

### **Advanced Data Structures**

#### Lecture 11: Learned Data Structures

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### PINGO



https://pingo.scc.kit.edu/524651

### **Recap: Retroactive Data Structures**



- BBST for  $Q_{\infty}$  I changed for each update
- BBST where leaves are inserts ordered by time augmented with
  - for each node x store max{v' ∉ Q<sub>∞</sub>: v' inserted in subtree of x}
- BBST where leaves are all updates ordered by time augmented with
  - leaves store 0 for inserts with v ∈ Q<sub>∞</sub>, 1 for inserts with v ∉ Q<sub>∞</sub> and −1 for delete-mins
  - inner nodes store subtree sums
  - inner nodes store smallest prefix sum in subtree



# Setting: Rank and Select Dictionary



Given ordered integers *S* from a universe U = [1, u] a rank and select index can answer

- $rank(x) = |\{y \in S : y < x\}|$
- select(i) =  $S[\arg\min_j(rank(j) = i + 1)]$
- can be use to answer predecessor queries
- a bit vector with rank and select suffices

- bit vector requires u + o(u) bits
- compressing bit vector to save space (if sparse)
- Elias-Fano requires how much space?
  PINGO

• 
$$|S|(2 + \log \lfloor \frac{u}{|S|} \rfloor)$$
 bits

### Learned Rank and Select Index [BFV21]



- how to represent *S* from a universe U = [1, u]
- let  $S = \langle x_1, x_2, \dots, x_n \rangle$  be a sorted sequence
- map each element  $x_i \in S$  to point  $(i, x_i)$
- points are in Cartesian plane



## Approximating S in Cartesian Plane



- find function *f* passing through all points
  *x<sub>i</sub>* = *f*(*i*)
- BUT f should be fast to compute and require little space
- use linear approximation with error  $\epsilon$
- store error correction in array C
- correction can be very big



# Piece-Wise Linear Approximation (1/2)



- use piece-wise linear approximation (PLA)
- sequence of segments with error bound by  $\epsilon$
- smallest number of segments can be computed in O(n) time [O'R81]
- let there be l segments

### Definition: Representation of a Segment

The *i*-th segment starting with  $(j, x_j)$  is represented as triple  $s_i = (r_i, \alpha_i, \beta_i)$ , where

• 
$$r_i = j$$
,

- $\alpha_i$  is the slope, and
- β<sub>i</sub> is the intercept



# Piece-Wise Linear Approximation (2/2)



use function to approximate point in *i*-th segment

 $f_i(j) = (j - r_i) \cdot \alpha_i + \beta_i$ 

use correction to obtain correct value

 $\lfloor f_i(j) \rfloor + C[j] = x_j$ 

• 
$$C[j] = x_j - \lfloor f_i(j) \rfloor$$
  
•  $C[j] \in \{-\epsilon, -\epsilon + 1, ..., -1, 0, 1, ..., \epsilon - 1, \epsilon\}$ 

- let c ≥ 2 be the number of bits used per correction
- $\epsilon = 2^c 1$

• 
$$c = 0$$
 results in  $\epsilon = 0$ 

- c = 1 possible? PINGO
- what time does it take to recover x<sub>j</sub>? PINGO
- $O(\log \ell)$  time to find the segment
- constant time within segment



## What is Missing?

- use linear functions to approximate values
- corrections allow recovering values
- compression of data structure
- rank and select support
- (space-)optimal segmentation



# Compressing the Representation (1/2)



- larger  $\epsilon$  results in smaller "expected" number of segments  $\ell$
- smaller *c* results in smaller correction and in larger ℓ ϵ = max{0, 2<sup>c</sup> − 1}
- $\ell$  depends on the distribution of points
- $\ell \leq \min\{u/(2\epsilon), n/2\}$  [FV20]

### Definition: Number of Segments

Let  $\{x_1, \ldots, x_n\}$  be a sorted sequence of distinct integers from in [1, u]. Given  $0 \le c \le \lfloor \log u \rfloor$ , there are  $\ell$  segments in the optimal PLA of maximum error  $\epsilon = \max\{0, 2^c - 1\}$  for  $\{(i, x_i) : i = 1, \ldots, n\}$ 

# Lemma: Space-Requirements (uncompressed)

 $\{x_1, \ldots, x_n\}$  as defined before can be represented using  $nc + 2\ell(\log n + \log u)$  bits of space.

### Proof (Sketch)

Each segment  $s_i = (r_i, \alpha_i, \beta_i)$  requires

- *r<sub>i</sub>*: log *n* bits of space,
- $\alpha_i$ : log  $u + \log n$  bits of space () rational number
- $\beta_i$ : log *u* bits of space

• can we compress  $r_i$ 's,  $\alpha_i$ 's, or  $\beta_i$ 's? **PINGO** 

# Compressing the Representation (2/2)



### Lemma: Space-Requirements (Elias-Fano)

 $\{x_1, \ldots, x_n\}$  as defined before can be represented using  $nc + \ell(2 \log \frac{un}{\ell} + 4 + o(1))$  bits of space.

### Proof (Sketch)

- *r<sub>i</sub>*'s are increasing sequence of ℓ integers in [1, *n*]
- $\beta_i$ 's are increasing sequence of  $\ell$  integers in [1, u]
- use Elias-Fano coding

- *C* can also be compressed
- using entropy compressed indices

Lemma: Space-Requirements (Compressed)

 $\{x_1, \ldots, x_n\}$  as defined before can be represented using  $nH_0(C) + o(nc) + \ell(2 \log \frac{un}{\ell} + 4 + o(1))$  bits of space. Access time is O(c).

# **Rank and Select Support**



- rank and select use predecessor data structure on r<sub>i</sub>'s
- select is "easier" than rank

#### Lemma: Learned Select

Select on  $\{x_1, \ldots, x_n\}$  as defined before is supported in O(1) time requiring  $n(c+1+o(1)) + \ell(2\log u + \log n)$  bits of space.

### Proof (Sketch)

- use bit vector marking r<sub>i</sub>'s
- n + o(n) bits of space
- about one bit per element in S

- naive rank(x) needs binary search
- find maximum *i* with  $select(i) \le x$
- requires O(log n) time
- better: binary search on segments
- within segment: get "position" of x
- use maximum error to find interval for binary search

#### Lemma: Learned Rank

Rank on  $\{x_1, \ldots, x_n\}$  as defined before is supported in  $O(\log \ell + c)$  time requiring no additional space.

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# Details on Rank Support

- error is bounded:  $|f_j(i) x_i| \le \epsilon$
- search for  $x_i \leq x < x_{i+1}$
- rank is one *i* with  $f_j(i) \epsilon \le x \le f_j(i) + \epsilon$

## $f_j(i) = (i - r_i) \cdot \alpha_j + \beta_j$

- $(i-r_j) \cdot \alpha_j + \beta_j \epsilon \le x < (i+1-r_j) \cdot \alpha_j + \beta_j + \epsilon$
- solve for i

• 
$$\frac{x-\beta_j}{\alpha_j} + r_j - (\frac{\epsilon}{\alpha_j} + 1) < i \le \frac{x-\beta_j}{\alpha_j} + r_j + (\frac{\epsilon}{\alpha_j})$$

## **Finding Optimal Data Partitioning**



- fixed number c for corrections
- now: choose different error \(\epsilon = \max\{0, 2^c 1\)\}\) for each segment
- how to find optimal partitioning?
- let G be directed acyclic graph
- one node for each {x<sub>1</sub>,..., x<sub>n</sub>} plus sink node at end of sequence
- edge (i, j) with weight w(i, j, c) indicates that there exists a segment compressing x<sub>i</sub>,..., x<sub>j</sub> using w(i, j, c) = (j - i)c + κ bits of space

### Lemma: Optimal Partitioning

The shortest path in *G* from node 1 to n + 1 corresponds to the PLA with minimal cost for  $\{x_1, \ldots, x_n\}$ 

- finding shortest using brute-force not feasible
- requires  $O(n^2 \log u)$  time [O'R81]
- can be done in  $O(n \log u)$  time
  - solution is at most  $\kappa\ell$  bits larger than optimal solution

# From Encoding to Indexing



- data has been encoded (and compressed)
- now: indexing data
- in external memory model
- learned index is alternative to B-tree

Data Structure	Space	I/Os
B=tree	$\Theta(n)$	$O(\log_B(n))$
PGM-Index	$\Theta(m_{opt})$	$O(\log_B(m_{opt}))$

•  $m_{\text{opt}} \leq n$  is optimal number of segments

### Definition: External Memory Model (Recap)

- internal memory of *M* words
- instances of size  $N \gg M$
- unlimited external memory
- transfer blocks of size B between memories
- measure number of blocks I/Os
- scanning N elements:  $\Theta(N/B)$
- sorting *N* elements:  $\Theta(\frac{N}{B} \log_{\frac{M}{B}} \frac{N}{B})$



# The PGM-Index [FV20]

- what do we not need when indexing instead of encoding? PINGO
- now S has to be stored
- how do we access elements in S
  - e.g., predecessor
- trick used before requires too much space
- store key instead position
- recurs on first keys of each segment I

### For Queries

- $\epsilon = \Theta(B)$
- load  $2\epsilon + 1$  blocks per level 💷



### **Evaluation**





https://onlineumfrage.kit.edu/evasys/online/

online.php?p=CZSUW

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# **Conclusion and Outlook**



### This Lecture

learned data structures

#### Next Lecture

- one more interesting data structure
- results of the project/competition

Q&A

