e=(v, w)$
steep: $d(w)<d(v)-1$
downward: $d(w)<d(v)$
horizontal: $d(w)=d(v)$
upward: $d(w)>d(v)$

Procedure genericPreflowPush( $\mathrm{G}=(\mathrm{V}, \mathrm{E}$ ), f$)$
forall $e=(s, v) \in E$ do push $(e, c(e)) \quad / /$ saturate
$d(s):=n$
$d(v):=0$ for all other nodes
while $\exists v \in V \backslash\{s, t\}: \operatorname{excess}(v)>0$ do // active node if $\exists e=(v, w) \in E_{f}: d(w)<d(v)$ then // eligible edge
choose some $\delta \leq \min \left\{\operatorname{excess}(v), c_{e}^{f}\right\}$ push $(e, \delta)$
// no new steep edges
else $d(v)++\quad / /$ relabel. No new steep edges
Obvious choice for $\delta: \delta=\min \left\{\operatorname{excess}(v), c_{e}^{f}\right\}$
Saturating push: $\boldsymbol{\delta}=c_{e}^{f}$
nonsaturating push: $\delta<c_{e}^{f}$
To be filled in: How to select active nodes and eligible edges?

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## Example



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## Example



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12 pushes in total

## Partial Correctness

Lemma 3. When genericPreflowPush terminates
$f$ is a maximal flow.
Proof.
$f$ is a flow since $\forall v \in V \backslash\{s, t\}: \operatorname{excess}(v)=0$.

To show that $f$ is maximal, it suffices to show that $\nexists$ path $p=\langle s, \ldots, t\rangle \in G_{f}$ (Max-Flow Min-Cut Theorem): Since $d(s)=n, d(t)=0, p$ would have to contain steep edges.
That would be a contradiction.

Lemma 4. For any cut $(S, T)$,

$$
\sum_{u \in S} \operatorname{excess}(u)=\sum_{e \in E \cap(T \times S)} f(e)-\sum_{e \in E \cap(S \times T)} f(e),
$$

## Proof:

$$
\sum_{u \in S} \operatorname{excess}(u)=\sum_{u \in S}\left(\sum_{(v, u) \in E} f((v, u))-\sum_{(u, v) \in E} f((u, v))\right)
$$

Contributions of edge $e$ to sum:
$S$ to $T:-f(e)$
$T$ to $S: f(e)$
within $S: f(e)-f(e)=0$
within $T: 0$

## Lemma 5.

$\forall$ active nodes $v: \operatorname{excess}(v)>0 \Rightarrow \exists$ ath $\langle v, \ldots, s\rangle \in G_{f}$ Intuition: what got there can always go back.

Proof. $S:=\left\{u \in V: \exists\right.$ path $\left.\langle v, \ldots u\rangle \in G_{f}\right\}, T:=V \backslash S$. Then

$$
\sum_{u \in S} \operatorname{excess}(u)=\sum_{e \in E \cap(T \times S)} f(e)-\sum_{e \in E \cap(S \times T)} f(e),
$$

$\forall(u, w) \in E_{f}: u \in S \Rightarrow w \in S$ by Def. of $G_{f}, S$
$\Rightarrow \forall e=(u, w) \in E \cap(T \times S): f(e)=0 \quad$ Otherwise $(w, u) \in E_{f}$
Hence, $\sum_{u \in S} \operatorname{excess}(u) \leq 0$
Only the negative excess of $s$ can outweigh excess $(v)>0$. Hence $s \in S$.

Lemma 6.
$\forall v \in V: d(v)<2 n$
Proof.
Suppose $v$ is lifted to $d(v)=2 n$.
By the Lemma 2, there is a (simple) path $p$ to $s$ in $G_{f}$. $p$ has at most $n-1$ nodes
$d(s)=n$.
Hence $d(v)<2 n$. Contradiction (no steep edges).

Lemma 7. \# Relabel operations $\leq 2 n^{2}$
Proof. $d(v) \leq 2 n$, i.e., $v$ is relabeled at most $2 n$ times. Hence, at most $|V| \cdot 2 n=2 n^{2}$ relabel operations.

Lemma 8. \# saturating pushes $\leq n m$

## Proof.

We show that there are at most $n$ sat. pushes over any edge $e=(v, w)$.
A saturating push $(e, \delta)$ removes $e$ from $E_{f}$. Only a push on $(w, v)$ can reinsert $e$ into $E_{f}$. For this to happen, $w$ must be lifted at least two levels. Hence, at most $2 n / 2=n$ saturating pushes over $(v, w)$


Lemma 9. \# nonsaturating pushes $=\mathrm{O}\left(n^{2} m\right)$
if $\delta=\min \left\{\operatorname{excess}(v), c_{e}^{f}\right\}$
for arbitrary node and edge selection rules.
(arbitrary-preflow-push)
Proof. $\Phi:=\sum_{\{v: v \text { is active }\}} d(v)$.
(Potential)
$\Phi=0$ initially and at the end (no active nodes left!)

| Operation | $\Delta(\Phi)$ | How many times? | Total effect |
| ---: | :---: | :---: | :---: |
| relabel | 1 | $\leq 2 n^{2}$ | $\leq 2 n^{2}$ |
| saturating push | $\leq 2 n$ | $\leq n m$ | $\leq 2 n^{2} m$ |
| nonsaturating push | $\leq-1$ |  |  |

$\Phi \geq 0$ always.

## Searching for Eligible Edges

Every node $v$ maintains a currentEdge pointer to its sequence of outgoing edges in $G_{f}$.
invariant no edge $e=(v, w)$ to the left of currentEdge is eligible
Reset currentEdge at a relabel $\quad(\leq 2 n \times)$
Invariant cannot be violated by a push over a reverse edge $e^{\prime}=(w, v)$
since this only happens when $e^{\prime}$ is downward,
i.e., $e$ is upward and hence not eligible.

Lemma 10.
Total cost for searching $\leq \sum_{v \in V} 2 n \cdot \operatorname{degree}(v)=4 n m=\mathrm{O}(n m)$

Theorem 11. Arbitrary Preflow Push finds a maximum flow in time $\mathrm{O}\left(n^{2} m\right)$.

Proof.
Lemma 3: partial correctness
Initialization in time $\mathrm{O}(n+m)$.
Maintain set (e.g., stack, FIFO) of active nodes.
Use reverse edge pointers to implement push.
Lemma 7: $2 n^{2}$ relabel operations
Lemma 8: $n m$ saturating pushes
Lemma 9: $\mathrm{O}\left(n^{2} m\right)$ nonsaturating pushes
Lemma 10: $\mathrm{O}(\mathrm{nm})$ search time for eligible edges

Total time $\mathrm{O}\left(n^{2} m\right)$

## FIFO Preflow push

Examine a node: Saturating pushes until nonsaturating push or relabel.
Examine all nodes in phases (or use FIFO queue).
Theorem: time $\mathrm{O}\left(n^{3}\right)$
Proof: not here

## Highest Level Preflow Push

Always select active nodes that maximize $d(v)$
Use bucket priority queue (insert, increaseKey, deleteMax) not monotone (!) but relabels "pay" for scan operations

Lemma 12. At most $n^{2} \sqrt{m}$ nonsaturating pushes.
Proof. later


Theorem 13. Highest Level Preflow Push finds a maximum flow in time $\mathrm{O}\left(n^{2} \sqrt{m}\right)$.

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## Example



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Example


9 pushes in total, 3 less than before

## Proof of Lemma 12

$K:=\sqrt{m}$
tuning parameter
$d^{\prime}(v):=\frac{|\{w: d(w) \leq d(v)\}|}{K} \quad$ scaled number of dominated nodes
$\Phi:=\sum_{\{v: v \text { is active }\}} d^{\prime}(v)$.
(Potential)
$d^{*}:=\max \{d(v): v$ is active $\}$
(highest level)
phase:= all pushes between two consecutive changes of $d^{*}$
expensive phase: more than $K$ pushes
cheap phase: otherwise

## Claims:

1. $\leq 4 n^{2} K$ nonsaturating pushes in all cheap phases together
2. $\Phi \geq 0$ always, $\Phi \leq n^{2} / K$ initially (obvious)
3. a relabel or saturating push increases $\Phi$ by at most $n / K$.
4. a nonsaturating push does not increase $\Phi$.
5. an expensive phase with $Q \geq K$ nonsaturating pushes decreases $\Phi$ by at least $Q$.

Lemma $7+$ Lemma $8+2 .+3 .+4 .: \Rightarrow$
total possible decrease $\leq\left(2 n^{2}+n m\right) \frac{n}{K}+\frac{n^{2}}{K}$

| Operation | Amount |
| :---: | :---: |
| Relabel | $2 n^{2}$ |
| Sat.push | $n m$ |

This $+5 .: \leq \frac{2 n^{3}+n^{2}+m n^{2}}{K}$ nonsaturating pushes in expensive phases
This $+1 .: \leq \frac{2 n^{3}+n^{2}+m n^{2}}{K}+4 n^{2} K=\mathrm{O}\left(n^{2} \sqrt{m}\right)$ nonsaturating
pushes overall for $K=\sqrt{m}$

## Claims:

1. $\leq 4 n^{2} K$ nonsaturating pushes in all cheap phases together

We first show that there are at most $4 n^{2}$ phases
(changes of $d^{*}=\max \{d(v): v$ is active $\}$ ).
$d^{*}=0$ initially, $d^{*} \geq 0$ always.
Only relabel operations increase $d^{*}$, i.e.,
$\leq 2 n^{2}$ increases by Lemma 7 and hence
$\leq 2 n^{2}$ decreases
$\leq 4 n^{2}$ changes overall
By definition of a cheap phase, it has at most $K$ pushes.

## Claims:

1. $\leq 4 n^{2} K$ nonsaturating pushes in all cheap phases together
2. $\Phi \geq 0$ always, $\Phi \leq n^{2} / K$ initially (obvious)
3. a relabel or saturating push increases $\Phi$ by at most $n / K$.

Let $v$ denote the relabeled or activated node.
$d^{\prime}(v):=\frac{|\{w: d(w) \leq d(v)\}|}{K} \leq \frac{n}{K}$
A relabel of $v$ can increase only the $d^{\prime}$-value of $v$.
A saturating push on ( $u, w$ ) may activate only $w$.

## Claims:

1. $\leq 4 n^{2} K$ nonsaturating pushes in all cheap phases together
2. $\Phi \geq 0$ always, $\Phi \leq n^{2} / K$ initially (obvious)
3. a relabel or saturating push increases $\Phi$ by at most $n / K$.
4. a nonsaturating push does not increase $\Phi$.
$v$ is deactivated (excess $(v)$ is now 0 )
$w$ may be activated
but $d^{\prime}(w) \leq d^{\prime}(v)$ (we do not push flow away from the sink)

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3. a relabel or saturating push increases $\Phi$ by at most $n / K$.
4. a nonsaturating push does not increase $\Phi$.
5. an expensive phase with $Q \geq K$ nonsaturating pushes decreases $\Phi$ by at least $Q$.

During a phase $d^{*}$ remains constant
Each nonsat. push decreases the number of active nodes at level $d^{*}$ Hence, $\left|\left\{w: d(w)=d^{*}\right\}\right| \geq Q \geq K$ during an expensive phase
Each nonsat. push across $(v, w)$ decreases $\Phi$ by
$\geq d^{\prime}(v)-d^{\prime}(w) \geq\left|\left\{w: d(w)=d^{*}\right\}\right| / K \geq K / K=1$

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Lemma $7+$ Lemma $8+2 .+3 .+4 .: \Rightarrow$
total possible decrease $\leq\left(2 n^{2}+n m\right) \frac{n}{K}+\frac{n^{2}}{K}$

| Operation | Amount |
| :---: | :---: |
| Relabel | $2 n^{2}$ |
| Sat.push | $n m$ |

This $+5 .: \leq \frac{2 n^{3}+n^{2}+m n^{2}}{K}$ nonsaturating pushes in expensive phases
This $+1 .: \leq \frac{2 n^{3}+n^{2}+m n^{2}}{K}+4 n^{2} K=\mathrm{O}\left(n^{2} \sqrt{m}\right)$ nonsaturating
pushes overall for $K=\sqrt{m}$

## MFIFO: Modified FIFO Selection Rule

pushFront after relabel.

pushBack when activated by a push N

## Heuristic Improvements

Naive algorithm needs $\Omega\left(n^{2}\right)$ relabels even on a path graph. We can do better.
aggressive local relabeling:
$d(v):=1+\min \left\{d(w):(v, w) \in G_{f}\right\}$ (like a sequence of relabels)


## Heuristic Improvements

Naive algorithm has best case $\Omega\left(n^{2}\right)$. Why? We can do better.
aggressive local relabeling: $d(v):=1+\min \left\{d(w):(v, w) \in G_{f}\right\}$ (like a sequence of relabels)
global relabeling: (initially and every $\mathrm{O}(m)$ edge inspections): $d(v):=G_{f}$.reverseBFS $(t)$ for nodes that can reach $t$ in $G_{f}$.

Special treatment of nodes with $d(v) \geq n$. (Returning flow is easy)

Gap Heuristics. No node can connect to $t$ across an empty level:
if $\{v: d(v)=i\}=\emptyset$ then foreach $v$ with $d(v)>i$ do $d(v):=n$

## Experimental results

We use four classes of graphs:
$\square$ Random: $n$ nodes, $2 n+m$ edges; all edges $(s, v)$ and $(v, t)$ exist
$\square$ Cherkassky and Goldberg (1997) (two graph classes)
$\square$ Ahuja, Magnanti, Orlin (1993)

## Timings: Random Graphs

| Rule | BASIC | Ln | LRH | GRH | GAP | LEDA |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| FF | 5.84 | 6.02 | 4.75 | 0.07 | 0.07 | - |
|  | 33.32 | 33.88 | 26.63 | 0.16 | 0.17 | - |
| HL | 6.12 | 6.3 | 4.97 | 0.41 | 0.11 | 0.07 |
|  | 27.03 | 27.61 | 22.22 | 1.14 | 0.22 | 0.16 |
| MF | 5.36 | 5.51 | 4.57 | $\mathbf{0 . 0 6}$ | 0.07 | - |
|  | 26.35 | 27.16 | 23.65 | 0.19 | 0.16 | - |

FF $=$ FIFO node selection, $\mathrm{HL}=$ hightest level, MF=modified FIFO
$\operatorname{Ln}=d(v) \geq n$ is special,
$\mathrm{LRH}=$ local relabeling heuristic, $\mathrm{GRH}=$ global relabeling heuristics

## Timings: CG1

| Rule | BASIC | Ln | LRH | GRH | GAP | LEDA |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| FF | 3.46 | 3.62 | 2.87 | 0.9 | 1.01 | - |
|  | 15.44 | 16.08 | 12.63 | 3.64 | 4.07 | - |
| HL | 20.43 | 20.61 | 20.51 | 1.19 | 1.33 | $\mathbf{0 . 8}$ |
|  | 192.8 | 191.5 | 193.7 | 4.87 | 5.34 | 3.28 |
| MF | 3.01 | 3.16 | 2.3 | 0.89 | 1.01 | - |
|  | 12.22 | 12.91 | 9.52 | 3.65 | 4.12 | - |

$\mathrm{FF}=\mathrm{FIFO}$ node selection, $\mathrm{HL}=$ hightest level, $\mathrm{MF}=$ modified FIFO
$\mathrm{Ln}=d(v) \geq n$ is special,
$\mathrm{LRH}=$ local relabeling heuristic, $\mathrm{GRH}=$ global relabeling heuristics

## Timings: CG2

| Rule | BASIC | Ln | LRH | GRH | GAP | LEDA |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| FF | 50.06 | 47.12 | 37.58 | 1.76 | 1.96 | - |
|  | 239 | 222.4 | 177.1 | 7.18 | 8 | - |
| HL | 42.95 | 41.5 | 30.1 | 0.17 | 0.14 | $\mathbf{0 . 0 8}$ |
|  | 173.9 | 167.9 | 120.5 | 0.36 | 0.28 | 0.18 |
| MF | 45.34 | 42.73 | 37.6 | 0.94 | 1.07 | - |
|  | 198.2 | 186.8 | 165.7 | 4.11 | 4.55 | - |

FF $=$ FIFO node selection, $\mathrm{HL}=$ hightest level, MF=modified FIFO
$\operatorname{Ln}=d(v) \geq n$ is special,
$\mathrm{LRH}=$ local relabeling heuristic, $\mathrm{GRH}=$ global relabeling heuristics

Timings: AMO

| Rule | BASIC | Ln | LRH | GRH | GAP | LEDA |
| :---: | ---: | ---: | ---: | ---: | ---: | ---: |
| FF | 12.61 | 13.25 | 1.17 | $\mathbf{0 . 0 6}$ | $\mathbf{0 . 0 6}$ | - |
|  | 55.74 | 58.31 | 5.01 | 0.1399 | 0.1301 | - |
| HL | 15.14 | 15.8 | 1.49 | 0.13 | 0.13 | 0.07 |
|  | 62.15 | 65.3 | 6.99 | 0.26 | 0.26 | 0.14 |
| MF | 10.97 | 11.65 | 0.04999 | $\mathbf{0 . 0 6}$ | $\mathbf{0 . 0 6}$ | - |
|  | 46.74 | 49.48 | 0.1099 | 0.1301 | 0.1399 | - |

$\mathrm{FF}=\mathrm{FIFO}$ node selection, $\mathrm{HL}=$ hightest level, $\mathrm{MF}=$ modified FIFO
$\mathrm{Ln}=d(v) \geq n$ is special,
$\mathrm{LRH}=$ local relabeling heuristic, $\mathrm{GRH}=$ global relabeling heuristics

Sanders: Algorithmen II - october 19, 2023-Zusatz
Asymptotics, $n \in\{5000,10000,20000\}$

| Gen | Rule | GRH |  |  | GAP |  |  | LEDA |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| rand | FF | 0.16 | 0.41 | 1.16 | 0.15 | 0.42 | 1.05 | - | - | - |
|  | HL | 1.47 | 4.67 | 18.81 | 0.23 | 0.57 | 1.38 | 0.16 | 0.45 | 1.09 |
|  | MF | 0.17 | 0.36 | 1.06 | 0.14 | 0.37 | 0.92 | - | - | - |
| CG1 | FF | 3.6 | 16.06 | 69.3 | 3.62 | 16.97 | 71.29 | - | - | - |
|  | HL | 4.27 | 20.4 | 77.5 | 4.6 | 20.54 | 80.99 | 2.64 | 12.13 | 48.52 |
|  | MF | 3.55 | 15.97 | 68.45 | 3.66 | 16.5 | 70.23 | - | - | - |
| CG2 | FF | 6.8 | 29.12 | 125.3 | 7.04 | 29.5 | 127.6 | - | - | - |
|  | HL | 0.33 | 0.65 | 1.36 | 0.26 | 0.52 | 1.05 | 0.15 | 0.3 | 0.63 |
|  | MF | 3.86 | 15.96 | 68.42 | 3.9 | 16.14 | 70.07 | - | - | - |
| AMO | FF | 0.12 | 0.22 | 0.48 | 0.11 | 0.24 | 0.49 | - | - | - |
|  | HL | 0.25 | 0.48 | 0.99 | 0.24 | 0.48 | 0.99 | 0.12 | 0.24 | 0.52 |
|  | MF | 0.11 | 0.24 | 0.5 | 0.11 | 0.24 | 0.48 | - | - | - |

## Recent AE Results on Max-Flow

Faster and More Dynamic Maximum Flow by Incremental Breadth-First
Search, Goldberg, Hed, Kaplan, Kohli, Tarjan, Werneck, ESA 2015
$\square$ Much faster on many (relatively easy) real world instances (image processing, graph partitioning,...) than preflow-push
$\square$ Worst case performance guarantee $\mathrm{O}\left(m n^{2}\right)$ (as in Dinitz algorithm)
$\square$ Adaptible to dynamic scenarios
$\square$ Uses pseudoflows that allow excesses and deficits.
Open problem: close gaps between theory and practice!

## Zusammenfassung Flows und Matchings

$\square$ Natürliche Verallgemeinerung von kürzesten Wegen:
ein Pfad $\rightsquigarrow$ viele Pfade
$\square$ viele Anwendungen
$\square$ "schwierigste/allgemeinste" Graph-Probleme, die sich mit kombinatorischen Algorithmen in Polynomialzeit lösen lassen
$\square$ Beispiel für nichttriviale Algorithmenanalyse
$\square$ Potentialmethode ( $\neq$ Knotenpotentiale)
$\square$ Algorithm Engineering: practical case $\neq$ worst case. Heuristiken/Details/Eingabeeigenschaften wichtig
$\square$ Datenstrukturen: bucket queues, graph representation, (dynamic trees)

