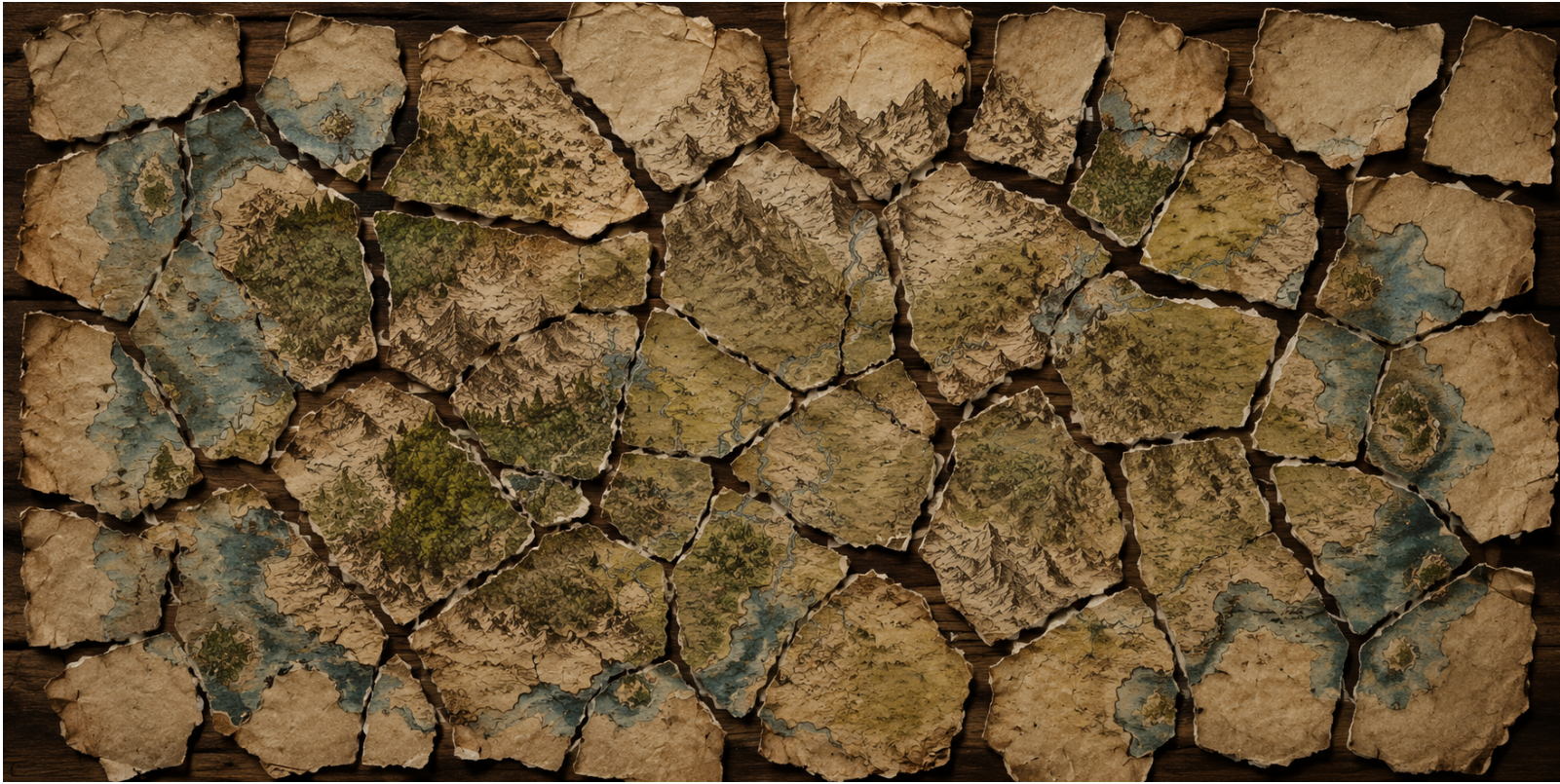
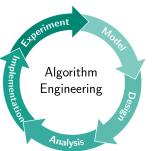




Hash Tables IV

Stefan Walzer, Ragnar Groot Koerkamp, [Stefan Hermann](#) | compiled on 27. Juli 2026



Hash table alternatives

Hash table are all-rounders

Hash tables support many operations.
In particular, S may change dynamically.

	Hash Table
Construct on $f : S \rightarrow \{0, 1\}^r$	✓
Query an existing key	✓
Update the value of an existing key	✓
insert, delete, contains	✓
Space lower bound	$\log_2 \binom{ D }{n} + nr$

Techniques on n keys $S \subseteq D$ mapping to r bit values.

x	$f(x)$
Bob	00
Alice	01
Carol	11
Dave	11
Peter	10

Example $r = 2$
(e.g. blood type)

Hash table alternatives

Hash table are all-rounders

Hash tables support many operations.
In particular, S may change dynamically.

Specialized data structures

For static S we can use a data structure that is simpler, more space efficient and often faster.

	Hash Table
Construct on $f : S \rightarrow \{0, 1\}^r$	✓
Query an existing key	✓
Update the value of an existing key	✓
insert, delete, contains	✓
Space lower bound	$\log_2 \binom{ D }{n} + nr$

Techniques on n keys $S \subseteq D$ mapping to r bit values.

x	$f(x)$
Bob	00
Alice	01
Carol	11
Dave	11
Peter	10

Example $r = 2$
(e.g. blood type)

Hash table alternatives

Hash table are all-rounders

Hash tables support many operations.
In particular, S may change dynamically.

Specialized data structures

For static S we can use a data structure that is simpler, more space efficient and often faster.

	Hash Table	Updatable Retrieval
Construct on $f : S \rightarrow \{0, 1\}^r$	✓	✓
Query an existing key	✓	✓
Update the value of an existing key	✓	✓
insert, delete, contains	✓	✗
Space lower bound	$\log_2 \binom{ D }{n} + nr$	$n \log_2(e) + nr$

Techniques on n keys $S \subseteq D$ mapping to r bit values.

x	$f(x)$
Bob	00
Alice	01
Carol	11
Dave	11
Peter	10

Example $r = 2$
(e.g. blood type)

Hash table alternatives

Hash table are all-rounders

Hash tables support many operations.
In particular, S may change dynamically.

Specialized data structures

For static S we can use a data structure that is simpler, more space efficient and often faster.

	Hash Table	Updatable Retrieval	Static Retrieval
Construct on $f : S \rightarrow \{0, 1\}^r$	✓	✓	✓
Query an existing key	✓	✓	✓
Update the value of an existing key	✓	✓	✗
insert, delete, contains	✓	✗	✗
Space lower bound	$\log_2 \binom{ D }{n} + nr$	$n \log_2(e) + nr$	nr

Techniques on n keys $S \subseteq D$ mapping to r bit values.

x	$f(x)$
Bob	00
Alice	01
Carol	11
Dave	11
Peter	10

Example $r = 2$
(e.g. blood type)

The (Updatable) Retrieval Problem

The retrieval data type

construct(f):

input: function $f : S \rightarrow \{0, 1\}^r$

where $S \subseteq D$ has size $n = |S|$

output: data structure R .

The (Updatable) Retrieval Problem

The retrieval data type

construct(f):

input: function $f : S \rightarrow \{0, 1\}^r$
where $S \subseteq D$ has size $n = |S|$

output: data structure R .

query $_R(x)$:

input: $x \in D$

output: some value in $\{0, 1\}^r$

requirement: **query** $_R(x) = f(x)$ for all $x \in S$

The (Updatable) Retrieval Problem

The retrieval data type

construct(f):

input: function $f : S \rightarrow \{0, 1\}^r$
where $S \subseteq D$ has size $n = |S|$

output: data structure R .

query $_R(x)$:

input: $x \in D$

output: some value in $\{0, 1\}^r$

requirement: **query** $_R(x) = f(x)$ for all $x \in S$

The **updatable** retrieval data type

Also supports

update $_R(x, v)$:

input: $x \in S, v \in \{0, 1\}^r$ // $x \in D \setminus S$ is undefined

output: data structure R' with

$$f'(k) = \begin{cases} v, & \text{if } k = x \\ f(k), & \text{otherwise.} \end{cases}$$

The (Updatable) Retrieval Problem

The retrieval data type

construct(f):

input: function $f : S \rightarrow \{0, 1\}^r$
where $S \subseteq D$ has size $n = |S|$

output: data structure R .

query $_R(x)$:

input: $x \in D$

output: some value in $\{0, 1\}^r$

requirement: **query** $_R(x) = f(x)$ for all $x \in S$

The price to pay

- R cannot be used to decide “is $x \in S$?”
- **query** $_R(x)$ is *unspecified* if $x \notin S$.

The **updatable** retrieval data type

Also supports

update $_R(x, v)$:

input: $x \in S, v \in \{0, 1\}^r$ // $x \in D \setminus S$ is undefined

output: data structure R' with

$$f'(k) = \begin{cases} v, & \text{if } k = x \\ f(k), & \text{otherwise.} \end{cases}$$

Motivation For Retrieval: Router Forwarding Table



- The forwarding table in a router maps IP addresses to ports.

Motivation For Retrieval: Router Forwarding Table



- The forwarding table in a router maps IP addresses to ports.
- We want GB/s internet, so this needs to have very fast queries.

Motivation For Retrieval: Router Forwarding Table



- The forwarding table in a router maps IP addresses to ports.
- We want GB/s internet, so this needs to have very fast queries.
- Therefore, expensive SRAM hardware is used - space efficiency becomes crucial.

Motivation For Retrieval: Router Forwarding Table



- The forwarding table in a router maps IP addresses to ports.
- We want GB/s internet, so this needs to have very fast queries.
- Therefore, expensive SRAM hardware is used - space efficiency becomes crucial.
- An IP address needs 128 bit but a port only ≈ 10 bits.

Motivation For Retrieval: Router Forwarding Table



- The forwarding table in a router maps IP addresses to ports.
- We want GB/s internet, so this needs to have very fast queries.
- Therefore, expensive SRAM hardware is used - space efficiency becomes crucial.
- An IP address needs 128 bit but a port only ≈ 10 bits.
- We do not care about IPs that are not in the forwarding table (handled otherwise).

Motivation For Retrieval: Router Forwarding Table



- The forwarding table in a router maps IP addresses to ports.
- We want GB/s internet, so this needs to have very fast queries.
- Therefore, expensive SRAM hardware is used - space efficiency becomes crucial.
- An IP address needs 128 bit but a port only ≈ 10 bits.
- We do not care about IPs that are not in the forwarding table (handled otherwise).
- A retrieval data structure is the perfect fit!

Updatable Retrieval

Possible design

- The lower bound says that at least $n \log_2(e) + nr$ bits are needed.
- The nr bits hint that maybe we can just use an array of length n containing r bit values.

Updatable Retrieval

Possible design

- The lower bound says that at least $n \log_2(e) + nr$ bits are needed.
- The nr bits hint that maybe we can just use an array of length n containing r bit values.
- How can we encode which key maps to which array entry?
- Can it be done in just $n \log_2(e)$?

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively).

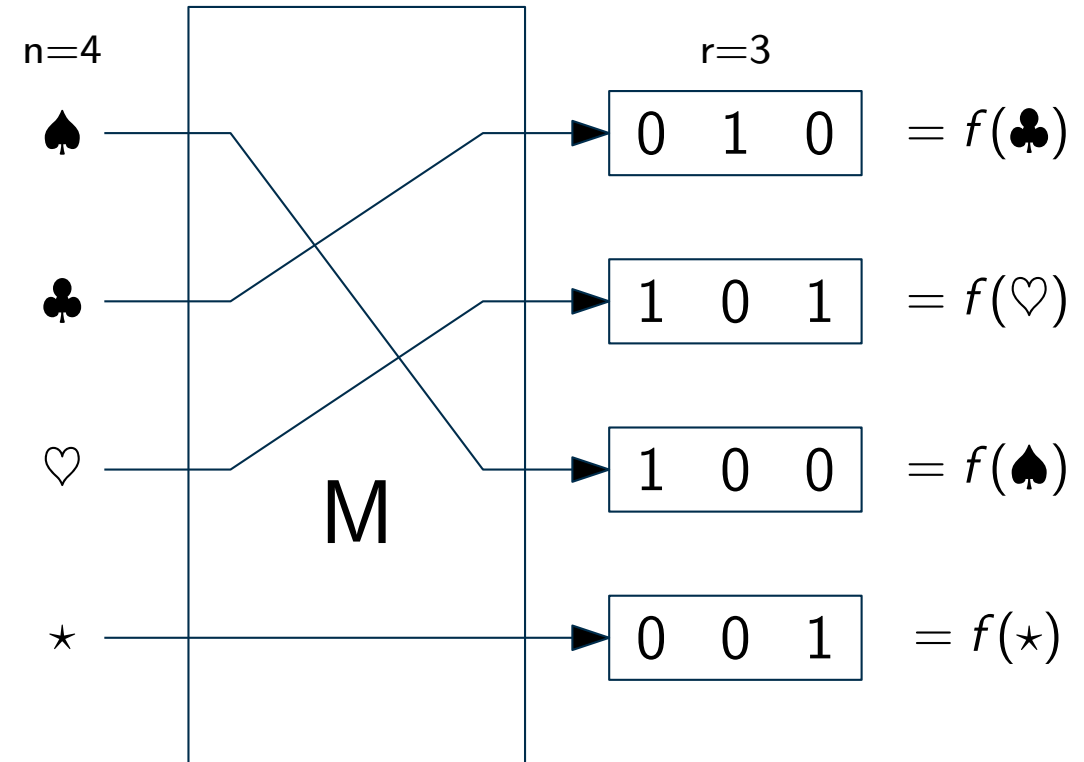
Updatable Retrieval

Possible design

- The lower bound says that at least $n \log_2(e) + nr$ bits are needed.
- The nr bits hint that maybe we can just use an array of length n containing r bit values.
- How can we encode which key maps to which array entry?
- Can it be done in just $n \log_2(e)$?

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively).



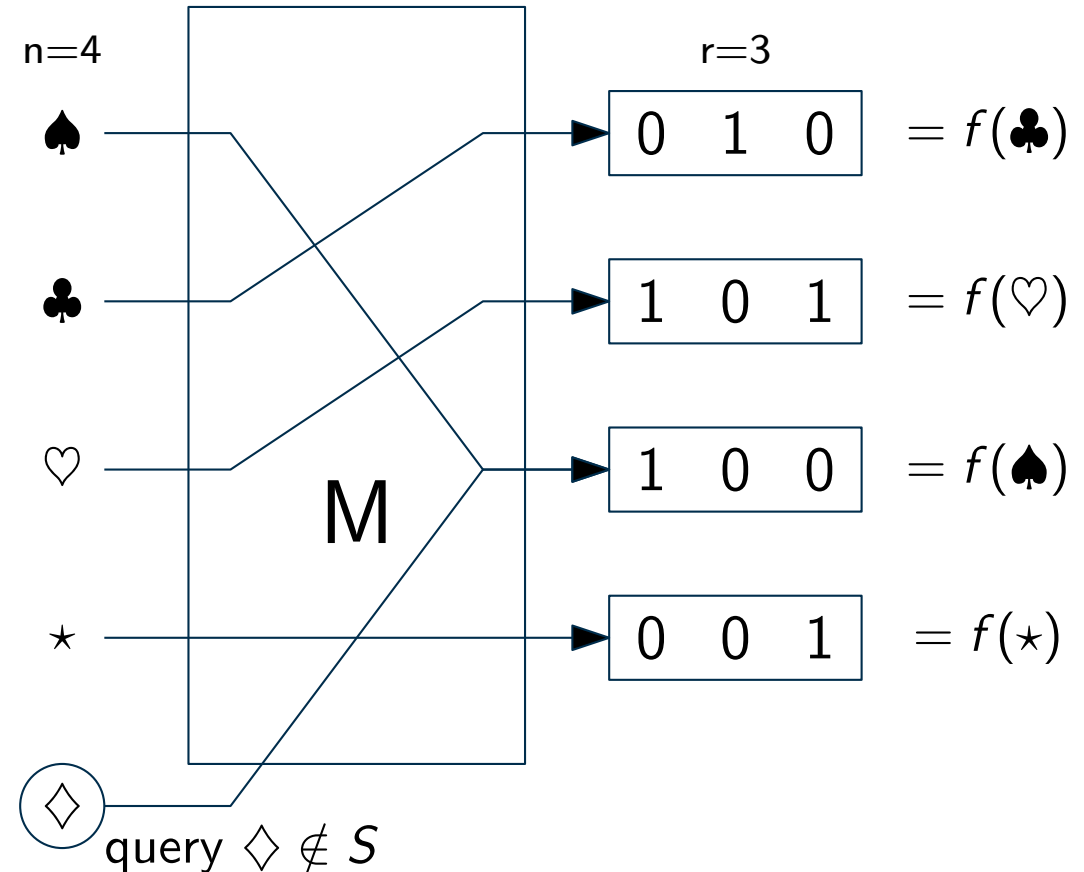
Updatable Retrieval

Possible design

- The lower bound says that at least $n \log_2(e) + nr$ bits are needed.
- The nr bits hint that maybe we can just use an array of length n containing r bit values.
- How can we encode which key maps to which array entry?
- Can it be done in just $n \log_2(e)$?

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively).



Updatable Retrieval

Algorithm $\text{construct}(f : S \rightarrow \{0, 1\}^r)$:

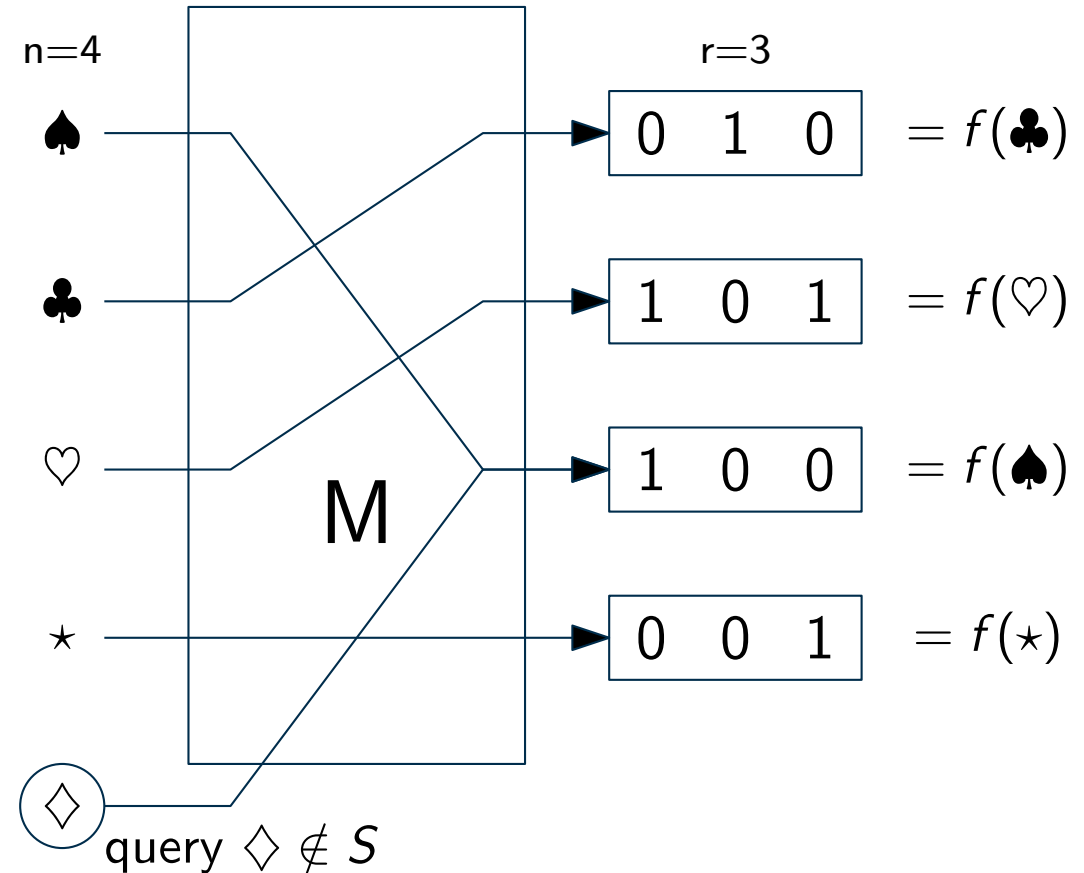
- construct M for S
- allocate A , length n , r bit values
- for** $x \in S$ **do**
 - $A[M.\text{query}(x)] = f(x)$

Algorithm $\text{query}(x \in D)$:

- return** $A[M.\text{query}(x)]$

Algorithm $\text{update}(x \in S, v \in \{0, 1\}^r)$:

- $A[M.\text{query}(x)] = v$



Updatable Retrieval

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively). Ideally, the space should be close to $n \log_2(e)$.

A space inefficient construction

Just assign each key a unique value in $[n]$ and store the mapping in a hash table. However, just storing the values would already need $\mathcal{O}(n \log n)$ bits!

Updatable Retrieval

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively). Ideally, the space should be close to $n \log_2(e)$.

A space inefficient construction

Just assign each key a unique value in $[n]$ and store the mapping in a hash table. However, just storing the values would already need $\mathcal{O}(n \log n)$ bits!

A slow but space efficient construction

Search for a seed of a hash function:

Algorithm $\text{construct}(S)$:

```
for  $s = 0, 1, \dots$  do
  if  $h_s$  is bijection from  $S$  to  $[n]$  then
    return  $s$ 
```

Updatable Retrieval

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively). Ideally, the space should be close to $n \log_2(e)$.

A space inefficient construction

Just assign each key a unique value in $[n]$ and store the mapping in a hash table. However, just storing the values would already need $\mathcal{O}(n \log n)$ bits!

A slow but space efficient construction

Search for a seed of a hash function:

Algorithm $\text{construct}(S)$:

```
for  $s = 0, 1, \dots$  do
    if  $h_s$  is bijection from  $S$  to  $[n]$  then
        return  $s$ 
```

Algorithm $\text{query}(x)$:

```
return  $h_s(x)$ 
```

Updatable Retrieval

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively). Ideally, the space should be close to $n \log_2(e)$.

A space inefficient construction

Just assign each key a unique value in $[n]$ and store the mapping in a hash table. However, just storing the values would already need $\mathcal{O}(n \log n)$ bits!

A slow but space efficient construction

Search for a seed of a hash function:

Algorithm $\text{construct}(S)$:

```
for  $s = 0, 1, \dots$  do
    if  $h_s$  is bijection from  $S$  to  $[n]$  then
        return  $s$ 
```

Algorithm $\text{query}(x)$:

```
return  $h_s(x)$ 
```

The probability that h_s is bijective on S is $\frac{n!}{n^n}$, because we draw one of n^n functions and only $n!$ of them are bijective on S . Hence, we need to test $\frac{n^n}{n!}$ seeds in expectation. Storing the seed requires $\log_2 \frac{n^n}{n!} + \mathcal{O}(1)$ bits^a. Simplifying using Stirling's approximation gives $n \log_2(e) + \mathcal{O}(\log n)$ bits.

^ausing encoding techniques that are not covered here (e.g. Golomb)

Updatable Retrieval

What we need

We need a data structure M that maps a fixed set of n keys to $[n]$ without collisions (aka bijectively). Ideally, the space should be close to $n \log_2(e)$.

A space inefficient construction

Just assign each key a unique value in $[n]$ and store the mapping in a hash table. However, just storing the values would already need $\mathcal{O}(n \log n)$ bits!

Recent research at ITI Sanders

A minimal perfect hash function (this is what M is called in literature) constructed in linear time with near optimal space.

A slow but space efficient construction

Search for a seed of a hash function:

Algorithm $\text{construct}(S)$:

```
for  $s = 0, 1, \dots$  do
    if  $h_s$  is bijection from  $S$  to  $[n]$  then
        return  $s$ 
```

Algorithm $\text{query}(x)$:

```
return  $h_s(x)$ 
```

The probability that h_s is bijective on S is $\frac{n!}{n^n}$, because we draw one of n^n functions and only $n!$ of them are bijective on S . Hence, we need to test $\frac{n^n}{n!}$ seeds in expectation. Storing the seed requires $\log_2 \frac{n^n}{n!} + \mathcal{O}(1)$ bits^a. Simplifying using Stirling's approximation gives $n \log_2(e) + \mathcal{O}(\log n)$ bits.

^ausing encoding techniques that are not covered here (e.g. Golomb)

Hash table alternatives

Hash table are all-rounders

Hash tables support many operations.
In particular, S may change dynamically.

Specialized data structures

For static S we can use a data structure that is simpler, more space efficient and often faster.

	Hash Table	Updatable Retrieval	Static Retrieval
Construct on $f : S \rightarrow \{0, 1\}^r$	✓	✓	✓
Query an existing key	✓	✓	✓
Update the value of an existing key	✓	✓	✗
insert, delete, contains	✓	✗	✗
Space lower bound	$\log_2 \binom{ D }{n} + nr$	$n \log_2(e) + nr$	nr

Techniques on n keys $S \subseteq D$ mapping to r bit values.

x	$f(x)$
Bob	00
Alice	01
Carol	11
Dave	11
Peter	10

Example $r = 2$
(e.g. blood type)

Static Retrieval using Linear Algebra over the field $\mathbb{F}_2 = \{0, 1\}$

Static retrieval for $f : S \rightarrow \mathbb{F}_2^r$ with $|S| = n$

Pick $m \geq n$. Data structure is pair $R = (h : D \rightarrow \mathbb{F}_2^m, \vec{Z} \in \mathbb{F}_2^{m \times r})$ such that $h(x)^T \cdot \vec{Z} = f(x)$ for all $x \in S$.

$$\begin{aligned} r &= 3 \\ m &= 7 \\ n &= 5 \end{aligned}$$

$$\begin{array}{ccccccc} & & & h(x) \\ & & & \parallel \\ \left(\begin{array}{ccccccc} 0 & 1 & 0 & 0 & 1 & 1 & 0 \end{array} \right) & \begin{array}{c} \left(\begin{array}{c} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \end{array} \right) \end{array} & \stackrel{!}{=} f(x) \end{array}$$

Static Retrieval using Linear Algebra over the field $\mathbb{F}_2 = \{0, 1\}$

Static retrieval for $f : S \rightarrow \mathbb{F}_2^r$ with $|S| = n$

Pick $m \geq n$. Data structure is pair $R = (h : D \rightarrow \mathbb{F}_2^m, \vec{Z} \in \mathbb{F}_2^{m \times r})$ such that $h(x)^T \cdot \vec{Z} = f(x)$ for all $x \in S$.

$$\begin{aligned} r &= 3 \\ m &= 7 \\ n &= 5 \end{aligned}$$

$$\begin{array}{c} h(x) \\ \parallel \\ \left(\begin{array}{ccccccc} 0 & 1 & 0 & 0 & 1 & 1 & 0 \end{array} \right) \end{array} \begin{array}{c} \left(\begin{array}{c} 011 \\ \mathbf{010} \\ 000 \\ 001 \\ \mathbf{000} \\ \mathbf{110} \\ 101 \end{array} \right) \end{array} \stackrel{!}{=} 100$$

Static Retrieval using Linear Algebra over the field $\mathbb{F}_2 = \{0, 1\}$

Static retrieval for $f : S \rightarrow \mathbb{F}_2^r$ with $|S| = n$

Pick $m \geq n$. Data structure is pair $R = (h : D \rightarrow \mathbb{F}_2^m, \vec{Z} \in \mathbb{F}_2^{m \times r})$ such that $h(x)^T \cdot \vec{Z} = f(x)$ for all $x \in S$.

$$\begin{array}{l} r = 3 \\ m = 7 \\ n = 5 \end{array} \quad \left(\begin{array}{c} \text{---}h(x_1)\text{---} \\ \text{---}h(x_2)\text{---} \\ \text{---}h(x_3)\text{---} \\ \text{---}h(x_4)\text{---} \\ \text{---}h(x_5)\text{---} \end{array} \right) \quad \left(\begin{array}{c} Z_1 \\ Z_2 \\ Z_3 \\ Z_4 \\ Z_5 \\ Z_6 \\ Z_7 \end{array} \right) \stackrel{!}{=} \left(\begin{array}{c} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \\ f(x_5) \end{array} \right)$$

Static Retrieval using Linear Algebra over the field $\mathbb{F}_2 = \{0, 1\}$

Static retrieval for $f : S \rightarrow \mathbb{F}_2^r$ with $|S| = n$

Pick $m \geq n$. Data structure is pair $R = (h : D \rightarrow \mathbb{F}_2^m, \vec{Z} \in \mathbb{F}_2^{m \times r})$ such that $h(x)^T \cdot \vec{Z} = f(x)$ for all $x \in S$.

$$\begin{array}{l} r = 3 \\ m = 7 \\ n = 5 \end{array} \quad \begin{array}{c} \left(\begin{array}{c} \text{---}h(x_1)\text{---} \\ \text{---}h(x_2)\text{---} \\ \text{---}h(x_3)\text{---} \\ \text{---}h(x_4)\text{---} \\ \text{---}h(x_5)\text{---} \end{array} \right) \end{array} \quad \begin{array}{c} \left(\begin{array}{c} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \end{array} \right) \end{array} \stackrel{!}{=} \begin{array}{c} \left(\begin{array}{c} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \\ f(x_5) \end{array} \right)$$

A simple instantiation

Use $m = n(1 + \epsilon)$ and $h \sim \mathcal{U}(\mathbb{F}_2^{rD})$ then the system is solvable whp.

Space	rn
Construction	$\mathcal{O}(n^3)$
Query	$\mathcal{O}(n)$

Static Retrieval using Linear Algebra over the field $\mathbb{F}_2 = \{0, 1\}$

Static retrieval for $f : S \rightarrow \mathbb{F}_2^r$ with $|S| = n$

Pick $m \geq n$. Data structure is pair $R = (h : D \rightarrow \mathbb{F}_2^m, \vec{Z} \in \mathbb{F}_2^{m \times r})$ such that $h(x)^T \cdot \vec{Z} = f(x)$ for all $x \in S$.

$$\begin{array}{l} r = 3 \\ m = 7 \\ n = 5 \end{array} \quad \left(\begin{array}{c} \text{---}h(x_1)\text{---} \\ \text{---}h(x_2)\text{---} \\ \text{---}h(x_3)\text{---} \\ \text{---}h(x_4)\text{---} \\ \text{---}h(x_5)\text{---} \end{array} \right) \quad \left(\begin{array}{c} z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \end{array} \right) \stackrel{!}{=} \left(\begin{array}{c} f(x_1) \\ f(x_2) \\ f(x_3) \\ f(x_4) \\ f(x_5) \end{array} \right)$$

A simple instantiation

Use $m = n(1 + \epsilon)$ and $h \sim \mathcal{U}(\mathbb{F}_2^{rD})$ then the system is solvable whp.

Space	rn
Construction	$\mathcal{O}(n^3)$
Query	$\mathcal{O}(n)$

Current Research at ITI Sanders

Choosing h involves a trade-off and is non-trivial. How can we achieve fast construction, fast queries and space efficiency simultaneously?

Possible Exam Questions

- What is a (updatable) retrieval data structure?
- What are the respective advantages compared to a hash table? What are the disadvantages?
- How can we construct (updatable) retrieval data structures?