## Advanced Data Structures

Lecture 11: Learned Data Structures
Florian Kurpicz

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https://pingo.scc.kit.edu/524651

## Recap: Retroactive Data Structures

- BBST for $Q_{\infty}$ (i) changed for each update
- BBST where leaves are inserts ordered by time augmented with
- for each node $x$ store
$\max \left\{v^{\prime} \notin Q_{\infty}: v^{\prime}\right.$ inserted in subtree of $\left.x\right\}$
- BBST where leaves are all updates ordered by time augmented with
- leaves store 0 for inserts with $v \in Q_{\infty}$, 1 for inserts with $v \notin Q_{\infty}$ and -1 for delete-mins
- inner nodes store subtree sums
- inner nodes store smallest prefix sum in subtree



## Setting: Rank and Select Dictionary

Given ordered integers $S$ from a universe $\mathcal{U}=[1, u]$ a rank and select index can answer

- rank $(x)=|\{y \in S: y<x\}|$
- $\operatorname{select}(i)=S\left[\arg \min _{j}(\operatorname{rank}(j)=i+1)\right]$
- can be use to answer predecessor queries
- a bit vector with rank and select suffices


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- bit vector requires $u+o(u)$ bits
- compressing bit vector to save space (if sparse)
- Elias-Fano requires how much space?



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- $|S|\left(2+\log \left\lceil\frac{u}{|S|}\right\rceil\right)$ bits


## Learned Rank and Select Index [BFV21]

- how to represent $S$ from a universe $\mathcal{U}=[1, u]$
- let $S=\left\langle x_{1}, x_{2}, \ldots, x_{n}\right\rangle$ be a sorted sequence
- map each element $x_{i} \in S$ to point $\left(i, x_{i}\right)$
- points are in Cartesian plane



## Approximating $S$ in Cartesian Plane

- find function $f$ passing through all points
(i) $x_{i}=f(i)$
- BUT $f$ should be fast to compute and require little space
- use linear approximation with error $\epsilon$



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- correction can be very big



## Piece-Wise Linear Approximation (1/2)

- use piece-wise linear approximation (PLA)
- sequence of segments with error bound by $\epsilon$
- smallest number of segments can be computed in $O(n)$ time [O'R81]
- let there be $\ell$ segments



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## Definition: Representation of a Segment

The $i$-th segment starting with $\left(j, x_{j}\right)$ is represented as triple $s_{i}=\left(r_{i}, \alpha_{i}, \beta_{i}\right)$, where

- $r_{i}=j$,
- $\alpha_{i}$ is the slope, and
- $\beta_{i}$ is the intercept


## Piece-Wise Linear Approximation (2/2)

- use function to approximate point in $i$-th segment

$$
f_{i}(j)=\left(j-r_{i}\right) \cdot \alpha_{i}+\beta_{i}
$$

- use correction to obtain correct value

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\left\lfloor f_{i}(j)\right\rfloor+C[j]=x_{j}
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- let $c \geq 2$ be the number of bits used per correction
- $\epsilon=2^{c}-1$
- $c=0$ results in $\epsilon=0$


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- $O(\log \ell)$ time to find the segment
- constant time within segment


## What is Missing?

- use linear functions to approximate values
- corrections allow recovering values
- compression of data structure
- rank and select support
- (space-)optimal segmentation



## Compressing the Representation (1/2)

- larger $\epsilon$ results in smaller "expected" number of segments $\ell$
- smaller $c$ results in smaller correction and in larger $\ell\left(\right.$ (i) $\epsilon=\max \left\{0,2^{c}-1\right\}$


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- $\ell \leq \min \{u /(2 \epsilon), n / 2\}[F V 20]$


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Karlsruhe Institute of Technology

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Let $\left\{x_{1}, \ldots, x_{n}\right\}$ be a sorted sequence of distinct integers from in $[1, u]$. Given $0 \leq c \leq\lfloor\log u\rfloor$, there are $\ell$ segments in the optimal PLA of maximum error $\epsilon=\max \left\{0,2^{c}-1\right\}$ for $\left\{\left(i, x_{i}\right): i=1, \ldots, n\right\}$

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## Proof (Sketch)

Each segment $s_{i}=\left(r_{i}, \alpha_{i}, \beta_{i}\right)$ requires

- $r_{i}: \log n$ bits of space,
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## Lemma: Space-Requirements (Compressed)

$\left\{x_{1}, \ldots, x_{n}\right\}$ as defined before can be represented using $n H_{0}(C)+o(n c)+\ell\left(2 \log \frac{u n}{\ell}+4+o(1)\right)$ bits of space. Access time is $O(c)$.

## Rank and Select Support

- rank and select use predecessor data structure on $r_{i}$ 's
- select is "easier" than rank


## Lemma: Learned Select

Select on $\left\{x_{1}, \ldots, x_{n}\right\}$ as defined before is supported in $O(1)$ time requiring
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- naive $\operatorname{rank}(x)$ needs binary search
- find maximum $i$ with $\operatorname{select}(i) \leq x$
- requires $O(\log n)$ time
- better: binary search on segments
- within segment: get "position" of $x$
- use maximum error to find interval for binary search


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## Lemma: Learned Rank

Rank on $\left\{x_{1}, \ldots, x_{n}\right\}$ as defined before is supported in $O(\log \ell+c)$ time requiring no additional space.

## Details on Rank Support

- error is bounded: $\left|f_{j}(i)-x_{i}\right| \leq \epsilon$
- search for $x_{i} \leq x<x_{i+1}$
- rank is one $i$ with $f_{j}(i)-\epsilon \leq x \leq f_{j}(i)+\epsilon$

$$
f_{j}(i)=\left(i-r_{i}\right) \cdot \alpha_{j}+\beta_{j}
$$

- $\left(i-r_{j}\right) \cdot \alpha_{j}+\beta_{j}-\epsilon \leq x<\left(i+1-r_{j}\right) \cdot \alpha_{j}+\beta_{j}+\epsilon$
- solve for $i$
- $\frac{x-\beta_{j}}{\alpha_{j}}+r_{j}-\left(\frac{\epsilon}{\alpha_{j}}+1\right)<i \leq \frac{x-\beta_{j}}{\alpha_{j}}+r_{j}+\left(\frac{\epsilon}{\alpha_{j}}\right)$


## Finding Optimal Data Partitioning

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- let $G$ be directed acyclic graph
- one node for each $\left\{x_{1}, \ldots, x_{n}\right\}$ plus sink node at end of sequence
- edge $(i, j)$ with weight $w(i, j, c)$ indicates that there exists a segment compressing $x_{i}, \ldots, x_{j}$ using $w(i, j, c)=(j-i) c+\kappa$ bits of space


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- finding shortest using brute-force not feasible
- requires $O\left(n^{2} \log u\right)$ time [O'R81]
- can be done in $O(n \log u)$ time
- solution is at most $\kappa \ell$ bits larger than optimal solution


## From Encoding to Indexing

- data has been encoded (and compressed)
- now: indexing data
- in external memory model
- learned index is alternative to B-tree


## Definition: External Memory Model (Recap)

- internal memory of $M$ words
- instances of size $N \gg M$
- unlimited external memory
- transfer blocks of size $B$ between memories
- measure number of blocks I/Os
- scanning $N$ elements: $\Theta(N / B)$
- sorting $N$ elements: $\Theta\left(\frac{N}{B} \log _{\frac{M}{B}} \frac{N}{B}\right)$


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| Data Structure | Space | I/Os |
| :--- | :--- | :--- |
| B=tree | $\Theta(n)$ | $O\left(\log _{B}(n)\right)$ |
| PGM-Index | $\Theta\left(m_{\text {opt }}\right)$ | $O\left(\log _{B}\left(m_{\text {opt }}\right)\right)$ |

- $m_{\text {opt }} \leq n$ is optimal number of segments


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- store key instead position
- recurs on first keys of each segment



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## For Queries

- $\epsilon=\Theta(B)$

- load $2 \epsilon+1$ blocks per level $\qquad$

Evaluation


[^0]
## Conclusion and Outlook

## This Lecture

- learned data structures


## Advanced Data Structures



## Conclusion and Outlook

## This Lecture

- learned data structures


## Next Lecture

- one more interesting data structure
- results of the project/competition
- Q\&A


## Advanced Data Structures




[^0]:    https://onlineumfrage.kit.edu/evasys/online/ online. php?p=CZSUW

